



## ANSI/GBI 01-2019 GREEN GLOBES® ASSESSMENT PROTOCOL FOR COMMERCIAL BUILDINGS

ANSI/GBI 01-2019: Green Globes Assessment Protocol for Commercial Buildings (Supersedes ANSI/GBI 01-2010: Green Building Assessment Protocol for Commercial Buildings)

This Standard is under continuous maintenance by the Green Building Initiative (GBI) and has a published schedule for regular publication of revisions, including procedures for timely, documented, consensus action on requests for change to any part of the Standard. The change submittal form, instructions, and deadlines may be obtained in electronic form from the GBI website (www.thegbi.org). The latest edition of the ANSI/GBI 01-2019 Green Globes Standard is free to download from the Green Building Initiative website (www.thegbi.org).



#### Disclaimer

This Standard provides a method of assessing commercial buildings in relation to commonly valued environmental and efficiency outcomes. This Standard is an assessment tool and does not purport to instruct users on the appropriate design, construction, operations and maintenance, standards, applicable laws, codes or regulations for their building. The use of the Standard does not establish, expressly or implicitly, the appropriate standard of care of licensed design or other professionals nor the appropriate duties and responsibilities of owners, design, construction, operations or maintenance personnel.

The Green Building Initiative (GBI) does not guarantee or warrant the actual performance of any building as a result of (1) the use of this Standard, or (2) a particular level of assessment indicated through the use of this Standard, whether through individual use or in conjunction with a provider of a third-party assessment. The Standard has been developed and structured to provide a general assessment tool for various attributes of buildings, as outlined in the Standard. The Standard is not a design, construction, operations or maintenance tool or a quality or performance assurance system. Building systems, technology, construction processes, design methodologies and best practices are constantly evolving and no building performance assessment system or tool, including this Standard, can account for these changes or the site-specific variances and limitations associated with individual buildings. The use of this Standard does not serve as a substitute for the work and advice of knowledgeable, licensed design, and other professionals; skilled construction personnel; building operators; and dedicated building owners.

GBI makes no representations about the results to be obtained from using the Standard. GBI, to the fullest extent permitted by law, disclaims all warranties of any kind, whether express of implied, including, but not limited to, the implied warranties of merchantability, fitness for a particular purpose and non-infringement.

Information on the ANSI approved procedures used to develop this Standard can be found at www.thegbi.org or by emailing info@thegbi.org.

#### **Special Notes**

The Foreword and Appendix are informative only and do not contain mandatory requirements necessary for conformance to this Standard. As such, they may contain material that has not been subjected to public review or a consensus process.

Reference documents cited within the Standard are mandatory and are only to be applied within the context for which they are cited.

This Standard is maintained under continuous maintenance procedures. GBI publishes notices for meetings and calls for public comment in ANSI Standards Action as required. GBI has a list of stakeholders that will receive email announcements when any maintenance activity occurs to the recommended practice. GBI's website contains a place for new stakeholders to register.

Comments or proposals for revisions to any part of the Standard may be submitted to GBI at any time. The following person(s) may be contacted by those interested in submitting changes:

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#### **FOREWORD**

Note that the information contained in this Foreword is not part of this Standard. It does not contain requirements necessary for conformance to the Standard. The Foreword is not subject to public review.

The Green Building Initiative (GBI) is a nonprofit organization dedicated to delivering a results-driven process for achieving sustainability goals through a comprehensive, collaborative rating system with an emphasis on applicability over rigidity. Green Globes is reviewed through an American National Standards Institute (ANSI) consensus process. ANSI has helped develop private sector standardization systems for over 90 years, focusing on requirements for openness, balance, consensus and due process. We believe that green building certification should be flexible enough to encourage participation from every type of building. Our process encourages innovation while providing the most personalized green building certification experience in the market.

In 2005 GBI became the first building rating organization to become an ANSI Standards Developer. GBI used the ANSI process, recognized for being open, balanced, and consensus-based, to create ANSI/GBI 01-2010: Green Building Assessment Protocol for Commercial Buildings out of the Green Globes environmental design and assessment rating system for New Construction and Major Renovations.

GBI owns the global rights to Green Globes®—a green commercial building rating system that combines education with environmental assessments within interactive online tools for new and existing buildings as well as major renovations. Green Globes isn't here merely to set the bar for building performance, but rather to help its customers achieve success. Our third-party assessors personally visit properties to review progress toward compliance and certification, provide feedback on opportunities for improvement, and collaborate with project teams to ensure that buildings meet certification requirements and are on track to achieve sustainability goals. GBI has made the commitment to revise its Green Globes rating system using the ANSI consensus process and ANSI approved procedures.

The following document represents revisions to GBI 01-2019 as a result of a public comment period in November 2019 and following more than 45 public Subcommittee and Consensus Body meetings. The revised Standard will update the rating system currently designated Green Globes NC 2019. The GBI maintains ANSI/GBI 01-2019: Green Globes Assessment Protocol for Commercial Buildings through a continuous maintenance schedule allowing for more frequent updates typically completing revisions over a two-year period.

#### Stakeholder Involvement

All meetings of the Subcommittees and Consensus Body are public. GBI accepts Consensus Body and Subcommittee applications year-round and maintains a queue of applicants to fill potential Consensus Body vacancies in three interest categories: User, Producer, and General Interest. The six Subcommittees are: Project Management & Energy, Site, Water Efficiency, Materials, Indoor Environment and Point Allocation. Subcommittee members are not required to also be members of the Consensus Body and individuals may serve on more than one Subcommittee. GBI's Secretariat maintains an email list of interested Stakeholders used for updates on developments or opportunities to participate or comment. More information is available at <a href="https://www.thegbi.org/ANSI">www.thegbi.org/ANSI</a>.

#### **GBI Encourages Participation in Public Comment Periods**

The public comment process is a critical element to developing an ANSI Standard. GBI encourages discussion and debate. ANSI consensus processes afford due process to every commenter. Commenters will receive communication from the Secretariat upon receipt of their comment and again following Consensus Body action on their comment. Public comment notices are published in ANSI Standards Action.



#### **Comprehensive Not Rigid**

One of the many strengths of the Green Globes' collaborative process is that it allows for sustainability improvements that best fit each specific project, rather than a rigid checklist of requirements that don't consider unique sites, building function, or innovation opportunities.

"Not applicables" play a prominent role in Green Globes' flexibility, allowing projects to indicate criteria that are not applicable to a building or project. For instance, if a local code supersedes a criterion in the Standard and/or if optional features (e.g. cooling towers, etc.) are not included in the project scope, then those criteria could be marked Not Applicable removing those points from the denominator in determining percentages of points achieved.

The 1000 possible points are strategically allocated to direct projects toward criteria considered most critical in the reduction of a building's environmental impacts, as well as criteria that maximize a building's opportunity to have a positive impact on a community and its occupants. The new point distribution "weights" the Assessment Areas as follows:

- Project Management (100 points)
- Site (150 points)
- Energy (260 points)
- Water Efficiency (190 points)
- Materials (150 points)
- Indoor Environment (150 points)

The revised Standard, as is consistent with GBI-01-2019, does not contain mandatory criteria. Instead, additional weighting occurs within each Assessment Area to encourage pursuit of criteria considered to be most important. Every building that achieves Green Globes certification under these proposed revisions must achieve a minimum of 35% of points overall.

Through point weightings, the Standard encourages users to strive to earn the highest number of applicable points for the building type, size, and budget, while using the flexibility built into the system to keep on track with the owners' goals and objectives, the planned functionality for the building, and the potential for deconstruction or repurposing of the building. Project teams achieving One Green Globes level of certification (Level 1) on their first project—may strive for higher levels of achievement and recognition in future projects through their lessons learned. The Standard is designed to encourage and recognize incremental achievements that take buildings beyond minimum compliance requirements while incentivizing teams to innovate and strive for 1, 2, 3, or 4 Green Globes thereby going beyond code to achieve real-world results.

#### **Technical Advances**

Reviewers of this revised Standard will find many notable improvements that advance the art, form and definition of what constitutes a green building. Discussion and debate through the public comment process have been extensive and important to this process. Additional information concerning criteria can be found in the Technical Manual under Informational References, Recommended Documents, Assessment Guidance and ToolTips.

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Areas where changes have been approved by the Consensus Body and that are now open to public comment include the following topics:

#### **Project Management**

- Site and Building Resilience
- Moisture control analysis
  - Two paths for Building Commissioning or Systems Manual & Training

#### Site

- Transportation
- Stormwater management
- Urban-wildland interface design

#### **Energy**

- Three paths provided for assessing energy performance
- Renewable energy clarified
- Sub-metering

#### **Water Efficiency**

- Four paths for Indoor Domestic Plumbing
- Alternate sources of water
- Metering

#### **Materials**

- Whole Building Life cycle assessment
- Construction waste
- Post Occupancy Solid Waste Recycling

#### **Indoor Environment**

- Air Ventilation and Quality,
- Thermal Comfort
- Acoustic Comfort

#### **Minimum Requirements**

GBI sets minimum requirements for its Standard and rating systems based on commonly valued environmental and efficiency outcomes, benchmarking against other global rating systems, analysis of federal, state, and local policies, as well as from public input. This revision to the ANSI/GBI 01-2019 Standard removes the minimum requirements from the document in recognition that a) jurisdictions set their own minimum requirements, which may include requiring a specific Green Globes rating (e.g., One Green Globes or Two Green Globes) or requiring specific energy, water, or ventilation, as examples, credits be achieved; and b) GBI is becoming a global organization and must consider a variety of markets in setting minimum requirements.

Therefore, GBI will be moving the discussion of minimum requirements into a separate public input process. GBI's existing minimum requirements will be used as the starting point for obtaining global input on future minimum requirements. Existing minimum requirements are represented by the following statement:

To achieve compliance and final certification under the ANSI/GBI-01 Standard and when using Green Globes® rating systems, each project must meet all jurisdicitonal requirements, achieve at least 35% of applicable points out of 1000 possible points, and complete third-party assessment by the certifying body, the Green Building Initiative, sole owner of the global rights to Green Globes. Specific protocols under the Green Globes suite of tools, such as Green Globes Multifamily, may have additional and more stringent minimum requirements that are updated from time to time. To obtain information on minimum compliance requirements, please visit <a href="www.thegbi.org">www.thegbi.org</a> or inquire at <a href="mailto:info@thegbi.org">info@thegbi.org</a>.



If you are interested in submitting input to GBI on minimum requirements for compliance with this Standard or any Green Globes rating system or protocol, please contact Emily Marx, Manager, GBI Standards & Program Support, at <a href="marx@thegbi.org">marx@thegbi.org</a>. All notification of public input processes related to GBI programs will be sent to GBI's stakeholders community, which you can join by completing a stakeholder application at <a href="www.thegbi.org/ANSI">www.thegbi.org/ANSI</a>.

#### **How to Submit Public Comments**

Calls for public comment will be published in ANSI Standards Action. Anyone wishing to submit a comment will be asked to complete a public comment form located at <a href="www.thegbi.org/ANSI">www.thegbi.org/ANSI</a>. To submit a proposal for a substantive change to the Standard commenters must be specific about the change they are requesting and provide a reason. Commenters are expected to copy and paste a section of the Standard into the comment form and use <a href="strikethrough">strikethrough</a> and <a href="www.underline">underline</a> to identify suggested deletions and additions to the text.

Learn more about the public comment process or review GBI's ANSI-approved procedures at <a href="www.thegbi.org/ANSI">www.thegbi.org/ANSI</a>. For more information on upcoming calls for public comment or to request a public comment form, please contact the Secretariat at <a href="comment@thegbi.org">comment@thegbi.org</a>.

#### Who Should Use This Standard

Property owners/operators, architects, green building consultants, design teams, developers, contractors, lenders, institutions, various levels of government, tenants, and occupants, as well as facility managers and maintenance personnel can apply this Standard to a broad range of commercial building types—such as office, multi-family, health care, schools, universities, labs, industrial, and retail. The Standard does not apply to single-family homes, two-family homes and townhouses that are three stories or less in height.

ANSI/GBI 01-2019: Green Globes Assessment Protocol for Commercial Buildings includes prescribed levels of achievement that government agencies or other entities wishing to establish specific criteria may consider when adopting this Standard. GBI also develops customized tools for governments to comply with government-specific requirements or other codes and standards. An example is GBI's unique Guiding Principles Compliance program, which is customized for use by federal agencies for compliance with Executive Orders and "High Performance and Sustainable Building" mandates.

To learn more about current Green Globes tools, visit <a href="www.thegbi.org">www.thegbi.org</a>. To learn about participation in GBI's Standard development and ANSI consensus processes, visit <a href="www.thegbi.org/ANSI">www.thegbi.org/ANSI</a> or contact GBI's Secretariat at <a href="comment@thegbi.org">comment@thegbi.org</a>.



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#### 1. PURPOSE

This Standard provides a method for assessing commercial buildings relative to the tenets of integrated design and contemporary best practices for high-performance green buildings. This assessment method addresses the design and construction of buildings with respect to reducing life cycle resource consumption, waste, and global/regional/local environmental impacts; contributing to human and ecological system health; and providing performance feedback to owners and communities on indicators such as energy and water performance.

#### 2. SCOPE

This Standard applies to a broad range of commercial building types, including offices, multi-family, health care, schools, universities, labs, industrial, retail, etc., as well as to *major renovations* as defined in Section 5 Definitions, Abbreviations, and Acronyms of the Standard. The Standard does not apply to single-family homes, two-family homes, and townhouses that are three stories or less in height.

The Standard includes a points-based assessment rating system that allows users to identify solutions that earn points for actions likely to achieve levels of performance commonly valued as having desirable environmental and related efficiency outcomes. The assessment criteria and rating system within the Standard apply to new commercial buildings and *major renovations*, including criteria related to planning for subsequent operations and maintenance.

The six Assessment Areas\_within the Standard include Project Management, Site, Energy, Water Efficiency, Materials, and Indoor Environment.

This Standard shall not be used to circumvent any code, health, safety, security, or environmental requirements. It is the sole responsibility of the user of this Standard to establish appropriate safety and health practices, to comply with required building codes, and to assess the applicability of criteria based on other possible regulatory limitations prior to use.

#### 3. ACHIEVEMENT LEVELS, MINIMUMS, NOT APPLICABLES AND THIRD PARTY ASSESSMENTS

#### 3.1 Achievement Levels

Levels of Achievement 1, 2, 3, and 4 are specified in Table 1 below.

#### TABLE 1

Levels	Percentage of Points Achieved Out of Applicable Points	Description
Level 4	85-100%	Demonstrates world-class leadership in resource efficiency and reduced environmental impacts.
Level 3	70-84%	Demonstrates outstanding leadership in resource efficiency and reduced environmental impacts and a commitment to continual improvement.
Level 2	55-69%	Demonstrates noteworthy progress applying best practices toward resource efficiency and reducing environmental impacts.
Level 1	35%-54%	Demonstrates movement beyond awareness and a commitment to resource efficiency and reducing environmental impacts.

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#### **3.2 Minimum Achievement Requirements**

To achieve compliance in any of the four Levels, buildings must:

- 1. attain a minimum of 35% of applicable points out of the 1000 possible points available; and
- 2. attain a minimum percentage of points in each environmental assessment area as denoted in Table 2.

Where calculations are used to determine points achieved, round to the nearest whole number.

TABLE 2

TABLE 2			
Environmental Assessment Area	Total Points Available	Minimum Percentage of Points Required For Compliance at the First Level	
Project Management	100	20% of applicable points	
Site	150	20% of applicable points	
Energy	260	20% of applicable points	
Water Efficiency	190	20% of applicable points	
Materials	150	20% of applicable points	
Indoor Environment	150	20% of applicable points	
Total	1000 (less Not Applicable points)		

#### 3.3 Not Applicable Criteria

Each environmental assessment area contains certain criteria that a design and delivery team may deem to be "Not Applicable" to the building. Selecting "Not Applicable" may be appropriate in the following circumstances as denoted in Table 3:

TABLE 3

Reasons for Use of Non-applicable Criteria			
1	If a criterion does not apply to the building type (e.g., if there are no oil fired burners on site,		
1	questions related to oil fired burners would be designated Not Applicable).		
2	If a code or regulation overrides, conflicts with, or otherwise prevents compliance with a		
2	criterion.		
3	If a criterion conflicts with best practices based on regional climatic differences.		

Questions without a Not Applicable option should be answered as appropriate for the building.

#### 4. ASSESSMENT OF COMPLIANCE

Assessment of compliance with a specific Level of Achievement (Table 1) can be established through a third-party review of appropriate written plans, working drawings, specifications, site plans, energy modeling, life cycle assessment results, commissioning reports, construction documents and/or other data or documents that demonstrate conformance.

Items from the "Recommended Documentation" list at the end of each area of compliance in this Standard are typical documents that providers of the third-party assessment will use prior to, or in conjunction with, a post-construction site



visit and walk-through to assess compliance, although additional documentation may be requested or substituted prior to, or during, the on-site visit.

Informational Reference(s):

The Green Building Initiative's Third-Party Rating/Certification for Green Globes

#### 5. DEFINITIONS, ABBREVIATIONS, AND ACRONYMS

#### 5.1 Definitions

**Note:** Italicized words found throughout this Standard indicate that a definition for the term can be found in the Definitions Section. Definitions not found in this section may be found in referenced standards contained in this Standard, and the user shall adhere to the meanings as defined in those standards. Other terms not defined in this Section or in referenced standards contained in this Standard shall have their ordinarily accepted meanings within the context in which they are used. Ordinarily accepted meanings are based upon American Standard English language usage as documented in a comprehensive dictionary. Where definitions in this Standard differ from those in a reference standard or any other source, definitions found in this Standard shall be used.

acoustically separated area: an enclosed space that, to function properly, requires separation from other adjacent spaces by wall, floor, and ceiling assemblies that have an STC rating adequate to allow clear, intelligible communication between sender and receiver within the space (e.g. meeting rooms, auditoria, theaters, concert venues, cinemas, lecture halls, libraries, classrooms, conference rooms, counseling offices, private offices, private rooms in health care facilities, sleeping rooms, etc.).

article: a manufactured item which:

- a.) is formed to a specific shape or design during manufacture;
- b.) has end use function(s) dependent in whole or in part upon its shape or design during end use; and
- c.) has either no change of chemical composition during its end use or only those changes of composition which have no commercial purpose separate from that of the *article*, and that result from a chemical reaction that occurs upon end use of other chemical substances, mixtures, or *articles*; except that fluids and particles are not considered *articles* regardless of shape of design.

**assemblies:** building systems categorized as exterior walls, internal partitions, windows, interim floors, roofs, beams, and columns.

alternate water source(s): non-potable water resources or water supplies not developed for potable use.

**autoclaves:** (see *steam sterilizers*).

baseline equivalent emission rate (BER): the baseline building emission rate (BER) represents the mass carbon dioxide equivalent( $CO_2e$ ) emitted for the average U.S. commercial building in the proposed building's location when using data from the U.S. Department of Energy's Energy Information Administration's (EIA) "Commercial Building Energy Consumption Survey (CBECS)." The BER is expressed as the mass of CO2e emitted per year per unit area of the total useful floor area of a building – lb./ft²/yr. (kg/m²/yr.).



**biobased content:** that portion of a material or product derived from plants and other renewable agricultural, marine, and/or forestry resources. *Biobased content* does not include animal feed, food, or biofuels.

**boilerless/connectionless food steamers:** an appliance designed to cook food within an enclosure via steam-laden air that does not have a dedicated connection to a water supply.

**brownfield:** real property, the expansion, redevelopment, or *reuse* of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant (Some legal exclusions and additions may apply).

**building commissioning:** a process for enhancing the delivery of a project. The process assesses and documents that the facility,-systems, and/or *assemblies* are planned, designed, installed, tested, and can be operated and maintained to meet the Owner's Project Requirements.

**building envelope:** the element of a building that separates the conditioned interior space from the exterior, such as walls, roofs, floors, slabs, foundations, doors, and *fenestration*.

building product: building elements and assemblies.

**building resilience:** the ability of a building and project site to withstand and recover rapidly from adverse events and to adapt to changing environmental conditions.

**C-factor** (thermal conductance): the amount, in British Thermal Units (Btu), that flows each hour through 1 ft<sup>2</sup> of the surface area of material when there is a 1° temperature difference between the inside and outside air Btu/hr-ft<sup>2</sup>-F.

carbon dioxide equivalent (CO2e): a measure used to compare the impact of various greenhouse gases based on their global warming potential (GWP). CO2e approximates the time-integrated warming effect of a unit of a given greenhouse gas, relative to that of carbon dioxide ( $CO_2$ ). GWP is an index for estimating the relative global warming contribution of atmospheric emissions of a unit mass of a particular greenhouse gas compared to the emission of a unit mass of  $CO_2$ .

**carbon offset:** a certificate representing the reduction of one metric ton (2,205 lbs.) of *carbon dioxide equivalent* emissions.

**cartridge filtration:** a removable type of filtration unit containing media. Cartridge filters are removed and cleaned or replaced as a unit in entirety.

**CAS number**: assignment by the Chemical Abstracts Service (CAS), a division of the American Chemical Society, which assigns numbers to chemicals to allow for database searches. Most molecule databases allow searching by *CAS number*.

**charrette**: a collaborative session in which a project team creates a solution to a design or project problem. The structure may vary, depending on the complexity of the problem or desired outcome and the individuals working in the group. *Charrettes* can take place over multiple sessions in which the group divides into sub-groups. Each sub-group then presents its work to the full group as material for future dialogue. *Charrettes* can serve as a way of quickly generating solutions while integrating the aptitudes and interests of a diverse group of people.

**clear views:** direct, unobstructed visual sightlines from a seated or standing position inside the building to a point at least 20 ft. (6.1 m) outside the building allowing occupants exposure to sunlight and a visual connection to nature and the outdoors. Adjustable shading devices for glare control shall not be considered an obstruction.



**climate zone:** see Normative Appendix B of ANSI/ASHRAE/IESNA Standard 90.1-2013, or Section 301 of the 2015 International Energy Conservation Code (IECC).

#### clothes washer:

- **commercial clothes washer:** a front-loading or top-loading *clothes washer* designed for use in applications in which the occupants of more than one household will be using the *clothes washer*, such as multi-family housing common areas or laundromats.
- multi-load clothes washer: a clothes washer with a bulk capacity generally equal to or greater than 25 lbs. (11.33 kg) of laundry; used in commercial laundromat operations and multi-family common areas for tenant use and are coin- or card-operated.
- **single-load clothes washer:** a *clothes washer* with a bulk capacity less than 25 lbs. (11.33 kg); typically termed "family-sized" and found in dwelling units, commercial laundromats, and multi-family common areas for tenant use. Except for those located within dwelling units, SLWs are typically coin-or card-operated.
- **tunnel washer:** an industrial laundry machine design specifically to accommodate heavy wash loads; also called a continuous batch washer. In operation, laundry progresses through the washer in one direction, while water and washing chemicals move through in the opposite direction on a continuous basis.

combination oven: an appliance designed to cook food within an enclosure via hot air convection and steam-laden air.

**conceptual design phase:** a document that records the concepts, calculations, decisions, and product selections used to meet the owner's project requirements and to satisfy applicable regulatory requirements, standards, and guidelines. The document includes both narrative descriptions and lists of individual items that support the design process.

**construction documents:** all of the written and graphic documents (including BIM, CAD, and other electronic files) prepared or assembled by the architect/engineer for communicating the design and administering the project. The term "Construction documents" also includes the Project Manual that contains the bidding forms and instructions, contract forms and conditions, and specifications, as well as documentation of all modifications made after the construction agreements are signed.

**construction documents phase:** the last stage of the design process. The *design and delivery team* is focused on finalizing the drawings and specifications for all components and systems of the building producing the Contract Documents. A complete set of Contract Documents provides a comprehensive, fully coordinated set of *construction documents* and specifications that the contractor uses to obtain necessary permits and construct the project.

**conventional filtration:** sorptive media filtration (e.g., with perlite or diatomaceous earth) in which regular backflushing is done with each filter cleaning and the media is replaced after each flush. In *conventional filtration,* the media is mixed in a slurry process inside the filter and deposited on fabric coated tubes in the pressure vessel.

**counterflow systems:** an evaporative cooling system in which the flow of air is upward across the wetted cooling media.

**cradle-to-gate product life cycle:** a partial product life cycle from resource extraction (cradle) to the factory gate before the product is transported to the consumer. This includes the product stages or raw material supply, transport, and manufacturing. The construction process, use, and end-of-life stages of the product are omitted in this case.

**cradle-to-grave product life cycle:** the full product life cycle from resource extraction (cradle) through the disposal stage (grave). This includes the product, construction process, use, and end-of-life stages.



crossflow system: an evaporative cooling system in which the flow of air is horizontal across the wetted cooling media.

**daylighting:** the integration of natural light for an enhanced connection to nature and to minimize the need for artificial lighting during the day using strategies such as effective *orientation* and placement of windows, use of light wells, light shafts or tubes, skylights, clerestory windows, light shelves, reflective surfaces, and shading, and the use of interior glazing to allow light into adjacent spaces.

**deconstruction:** the systematic dismantling and removal of a structure or its parts to salvage and harvest the components, for the purpose of reusing and recycling the *reclaimed materials* for their maximum value; the disassembly of a building with the explicit intent of recovering building materials for safe and economical *reuse*.

**design development phase:** refines the scope of work previously approved in the *schematic design phase*. In this phase, the project is developed to a level of detail necessary to work out a clear, coordinated description of all aspects of the project. Major elements including equipment, fire protection, mechanical, electrical, structural, telecommunications and plumbing systems are designed and coordinated through enlarged scale drawings, detailed elevations, and plans, and design mockups as required.

**direct lighting:** lighting provided from a source without reflection from other surfaces, which allows light to travel on a straight path from the light source to the point of interest, such as ceiling-mounted or suspended *luminaires* with mostly downward light distribution characteristics.

**drift eliminator:** structure to control water lost from cooling towers as liquid droplets are entrained in the exhaust air. A *drift eliminator* does not prevent water lost by evaporation.

**drip irrigation:** any non-spray, low volume irrigation system utilizing emission devices with a flow rate measured in gallons per hour (gal/hr\_) or liters per hour (L/hr\_). Low volume irrigation systems are specifically designed to apply small volumes of water slowly at or near the root zone of plants.

**drought tolerant plant:** a plant that can withstand long periods with little or no water and/or that have relatively low water requirements.

**dry vacuum system:** a system that does not use water to form a seal for a vacuum pump or use flowing water to create a vacuum.

**existing building:** a building or portion thereof that was previously occupied or approved for occupancy by the authority having jurisdiction.

**exterior vegetated space:** outside the building footprint and paved areas. Applies only to sites where the site is vegetated with plants that are native, adapted to the ecosystem and/or non-invasive.

**fenestration:** all areas (including frames) in the *building envelope* that transmit light including windows, translucent panels, clerestory windows, skylights, and glass block walls. For doors where the glazed vision area is less than 50% of the door area, the *fenestration area* is the glazed vision area. For all other doors, the *fenestration area* is the door area (including frames).

fenestration area: total area of the fenestration measured using the rough opening and including glass, sash, and frame.

**F-factor:** the perimeter heat loss factor for slab-on-grade floor, expressed in Btu/hr-ft-°F (W/m-K).



food waste disposer: a device used to shred food and other kitchen wastes prior to disposal.

**formulated product:** any combination or blend of two or more constituent chemicals if the combination does not occur in nature and is not, in whole or in part, the result of a chemical reaction.

**furnishings, finishes, and fit-outs**: products and materials permanently installed on the interior of a building. This definition includes casework, shelving, and cabinets as well as finish materials used on floors, walls and ceilings. This definition does not include moveable furniture such as desks, tables, and chairs.

**global warming potential (GWP):** an index, describing the radiative characteristics of well-mixed greenhouse gases, that represents the combined effect of the differing times these gases remain in the atmosphere and their relative effectiveness in absorbing outgoing infrared radiation. This index approximates the time-integrated warming effect of a unit mass of a given greenhouse gas in today's atmosphere, relative to that of carbon dioxide. (See *carbon dioxide equivalent*).

**graywater:** Untreated waste water that has not come into contact with toilet waste, kitchen sink waste, dishwasher waste or similarly contaminated sources. *Graywater* includes waste water from bathtubs, showers, and bathroom wash basins, *clothes washers* and laundry tubs.

greenfield: undeveloped lands such as fields, forests, farmland or rangeland.

**grid displaced electricity:** all electricity generated in or on the building site by, for example, PV panels, wind-power, combined heat and power systems (CHP), or similar systems.

**impervious hardscape area:** a hard surface area (e.g., parking lot) that prevents or retards the entry of water into the soil, thus causing water to run off the surface in greater quantities and at an increased rate of flow.

**indoor environmental quality:** refers to the quality of the air and environment inside buildings, based on pollutant concentrations and conditions that can affect the health, comfort, and performance of occupants-including temperature, relative humidity, light, sound and other factors.

**integrated design process (IDP):** a holistic approach to project design and planning where project team members from multiple disciplines work together throughout the project design and delivery process; this emphasizes goal setting, clear and ongoing communication, attention to detail, and active collaboration among team members with the objective of achieving holistic solutions.

**integrated pest management:** the use of different techniques to control pests, used singly or in combination, such as selection of pest-resistant plant varieties, regular monitoring for pests, use of pest-resistant materials or use of natural predators of the pest, to control pests, with an emphasis on methods that are least injurious to the environment and most specific to the particular pest.

**landscape irrigation sprinkler(s):** hydraulically operated mechanical device that discharges pressurized water into the air through a nozzle(s) as a spray or stream of water.

**lavatory:** a washbowl or basin plumbing fixture supplied with water from a *lavatory faucet* located within the confinements of a bathroom or toilet room and used for the sole purpose of personal hygiene.

**lavatory faucet(s).:** a fitting that controls the flow of water into a *lavatory*.



**light pollution:** any adverse effect of artificial light including sky glow, glare, light trespass, light clutter, decreased visibility at night, and energy waste.

**luminaire:** a complete lighting unit, consisting of an artificial light source(s) together with the components required to mount the unit and distribute the light, position the light source, and connect the light source to a power supply (often referred to as a "fixture").

major renovation: has occurred when 50% of the gross area (measured to the exterior footprint) of the building has been renovated.

**makeup water:** water added to a cooling tower for water replenishment or water quality maintenance in a cooling tower, evaporative cooler, humidifier, fountain, pool, or other items in which water is continually depleted or used during operation.

mature plant: a full-grown plant or the size of the plant after a specified period once the plant becomes established.

meter (or sub-meter): an instrument used to measure the volume and/or rate of flow of water in a conduit or channel.

**modular construction:** the remote assembly of major portions of a building constructed of multiple material types involving several trades working together to build a modular unit such as a bathroom pod, patient room pod, or a wall/floor/roof assembly including HVAC, electrical, and plumbing components.

**mulch:** a layer of permeable material applied to the surface of a landscape area to help conserve soil moisture, improve soil health, discourage weed growth and enhance visual appeal.

**multi-load:** (see *clothes washer*)

**neighborhood asset(s):** a single physical location where business transactions or services are available to the public. *Neighborhood assets* include, but are not limited to grocery stores, banks, retail outlets, and nonprofit and public services such as religious facilities, schools, parks, police and fire stations, and government offices.

**non-potable water:** water that is not *potable water* (see *potable water*).

**non-structural element(s):** elements attached to or housed in a building or building system, that are not part of the main load-resisting *structural system* of the building. These include:

- 1. architectural elements such as a parapet wall, partition wall, non-load carrying windows, suspended ceilings, *furnishings*, cladding systems, and veneer;
- 2. mechanical system components;
- 3. electrical system elements; and
- 4. miscellaneous components, such as sign boards and file cabinets.

**off-site renewable energy**: green power or *Renewable Energy Certificates (RECs)* purchased from a third-party source such as an electrical utility. There is no physical *renewable energy* system either on site or specifically connected to the building.

**once-through water-cooled equipment:** equipment that uses a heat exchange process for cooling only once before discharge of the water to a drainage system.



**on-site renewable energy**: energy derived from sun, wind, water, the Earth's core, and various forms of biomass from recovered waste sources that is captured, stored and used on the building site, using such technologies as wind turbines, photovoltaic solar panels, transpired solar collectors, solar thermal heaters, and small-scale hydroelectric power plants.

**orientation:** the relation of a building and its associated *fenestration* and interior surfaces to compass direction and, therefore, to the location of the sun, usually given in terms of angular degrees away from the south, (e.g., a wall facing due Southeast has an *orientation* of 45 degrees east of south).

overhang: a horizontal projection for a window or wall.

ozone depletion potential (ODP): a number that refers to the amount of ozone depletion caused by a substance. The *ODP* is the ratio of the impact on ozone of a chemical compared to the impact of a similar mass of CFC-11. Thus, the *ODP* of CFC-11 is defined to be 1.0. Other CFCs and HCFCs have *ODP*s that range from 0.01 to 1.0. The halons have *ODP*s ranging up to 10. Carbon tetrachloride has an *ODP* of 1.2, and methyl chloroform's *ODP* is 0.11. HFCs have zero *ODP* because they do not contain chlorine. Manufacturers publish tables of all ozone depleting substances showing their *ODPs*, *GWPs*, and *CAS numbers*.

**permeable pavement(s):** infiltrate, treat, and/or store *rainwater* where it falls. They can be made of *pervious concrete, porous asphalt,* or permeable interlocking pavers.

**pervious concrete:** allows some or all water to penetrate the concrete assembly

porous asphalt pavement(s): allows some or all water to penetrate the asphalt assembly.

**post-consumer recycled content:** the portion of *recycled material,* in a product, generated by households or by commercial, industrial and institutional facilities in their role as end-users of the product which can no longer be used for its intended purpose. *Post-consumer recycled content* includes returns of materials from the distribution chain.

**potable water:** water that meets the requirement of the authority having jurisdiction and is satisfactory for drinking, culinary, and domestic purposes.

**pre-consumer recycled content:** the portion of *recycled material* in a product diverted from the waste stream during a manufacturing process. Materials that have been reutilized (i.e., reworked, reground, or scrap generated in a process and capable of being reclaimed within the same process that generated it) are excluded.

**pre-design:** the activities that happen during or prior to the conceptual/schematic design phase of the project.

**prefabrication:** off-site, custom fabrication of major building elements in specialized facilities, in which various materials are joined to form a component part of a final installation. Examples include trusses, joists, structural steel fabrications, architectural casework, curtain wall, and precast concrete. This does not include manufactured, multi-material components such as windows, doors, and gypsum sheathing unless they are\_incorporated into a prefabricated building element.

**pre-rinse spray valve(s):** a handheld device, used with commercial dishwashing and warewashing equipment and applications, that sprays water on dishes, flatware, and other food service items to remove food residue before cleaning and sanitizing the items.



**pressure regulation:** a device used to maintain a constant, desired down-stream water pressure in a pipeline or emission device.

**previously developed site:** land that is or was occupied by a permanent structure (excluding agricultural or forestry buildings), and associated fixed surface infrastructure.

**primary [regularly] occupied space:** a room or enclosed space designed for human occupancy in which individuals perform activities for which the space has been specifically designed.

**product formulation:** any combination or blend of two or more constituent chemicals, if the combination does not occur in nature and is not, in whole or in part, the result of a chemical reaction.

**proposed equivalent emission rate (PER)**: *PER* is expressed as the mass of CO2e emitted per year per unit area of the total useful floor area of the proposed building - lb./ft²/yr. (kg/m²/yr.).

**R-value:** indicates the resistance to heat flow (thermal resistance) of a material. The *R-value* of thermal insulation depends on the type of material, its thickness, and its density. **The higher the** *R-value*, **the greater the insulating effectiveness.** In calculating the *R-value* of a multi-layered installation, the *R-values* of the individual layers are added.

rain shutoff device: a device connected to an irrigation controller that overrides scheduled irrigation when significant precipitation is detected.

**rainwater:** untreated water from natural precipitation that has not been contaminated by use. Can be utilized through *rainwater harvesting*.

rainwater catchment: collection and conveyance of precipitation from a rooftop or other constructed, above ground collection surface.

rainwater harvesting: utilizing rainwater for potable, non-potable, industrial or irrigation applications.

**reclaimed [recycled] water:** highly treated wastewater that can be used for irrigation or other *non-potable* uses to extend water supplies.

**recovered [reclaimed] material:** material that would have otherwise been disposed of as waste or used for energy recovery (e.g., incinerated for power generation), but has instead been collected and recovered as a material input, in lieu of virgin primary material, for recycling or a manufacturing process.

**recycled content:** proportion, by mass, of *recycled material* in a product or packaging. Only *pre-consumer* and *post-consumer recycled materials* are considered to be *recycled content* (see **recycled material**).

**recycled material:** materials that have been diverted from the waste stream and reprocessed and remanufactured to form part or all of a new product.

**regenerative sorptive media:** filtration media capable of filtering down to 5 microns, that is usually composed of diatomaceous earth or perlite but that is unique in that it is not back-washed and replace after each use, but rather agitated off of filter tubes and then recoated on the filter. In addition to only needing occasional replacement, filtration processes using this type of media are much more water efficient.



remediation: cleanup or other methods used to remove or contain a toxic spill, contamination or hazardous material.

**renewable energy:** energy that is continuously replenished on the Earth, such as wind, solar thermal, solar electric, geothermal, hydropower, and various forms of biomass from recovered waste sources.

**Renewable Energy Certificates (RECs)**: renewable energy certificates (RECs) also known as renewable energy credits, green certificates, green tags, or tradable renewable certificates, represent the environmental attributes of the power produced from *renewable energy* projects and are sold separately from commodity electricity. Customers can buy green certificates whether or not they have access to green power through their local utility or a competitive electricity marketer and they can purchase RECs without having to switch electricity suppliers.

**renovation:** changing in-kind, strengthening, refinishing, or replacing of structural elements or upgrading of existing materials, equipment and/or fixtures.

**reuse:** to use an object, material or resource again, either for its original purpose or a similar purpose, without significantly altering the physical form of the object or material.

**risk:** the probability that a *product formulation, article* or constituent chemical will cause an unacceptable hazardous or toxic human health or safety, or ecological effect under the intended exposure and use conditions.

**risk assessment, product:** a scientific product composition screening-level analysis that determines if a *product formulation, article,* or constituent chemical will produce a risk, based upon constituent hazards, dose and exposure assessments, and risk characterization.

**risk characterization ratio (RCR):** the quantitative probability estimate for adverse effects (i.e., toxicity) to occur under defined exposure conditions – calculated as *RCR*= exposure dosage/no adverse effects dosage, with *RCR* values < 1.0 indicating the risk is adequately controlled.

**salvaged material:** discarded or unused construction materials or products removed from a structure or a site that have value and can be directly substituted for new materials or products with minimal reprocessing.

**sand-based filtration:** filtration that does not utilize a sorptive media (such as diatomaceous earth or perlite) and does not filter down to 5 microns.

**service life:** the expected lifetime of a product.

**shared use [multi-user] path:** a form of infrastructure that supports multiple non-motorized transportation opportunities, such as walking, bicycling and inline skating. A multi-use path is physically separated from motor vehicular traffic with an open space or barrier.

sidelit daylighted area: the perpendicular area from the glazing into the space that is determined by either:

- 1. a distance of 15 ft. (4.6 m) or
- 2. the perpendicular distance from the glazing to the nearest partition that is 5 ft. (1.5 m) or higher multiplied by the smaller of either;
  - a. the width of the window plus 2 ft. (0.6 m) on both sides,
  - b. the width of the window plus the distance to a permanent partition, or
  - c. the width of the window plus one-half the distance to the closest skylight or vertical glazing.



**single load:** (see *clothes washer*)

**Smart Water Application Technology (SWAT):** a national initiative of water providers and irrigation industry representatives to promote landscape water-use efficiency through the application of state-of-the-art irrigation technologies.

**soil moisture sensor**: a device to measure the moisture level in the soil and which is, in some instances, connected to an irrigation system to signal the bypass of the scheduled irrigation cycle if the soil moisture is above a specified level.

**specialized activities:** activities that generate pollutants, that may include but are not limited to, printing rooms, and areas that contain industrial and quasi-industrial equipment.

**splash out trough:** the channel located around the edge of a pool that is designed to catch water that otherwise would spill or be tracked out of the pool onto the decking. The splash out trough drains back to the pool system.

**steam sterilizer [autoclave]:** a device that uses moist heat in the form of saturated steam under pressure for a predetermined period of time to sterilize materials.

**stormwater:** natural precipitation that has contacted a surface at, below (channels storm drain pipes), or above (elevated roadways) grade.

**structural system:** the load-resisting system of a structure that transfers loads to the soil or supporting structure through interconnected structural components or members.

**sub-meter:** a subdivision of the utility metering of a building that records the proportionate energy use of specific building systems and appliances.

**substantial completion:** the stage in the progress of a construction project when the project or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the project for its intended use.

**Superfund site:** a site that is on the U.S. Environmental Protection Agency's (EPA) National Priority List (NPL) based on a scoring process that rates its current or potential health impact.

task lighting: light that is directed to a specific surface or area to provide illumination for visual tasks.

toplit daylighted area: the actual perimeter of the rough glazing unit or skylight opening to a point expanding outward from each side to a distance of 70% of the ceiling height. Areas of overlap with toplit daylighted area or sidelit daylighted area can only be applied to one area. Light obstructed by a permanent partition that is 5 ft. (1.5 m) high or taller is not considered as part of the toplit daylighted area.

**Total Material Value:** the invoiced cost of materials and products as received by the contractor, permanently installed in the building project, not including the contractor's profit, overhead, or labor. Alternatively, 45% of the total construction cost may be used to establish the *Total Material Value*.

**Tree Protection Zone (TPZ):** an area established to minimize damage to trees and their root systems. The TPZ is determined by measuring the diameter of the trunk at a standard height of 4.5 ft. (1.37 m) above the ground line and a radius from the tree trunk is extended 1.5 ft. (.46 m) for each inch (2.54 cm) of trunk diameter. For example, if the tree

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trunk is 10 in. (25.4 cm) at 4.5 ft. (1.37 m) above the ground line, then the TPZ radius would extend 15 ft. (4.57 m) from the tree trunk in all directions.

**U-factor (thermal transmittance):** the heat transmission in unit time through unit area for all the elements of construction and the boundary air films, induced by unit temperature difference between the environmental conditions on each side. Btu/hr-ft²-°F (W/m²-K).

variable air volume (VAV) system: an HVAC system that provides temperature control by varying the supply of conditioned air in different parts of the building according to heating and cooling needs. The air supply temperature may be constant or varied (also according to heating and cooling needs).

variable occupancy: a variance of 30% from design occupancy for a minimum of 30% of normally occupied hours.

**vegetated roof**: a roof system that may include a water proofing and root repellant system, a drainage system, filter cloth, a lightweight growing medium, and plants. *Vegetated roof* systems can be modular, with drainage layers, filter cloth, growing media and plants already prepared in movable, interlocking grids or each component can be installed separately.

waste heat: waste heat from industrial processes and power stations rated at more than 10MWe and with a power efficiency of greater than 35%.

water factor (WF): the quotient of the total weighted per-cycle water consumption for cold wash divided by the cubic foot (or liter) capacity of the *clothes washer*.

water features: a designated, often artificial, area in which visible water is moving or open for some purpose. While often this is for aesthetic purposes, these areas may have multiple uses. Generally, the term applies to places not used exclusively for irrigation.

water tempering device: a device that cools a discharge of hot water or steam to the sanitary sewer by dilution (mixing) with cooler water.

wetland: natural or constructed areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, bogs and similar areas.

#### 5.2 Abbreviations and Acronyms

**APBP:** Association of Pedestrian and Bicycle Professionals

ASA: Acoustical Society of America

**ASABE:** American Society of Agricultural and Biological Engineers

**ASCE:** American Society of Civil Engineers

**ASTM:** ASTM International



ASHRAE: American Society of Heating, Refrigerating and Air-Conditioning Engineers

**ATFS:** American Tree Farm System

**BUG:** Backlight, Uplight and Glare Ratings

CABI: Center for Agriculture and Bioscience International

**CAS:** Chemical Abstracts Service

CBECS: Commercial Building Energy Consumption Survey. Developed by the U.S. Department of Energy's

Energy Information Administration (EIA)

CDPH: California Department of Public Health

**CHPS:** Collaborative for High Performance Schools

CO2e: Carbon Dioxide Equivalent Emissions Rate

CRI: Carpet and Rug Institute, Inc.

**EMS:** Environmental Management System

**EPA**: Environmental Protection Agency

**FEMA:** Federal Emergency Management Agency

FGI: Facility Guidelines Institute

HVAC&R: heating, ventilating, air-conditioning, and refrigerating

IAPMO: International Association of Plumbing and Mechanical Officials

ICC: International Code Council®

**IDP:** Integrated Design Process

**IECC:** International Energy Conservation Code

IES: Illuminating Engineering Society of North America

**INCE:** Institute of Noise Control Engineering

ISO: International Organization for Standardization

LCA: life cycle assessment



LWA: Landscape water allowance

MERV: Minimum Efficiency Reporting Value

**MURB:** Multi-Unit Residential Building

**NBI:** New Buildings Institute

**NCAC:** National Council of Acoustical Consultants

NFPA: National Fire Protection Association

**NISIC:** National Invasive Species Information Center

**NIST:** National Institute of Standards and Technology

NREL: National Renewable Energy Laboratory

**PEFC:** Programme for Endorsement of Forest Certification

**RCR:** Risk Characterization Ratio

**RELs:** Reference Exposure Levels

**RFCI:** Resilient Floor Covering Institute

**SCAQMD:** South Coast Air Quality Management District

**SMACNA:** Sheet Metal and Air Conditioning Contractors' National Association

TCNA: Tile Council of North America

**USDA**: United States Department of Agriculture

**VOC**: Volatile Organic Compounds

**WBDG:** Whole Building Design Guide

WF: Water Factor

WISP: Whole Systems Integration Process

**ZWIA:** Zero Waste International Alliance



#### **ENVIRONMENTAL ASSESSMENT AREAS**

#### 6. PROJECT MANAGEMENT (100 points)

#### 6.1 Team & Owner Planning (45 points)

#### 6.1.1 Performance & Green Design Goals

**6.1.1.1** Performance and green design goals (qualitative AND/OR quantitative) are established in collaboration with the owner in writing and are regularly assessed from *pre-design* through to completion of construction and occupancy for the following listed items:

- Site design;
- Environmentally responsible construction activities;
- Water conservation, efficiency, alternate water sources, and reuse;
- Building envelope and moisture control;
- Energy efficiency;
- Materials including:
  - Efficiency;
  - o Environmentally preferable products; and
  - Storage of hazardous materials;
- Indoor environment including:
  - Acoustic comfort;
  - Thermal comfort;
  - Lighting;
  - o Air quality; and
- Building resilience.

#### Assessment criteria:

Pre-design written goals

Design stage review and assessment of goals prior to:

- Conceptual design phase
- Design development phase
- Construction documents

Construction stage review and assessment of goals at:

- Pre-construction
- 25% completion of budget or schedule
- 50% completion of budget or schedule
- Substantial completion

#### Occupancy assessment of goals:

Owner obtains a contract for Facility
Performance Evaluation or Post-Occupancy
Study to evaluate how the building meets the
original and emerging goals and requirements
within 18 months of being occupied.

#### Maximum = 20 points

- One point is earned for each written performance and green design goal for listed items at *pre-design* to a maximum of eight points.
- One point is earned for evidence of each design stage review and assessment of goals prior to:
  - Conceptual design
  - o Design development
  - Construction documents.
- One point is earned for evidence of each construction stage review and assessment completed at:
  - Pre-construction
  - o 25% completion
  - o 50% completion
  - o Substantial completion.
- Five points are earned for a written plan and contract for post-occupancy review and assessment.



#### **6.1.2** Integrated Design Process

**6.1.2.1** Employ an *Integrated Design Process (IDP)* with evidence of comprehensive *pre-design*, design phase, and construction phase planning and coordination.

Job functions involved in the *IDP* include but are not limited to the following:

- Architect;
- Building Envelope Specialist;
- Civil Engineer;
- Commissioning Agent;
- Community Representative;
- Electrical Engineer;
- Energy Engineer;
- Facilities Manager;
- General Contractor/Construction Manager:
  - Specialty Contractors;
- Interior Designer;
- Irrigation Designer;
- Landscape Architect or Designer;
- Lighting Designer/Illuminating Engineer;
- Mechanical Engineer Plumbing, HVAC, AND/OR Refrigeration;
- Owner's Representative;
- Structural Engineer;
- Sustainability Consultant; AND/OR
- User Group Representative.

#### Informational Reference(s):

- ANSI/MTS 1.0\_Whole Systems Integrated Process Guide (WISP)-2007
- ANSI/ASHRAE/USGBC/IES Standard 189.1-2014, Informative Appendix F: Integrated Design.

Maximum = 14 points

The following points are earned when a minimum of the listed job functions or groups were represented at the following milestones or during the following project phases:

during the following project phases.			
Milestone or Project	Points for	Points for	
Phase	6 to 9 Job	10 or	
	Functions	more Job	
		Functions	
Pre-Design Event			
(meeting, charrette,	3	5	
or workshop)			
Conceptual or Design	1	3	
Phase	1	3	
Construction	1	3	
Documents Phase	<b>-</b>	3	
Final Budget or			
Guaranteed			
Maximum Price			
(GMP) Review with			
the expectation of an	1	3	
in-depth review of	_	3	
the consequences of			
the decisions made			
on the project			
sustainability goals			

## 6.1.3 Site and Building Resilience 6.1.3.1 Building Risk Assessment: An assessment identifying risks to the building including continued building occupancy resulting from extreme natural events, global climate change, and human activity for the expected service life of the building has been conducted and provided to building owners



and designers. The assessment identifies hazards and evaluates the probability and severity of occurrence of those events. These hazards include, but are not limited to, weather, flooding, seismic and volcanic events, drought, wildfire, soil stability, and terrorism.	(Continued from 6.1.3.1)
<ul> <li>Informational Reference(s)</li> <li>National Academies and the Climate Resilience Toolkit/Climate Explorer:         <ul> <li>https://toolkit.climate.gov/ (last accessed 6/30/17)</li> </ul> </li> <li>NOAA Digital Coast:         <ul> <li>https://coast.noaa.gov/digitalcoast/ (last accessed 6/20/17)</li> </ul> </li> <li>NOAA NESDIS 142 Series – Regional Climate Trends and Scenarios for the U.S. National Climate Assessment</li> </ul>	
<ul> <li>6.1.3.2 Building Operational Continuity or Recovery_Assessment: An assessment of the necessity of continuous or rapid recovery of various building functions during and after an extreme event has been conducted.</li> <li>Informational Reference(s)</li> <li>National Academies and the Climate Resilience Toolkit/Climate Explorer: https://toolkit.climate.gov/ (last accessed 6/30/17)</li> <li>NOAA Digital Coast: https://coast.noaa.gov/digitalcoast/ (last accessed 6/20/17)</li> <li>NOAA NESDIS 142 Series – Regional Climate Trends and Scenarios for the U.S. National</li> </ul>	3 points
Climate Assessment  6.1.3.3 Project Specific Design Parameters: Document that the findings of both the risk and building function assessments have been integrated into the building design parameters and are reflected in the final design and construction of the building.  Informational Reference(s)  National Academies and the Climate Resilience Toolkit/Climate Explorer: https://toolkit.climate.gov/ (last accessed 6/30/17)	3 points

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<ul> <li>NOAA Digital Coast: https://coast.noaa.gov/digitalcoast/ (last accessed 6/20/17)</li> <li>NOAA NESDIS 142 Series – Regional Climate Trends and Scenarios for the U.S. National Climate Assessment</li> </ul>	
<b>6.1.3.4 Publication of Emergency Preparedness Manual:</b> A building operation manual has been prepared outlining actions to be taken in the event of an extreme event, materials to be stockpiled in the building if continuing occupancy is anticipated, and timeline for regular review.	2 points
<ul> <li>Informational Reference(s)</li> <li>National Academies and the Climate Resilience         Toolkit/Climate Explorer:         https://toolkit.climate.gov/ (last accessed         6/30/17)</li> <li>NOAA Digital Coast:         https://coast.noaa.gov/digitalcoast/ (last         accessed 6/20/17)</li> <li>NOAA NESDIS 142 Series – Regional Climate         Trends and Scenarios for the U.S. National         Climate Assessment</li> </ul>	

#### **6.1.4 Recommended Documentation**

- Facility performance evaluation;
- List of written performance and green design goals;
- Progress meeting agendas, attendance rosters showing function of each attendee, and meeting minutes;
- Post-occupancy study.

#### 6.2 Environmental Management During Construction (8 points)

#### 6.2.1 Environmental Management System (EMS)

**6.2.1.1** The general contractor (GC) or construction manager (CM) documents the following elements as part of their Environmental Management System (EMS):

- **6.2.1.1.1**: GC/CM Environmental Policy:
  - Includes policies and practices that support the health of humans and site-environment during construction;
- 6.2.1.1.2: Designated GC/CM Environmental Management Plan and Compliance Manager:
  - Lists their qualifications, role, responsibilities, and reporting compliance structure (e.g.,

Maximum = 8 points

- Two points are earned for documenting the items listed in 6.2.1.1.1.
- Two points are earned for documenting the items listed in 6.2.1.1.2.
- Two points are earned for documenting the items listed in 6.2.1.1.3.



- checklists, inspections, and records of compliance).
- Indicates how this information is passed along to project personnel and sub-contractors;
- Describe continuous reporting mechanism;
- 6.2.1.1.3: Project Ecological and Health Risk Assessment:
  - An assessment is conducted prior to the start of construction to identify major risks that could impact the general welfare and health of humans (i.e., residents, workers, visitors, and construction trades people) and the ecological environment surrounding the immediate area of construction for the specific project and local agency requirements;
- 6.2.1.1.4: A construction management policy prohibits smoking within 25 ft. (7.62 m) of the building perimeter and construction zone during the construction phase.
  - Smoking is defined as the inhalation of smoke from burning tobacco, use of electroniccigarettes, or other substance encased in items such as, but not limited to, cigarettes, pipes, and cigars for recreational or medical use.

• Two points are earned for documenting the items listed in 6.2.1.1.4.

#### 6.3 Life Cycle Cost Analysis or Building Service Life Planning (12 points)

Two paths are available for assessing Life Cycle Cost Analysis or Building Service Life Planning.

Path A: Life Cycle Cost Analysis: 12 points
 OR

• Path B: Building Service Life Plan: 12 points

Points cannot be combined between paths. Select one of the paths below.

# 6.3.1 Path A: Life Cycle Cost Analysis 6.3.1.1 A cost of ownership financial analysis is performed on the project's collective bundle of green features (i.e., energy/water conservation measures, energy/water efficiency features, maintenance best practices, waste reduction) that are expected to impact: Project first costs; Operation costs (i.e., utility costs/savings, maintenance and repair costs, costs of replacement,); or Other financial features of ownership.



The analysis is a life cycle cost analysis (LCCA) that compares the life time benefits of ownership to the subsequent costs. The analysis accounts for and cle?arly states all calculation assumptions related to:

(Continued from 6.3.1.1)

- The time value of money;
- Fuel escalation rates;
- Other relevant operational factors that affect the cost of ownership.

The LCCA study period is not less than the expected life of the building or system.

Use projected annual energy costs for the proposed design for this LCCA.

Informational Reference(s):

- NIST Handbook 135, 1995
- ANSI/ASHRAE/USGBC/IES Standard 189.1-2014 Table 10.3.2.3

OR

#### 6.3.2 Path B: Building Service Life Plan

**6.3.2.1** A Building *Service Life* Plan is implemented that includes the expected *service life* estimates, including inspection and replacement during the life of the building. The Building *Service Life* Plan covers the following systems:

- **6.3.2.1.1**: Structural systems;
- **6.3.2.1.2**: *Building envelope* including facades, doors, and windows;
- **6.3.2.1.3**: Building roof system;
- 6.3.2.1.4: Mechanical, electrical, plumbing, fire protection, and energy generation systems;
- **6.3.2.1.5**: Site hardscape; AND/OR
- **6.3.2.1.6**: Furnishing and interior fit-out.

Provide documentation of the project design *service life*, the listed systems service lives, the basis for the determination, and the following details for each assembly or component used in the building:

- Building assembly and material description;
- Design service life in years;
- Predicted service life in years;
- Adaptability and repurposing at end of service life; and
- Maintenance frequency and maintenance access.

Maximum =12 points

 Two points are earned for each of the listed elements included in the Building Service Life Plan up to a maximum of 12 points.



#### Informational Reference(s):

- ANSI/ASHRAE/USGBC/IES Standard 189.1-2014, Section 10.3.2.3
- CSA S478-95 (R2007): Guideline on Durability in Buildings
- ISO 15686 (series), 2014
- Preparing a Building Service life plan for Green Buildings, Dru Meadows, 2014
- Whole Building Design Guide (WBDG), Section 01 81 10 (01120), 2001

(Continued from 6.3.2.1)

#### 6.3.3 Recommended Documentation

- Building service life plan;
- Capital asset plan and business case summary.

#### 6.4 Moisture Control Analysis (6 points)

#### 6.4.1 Moisture Control Design Analysis

**6.4.1.1** A moisture control design analysis is performed on walls and ceilings adjacent to spaces of added moisture AND/OR on abovegrade portions of the *building envelope* in accordance with ASHRAE 160-2009 or a steady-state water vapor transmission analysis for the purpose of predicting, mitigating, or reducing moisture damage to the *building envelope*, materials, components, systems, and *furnishings*.

#### Informational Reference(s):

ASHRAE 160-2009

#### Maximum = 6 points

- Three points are earned when a moisture control design analysis is performed on walls and ceilings adjacent to spaces of added moisture.
  - Not applicable where there are no spaces of added moisture.
- Three points are earned when a moisture control design analysis is performed on above-grade portions of the building envelope.

#### 6.5 Commissioning or Systems Manual & Training (29 points)

Two paths are available for assessing Commissioning or Systems Manual & Training.

- Path A: Building Commissioning and Training: 29 points
   OR
- Path B: Systems Manual and Training: 20 points

Points cannot be combined between paths. Select one of the paths below.

#### 6.5.1 Path A: Building Commissioning and Training

**6.5.1.1** Commissioning and building operator training is conducted in accordance with ANSI/ASHRAE/IES Standard 202–2013, *Commissioning Process for Buildings and Systems*, and ASHRAE Guideline 0-2013, *The Commissioning Process*, for the following building systems:

Maximum = 29 points or N/A

 Six points are earned if commissioning and training is conducted for HVAC&R systems and controls.

• **6.5.1.1.1**: HVAC&R systems and controls;



- **6.5.1.1.2**: Building envelope;
- **6.5.1.1.3**: Lighting systems and controls;
- **6.5.1.1.4**: Plumbing;
- **6.5.1.1.5**: Irrigation systems;
- **6.5.1.1.6**: Electrical system including all renewable electrical generation;
- 6.5.1.1.7: Elevating and conveying systems;
- 6.5.1.1.8: Communication AND/OR Sound Masking systems; AND/OR
- 6.5.1.1.9: Other significant functional AND/OR energy systems (describe) that account for 10% or more of the total building energy use (describe).

- Six points are earned if commissioning and training is conducted for the building envelope.
- Six points are earned if commissioning and training is conducted for Lighting systems and controls.
- Two points are earned if commissioning and training is conducted for plumbing systems.
- Two points are earned if commissioning and training is conducted for irrigation systems.
  - Not applicable if there are no irrigation systems.
- Two points are earned if commissioning and training is conducted for Electrical systems.
- One point is earned if commissioning and training is conducted for Elevating/conveying systems.
  - Not applicable if there are no elevating/conveying systems.
- Two points are earned if commissioning and training is conducted for Communications AND/OR sound masking systems.
  - Not applicable if there are no communications AND/OR sound masking systems.
- Two points are earned if commissioning and training is conducted for other significant functional AND/OR energy systems.
  - Not applicable if there are no other significant systems.

OR

6.5.2 Path B: Systems Manual & Training	
<b>6.5.2.1</b> Produce a systems manual in accordance with ASHRAE Guideline 0-2013, <i>The Commissioning Process</i> , Informative Annex O – Systems Manual, Sections 4 to 10, inclusive.	10 points
<b>6.5.2.2</b> Conduct systems training in accordance with ASHRAE Guideline 0-2013, <i>The Commissioning Process</i> , Informative Annex P – Training Manual and Training Needs.	10 points



#### 6.5.3 Recommended Documentation

- Path A: Final Commissioning Report, Systems Manual, training syllabus and evidence of completion.
- Path B: Systems Manual, training syllabus and evidence of training completion.



#### 7. SITE (150 points)

#### 7.1 Development Area (38 points)

7.1.1 Urban Infill and Urban Sprawl		
<b>7.1.1.1</b> The building is being constructed on a <i>previously developed site</i> that has been served by existing utility and transportation infrastructure for at least a full year prior to construction.	14 points	

<b>7.1.2</b> <i>Greenfields, Brownfields,</i> and Floodplains <b>7.1.2.1</b> The building is being constructed on a <i>brownfield</i> or	
	14 points
<ul> <li>7.1.2.2 The project is not located on or adjacent to sensitive natural sites (e.g. land that is forest or woodland area, savannah, prairie, wetland, undeveloped riparian zones, or wildlife corridor) or on land that was a sensitive natural site for at least three years prior to time of purchase or from the start of project.</li> <li>The project is not located on a site that was used for farmland, public recreation, or a public park for at least three years prior to the time of purchase or from the start of the project.</li> <li>AND The project is not within or adjacent to a wildland-</li> </ul>	One of the following of the second of the s
urban interface area where established by the legislative body with jurisdiction.  Informational Reference(s):	
<ul> <li>Executive Order 13728: Wildland-Urban Interface</li> </ul>	
Federal Risk Mitigation, 2016	
https://www.gpo.gov/fdsys/pkg/FR-2016-05-	
<ul> <li>20/pdf/2016-12155.pdf (last accessed 6/30/17)</li> <li>ICC, 2015 International Wildland-Urban Interface Code</li> </ul>	
<ul> <li>ICC, 2015 International Wildland-Urban Interface Code</li> <li>United States Department of Agriculture, The 2010</li> </ul>	
Wildland-Urban Interface of the Continuous United	
States,2015 https://www.nrs.fs.fed.us/pubs/48642	
(last access 6/30/17)	
7.1.2.3 Floodplains:	Maximum = 9 points
• <b>7.1.2.3.1:</b> No construction or site disturbance takes place in the 100-year floodplain.	<ul> <li>Nine points are earned for 7.1.2.3.1</li> <li>Not applicable where no</li> </ul>
OR	areas in the local jurisdiction fall within the 100-year floodplain.
• 7.1.2.3.2: Elevate Buildings and additions in the floodplain to a minimum of 3 ft. (.9 m) above the 100-	Six points are earned for 7.1.2.3.2.



year floodplain or are built to allow water to flow through or under the lowest floor.

AND

The facility also earns points for 7.2.1.1 or 7.2.1.7 or is within 0.25 mi (0.4 km) walking distance of developed residential land of at least 8 dwelling units per acre.

AND

Buildings and structures assigned a risk category of III or IV in Table 1604.5 of the 2012 International Building Code will not be located within a 500-year floodplain. (Not required if the entire jurisdiction is located within the 100-year floodplain. If the entire jurisdiction is located within the 500-year floodplain, then the facility is built outside the 100-year floodplain. Not applicable where no areas in the local jurisdiction fall within the 500-year floodplain.)

Informational Reference(s):

- FEMA Technical Bulletin 2/2008
- ASCE/SEI 24-14 "Flood Resistant Design and Construction" (2014)

(Continued from 7.1.2.3)

#### 7.1.3 Recommended Documentation

- Site civil plans and existing site civil plans;
- Documentation by EPA, municipal, or other governmental authority of Superfund and Brownfield site;
- Construction documents;
- Zoning maps;
- Government maps of wildland-urban interface areas;
- Pre-construction site documentation;
- Landscaping plans;
- Floodplain map;
- Community resilience, climate action, or similar mitigation plan.

#### 7.2 Transportation (34 points)

## 7.2.1 Transportation 7.2.1.1 A building entrance is within 0.25 mi (0.4 km) walking distance of a local transit stop or 0.5 mi (0.8 km) walking distance of a rapid transit stop. Note: Local transit includes public transit that uses the same right-of-way as automobiles AND for which the distance between stops averages less



than 0.33 mi (0.5 km). Rapid transit refers to all	
other types of public transit.	
AND	(Continued from 7.2.1.1)
The stop is served by a transit route that offers service:	
<ul> <li>with single direction intervals (headways) no longer than 15 minutes during peak hours and 30-minute single direction intervals (headways) during off-peak hours for a minimum of 14 hours each weekday;</li> </ul>	
AND	
<ul> <li>with single direction intervals (headways) no longer than 1 hour and operating at a minimum of 14 hours at least one day each weekend.</li> </ul>	
<ul> <li>Information Reference(s):</li> <li>ASTM E2844-15, Standard Specification for Demonstrating that a Building's Location Provides Access to Public Transit</li> </ul>	
<b>7.2.1.2</b> Designated preferred parking for car/van pooling, and shelter from weather exists for persons waiting for transportation serving carpools or transit listed in 7.2.1.1.	1 point
<b>7.2.1.3</b> Alternative refueling facilities or electric charging stations are located on site or within 0.25 mi (0.4 km) of the site.	<ul> <li>Not applicable where this strategy is not possible or where the project will have no parking associated with the building.</li> </ul>
<b>7.2.1.4</b> A building entrance is located within 0.25 mi (0.4 km) of a public bicycle path, shared use [multi-user] path, or road with an existing dedicated bicycle lane.	2 points
AND	
The path, lane, or associated bicycle network connects within 5 mi (8.05 km) to a transit stop as described in 7.2.1.1 or to the developed residential land of at least 8 dwelling units per acre.	
AND	

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There is reasonable, unobstructed access between the A bicycle lane or shared use [multi-user] path and the bicycle parking facilities or the building entrance.	(Continued from 7.2.1.4)
<ul> <li>7.2.1.5 A bicycle parking rack is located within 50 ft. (15.24 m) of an entrance, and is either readily visible from a main entrance, or signage indicating the location is posted at main entrances.</li> <li>Informational reference(s)</li> <li>The Association of Pedestrian and Bicycle Professionals, Bicycle Parking Guidelines, 2<sup>nd</sup> Edition (2010)</li> <li>7.2.1.6 Facilities for Bicycle Commuting and Long-Term Bicycle Parking:</li> </ul>	<ul> <li>1 point</li> <li>Maximum = 5 points</li> <li>Two points are earned where sheltered_bicycle parking facilities are provided (and showers</li> </ul>
<ul> <li>7.2.1.6.1: Sheltered bicycle parking is:         <ul> <li>provided for at least 10% of building occupants, where the building occupant load is established in accordance with the International Building Code AND shower and changing facilities are provided within the building project;</li> </ul> </li> </ul>	<ul> <li>and changing facilities as applicable).</li> <li>Two points where the sheltered bicycle parking is secure. (Only applicable where the above two points are achieved.)</li> <li>One point is earned where the building is located near a bike share facility.</li> </ul>
OR  o provided for at least 50% of units in a multi-family residential building.	
<ul> <li>7.2.1.6.2: At least 50% of the sheltered bicycle parking is located inside the building or within storage lockers or another area that provides security of a locked room or cage secured by a keyed, cipher, or electronic lock and the ability to lock the bicycle to a rack within that space.</li> <li>7.2.1.6.3: The building is located within 0.25 mi (0.4 km) walking distance of a bike share facility.</li> </ul>	
<b>7.2.1.7</b> The building's Walkscore® is 75 or greater;	Maximum = 10 points
<ul> <li>A building entrance is within 0.5 mi (0.8 km) walking distance of a grocery store and a minimum of three other neighborhood assets. These four neighborhood assets are open to the general public, in operation, and as a group have NAICS codes that start with a minimum of three different numbers;</li> </ul>	<ul> <li>Ten points are earned where the building has a Walkscore of ≥ 90</li> <li>Seven points are earned where:         <ul> <li>the building has a Walkscore of 75 to 89; OR</li> <li>is located within 0.5 mi (0.8 km) of a grocery store and three other assets; OR</li> </ul> </li> </ul>



#### OR

A building entrance is within 0.5 mi (0.8 km) walking distance of a minimum of six neighborhood assets. The six neighborhood assets are open to the public, in operation, and as a group have NAICS codes that start with a minimum of three different numbers;

OR

• The building's Walkscore is 90 or greater.

Informational Reference(s):

- ASTM E2843-16a Standard Specification for Demonstrating That a Building is in Walkable Proximity to Neighborhood Assets
- North American Industry Classification System.(NAICS) <a href="http://www.census.gov/eos/www/naics/">http://www.census.gov/eos/www/naics/</a> (last accessed 6/20/17)
- www.walkscore.com (last accessed 6/20/17)

o within 0.5 mi (0.8 km) of six assets.

#### 7.2.2 Recommended Documentation

- Site civil plans, existing site civil plans, and civil engineering plans;
- Narrative stating total expected full-time building occupants.

#### 7.3 Construction Impacts (29 points)

#### 7.3.1 Site Erosion:

Two paths are provided for assessing erosion and sedimentation:

- Path A: Erosion and Sedimentation Control Plan: 5 points
   OR
- Path B: Erosion and Sedimentation Control Specifications: 5 points

Points cannot be combined between paths. Select one of the paths below.

#### 7.3.1.1 Path A: Erosion and Sedimentation Control Plan

**7.3.1.1.1** An Erosion and Sedimentation Control Plan, signed and stamped by a professional engineer or designer approved by the authority having jurisdiction, is included in the *construction documents*.

Informational Reference(s):

 National Pollutant Discharge Elimination System (NPDES) Permit Program 5 points or N/A

 Not applicable where projects are interior-only.

OR



## 7.3.1.2 Path B: Erosion and Sedimentation Control Specifications

**7.3.1.2.1** The specifications require the implementation of the following best practices as appropriate to the site for erosion and sediment control during construction:

- Construction Site Planning and Management Measures: construction sequencing, construction site operator BMP inspection and maintenance, preserving natural vegetation;
- Erosion Control: articulated concrete block, chemical stabilization, compost blankets, dust control, flocculants, geotextiles, gradient terraces, mulching, riprap, seeding, sodding, soil retention, soil roughening, temporary slope drain, temporary stream crossings, wind fences and sand fences;
- Runoff Control: check dams, grass-lined channels, permanent slope diversions, temporary diversion dikes;
- Sediment Control: brush barriers, compost filter berms, compost filter socks, construction entrances, fiber rolls, filter berms, sediment basins and rock dams, sediment filters and sediment chambers, sediment traps, silt fences, storm drain inlet protection, straw or hay bales, vegetated buffers; and
- Good Housekeeping/Materials Management: concrete washout, general construction site waste management, spill prevention, and control plan, vehicle maintenance and washing areas at construction sites.

## Informational Reference(s):

U.S. EPA's Construction Site Stormwater Runoff Control
 Menu of Best Management Practices

Maximum = 5 points or N/A

- Not applicable where the lot is larger than one acre.
- Not applicable where projects are interior-only.

# (Answer regardless of the Path chosen above):

## 7.3.2 Site Disturbance

**7.3.2.1** Construction activities do not go beyond 40 ft. (12.2 m) of the building footprint(s) and remain within 5 ft. (1.5 m) of parking lots, roadways, sidewalks and utility right-ofways except where the intent of the construction activities was one or more of the following:

Exceptions apply where the construction activities are intended-to specifically improve the natural integrity of the site, e.g., removing invasive plant species, replacing existing hardscapes with vegetation, restoring prairie or *wetlands*, or increasing on-site water retention by building rain gardens, swales, retention ponds, or berms.

5 points or N/A

Not applicable where projects are interior-only.



#### 7.3.3 Tree and Shrub Preservation

**7.3.3.1** Non-invasive existing trees and woody shrubs are retained and protected during construction.

A certified arborist, a landscape architect, or a certified professional landscape designer provides plans and specifications that are used by the general contractor or construction manager to protect retained trees and shrubs from disturbance and soil compaction.

#### Assessment Guidance:

Base Calculations on the area of canopy coverage provided by trees and shrubs prior to clearing and construction activity.

Calculations exclude plants that will be removed because they are unhealthy, invasive or otherwise inappropriate for site conditions (e.g., have water, soil, light, or other requirements that are inconsistent with the site).

If an area is covered by overlapping layers of plants, it is not counted multiple times, (i.e., the maximum canopy coverage for any site is 100%).

# Informational Reference(s):

- International Society of Arboriculture's "Avoiding Tree Damage During Construction," (2011) http://www.treesaregood.com/treecare/resources/AvoidingTreeDamage.pdf (last accessed 6/20/17)
- Invasive plant lists published by regional invasive plant councils (http://www.na-ipc.org/ipcs/) or by local agricultural extension programs. (last accessed 6/20/17)
- Sustainable Sites Initiative, SITES v2 Rating System,
   (2014) http://www.sustainablesites.org/resources (last accessed 6/20/17)

# Maximum = 6 points or N/A

- Six points are earned when > 90% of the canopy of existing trees and shrubs is retained and protected.
- Five points are earned when ≥75% and ≤90% of the canopy of existing trees and shrubs is retained and protected.
- Four points are earned when ≥50% and\_<75%-of the canopy of existing trees and shrubs is retained and protected.
- No points are earned when < 50% of the canopy of existing trees and shrubs is retained and protected.
- Not applicable where the site has no existing trees or shrubs or where existing plants do not qualify for calculation of canopy coverage, such as those that are invasive or in poor health.

## 7.3.4 Mitigating Heat Island Effect

**7.3.4.1 Roof:** The building has a *vegetated roof,* is shaded during summer months, AND/OR has a roof with a high Solar Reflectance Index (SRI) as prescribed based on the slope of the roof.

Where used to comply, shading trees are to be existing, non-invasive plants that are

Maximum = 6 points or N/A

The following number of points may be earned when using one or more of the listed heat island mitigation strategies on the roof:

Six points are earned where >70% of the roof complies



retained on site or newly, non-invasive planted trees that will provide shade within 10 years.

- For a roof slope less than or equal to 2:12, a minimum initial SRI of 78 or greater or a three-year aged SRI of 60 or greater;
- For a roof slope greater than 2:12, a minimum initial SRI of 29 or greater or a three-year-aged SRI of 25 or greater.

# Informational Reference(s):

- Cool Roof Rating Council (www.coolroofs.org) (last accessed 6/20/17)
- College, state or local university, or agency landscape reference guide
- USDA National Invasive Species Information Center: http://www.invasivespeciesinfo.gov/pl ants/main.shtml (last accessed 7/14/17)

- Three points are earned where 70% of the roof has a high initial SRI, and three points are earned where 70% of the roof has a high three-year-aged SRI.
- Four points are earned where >50% and ≤70% percent of the roof complies.
  - Two points are earned where 56%-70% of the roof has a high initial SRI and two points are earned where >50% and ≤70% of the roof has a high three-year-aged SRI.
- Two Points are earned if 40%-55% percent of the roof complies.
  - One point is earned where 40%-55% of the roof has a high initial SRI, and one point is earned where 40%-55% of the roof has a high three-year-aged SRI.
- No points are earned if less than 40% of the roof complies AND/OR has a high initial or three-year-aged SRI.
- Not applicable for interior-only projects.

**7.3.4.2 Hardscape:** The building design addresses hardscape using one or more of the following strategies:

- 7.3.4.2.1 Solar Reflectance: Hardscape surfaces with a solar reflectance (SR) value of at least 0.28 are used. New concrete and concrete masonry without additional colored pigment are deemed to comply without additional testing.
  - Not applicable for interior-only projects.
- 7.3.4.2.2 Shading: Where the hardscape surfaces are not shaded by the primary building structures (either the building project or other existing buildings), hardscape surfaces outside the building footprint are intended to be\_shaded by trees or other vegetation within 10 years. Take the shading measurement at noon Standard Time on the Summer Solstice and document in the shading plan
- 7.3.4.2.3 Permeable Surfaces: At least 50% of installed hardscape area (walkways, patios, driveways, etc.) uses permeable materials.

Maximum = 5 points or N/A

- Five points are earned where ≥50% of hardscape\_surfaces comply with 7.3.4.2.
- Three points are earned where ≥25% and
   <50% of hardscape surfaces comply with</li>
   7.3.4.2.
- No points are earned where <25% of hardscape surfaces comply with 7.3.4.2.
- Not applicable where there are no hardscape surfaces.



Pei	Permeable materials include one or more of the			
following:		(0.11.16.70.40)		
0	Clay or concrete paver with pervious	(Continued from 7.3.4.2)		
	joints/openings;			
0	Bricks;			
0	Gravel;			
0	Vegetative paving systems;			
0	Mulch;			
0	Pervious concrete;			
0	Porous asphalt; AND/OR			
0	Open-grid pavement system (at least 50%			
	unbound).			
	Walls: At least 75% of opaque wall surfaces	3 points or N/A		
	a) on the east, west, and south have an SRI of			
_	reater, are covered by or are designed to be	• Not applicable for <i>climate zone</i> 6, 7, and 8.		
	d by, non-invasive vegetation AND/OR a			
_	tive wall during the summer months. New			
	te or concrete masonry without additional			
colored pigment is deemed to comply without				
additional testing.				
Informational Reference(s):				
ASTM E1980-11, Standard Practice for				
	lculating Solar Reflectance Index of Horizontal			
an	d Low-Sloped Opaque Surfaces			

#### 7.3.5 Recommended Documentation

- Construction documents;
- Erosion and sediment control plan;
- Irrigation plans;
- Landscape plans;
- Manufacturers specifications, cut sheets, and performance documentation;
- Manufacturer's specifications AND/OR interior design plans that show interrupted spaces;
- Photo-documentation;
- Pre-construction documentation;
- Roof plans;
- Shade site plan;
- Site civil plans;
- Ten-year hardscape shading plan;
- Tree preservation plan or landscaping or civil engineering plans that document the protection of existing trees during construction.

# 7.4 Stormwater Management (21 points)

7.4.1 Stormwater Management	
<b>7.4.1.1</b> A civil engineer makes a <i>stormwater</i> management report that shows the following:	Maximum = 17 points or N/A



- 7.4.1.1.1: The project meets a minimum of 80%
   Total Suspended Solids (TSS) removal or complies
   with municipal AND/OR local watershed water
   quality control targets, whichever is more stringent;
   and
- **7.4.1.1.2:** 50% annual average total phosphorus (TP) removal assuming typical pollutant concentrations in urban runoff.
- **7.4.1.1.3:** Additional target pollutant removals are as follows:
  - Nitrate + nitrite reduction of 40%
     OR
  - o pH below 6.5

OR

Alkalinity below 10 mg CaCO3/L.

Note: Infiltration is not to\_be used as a treatment method if the site is located within 0.25 mi (0.4 km) of a lake or *wetland*.

OR

• **7.4.1.1.4**: The site retains at least the 95<sup>th</sup> percentile storm volume as per a site water balance assessment, to be included in the *stormwater* management report.

#### Informational Reference(s):

- EPA National Stormwater Calculator: http://www.epa.gov/nrmrl/wswrd/wq/models/swc/ (last accessed 6/20/17)
- Technical Guidance on Implementing the Stormwater Runoff Requirements for Federal Projects 2009
- **7.4.1.2** Hardscapes and structures, excluding pervious walkways 48 in. (121.9 cm) or less in width, are located 100 ft. (30.5 m) or more from a natural body of water or natural waterway on or adjacent to the site. Document such distance on the site plan. Water bodies and waterways include:
- Oceans;
- Lakes;
- Rivers;
- Streams;
- Estuaries;
- Bays;
- Wetlands;

- Three points are earned for compliance with 7.4.1.1.1.
- One point is earned for compliance with 7.4.1.1.2.
- One point is earned for compliance with each item in 7.4.1.1. 3. for a maximum of three points.

OR

- Seventeen points are earned for compliance with 7.4.1.1.4.
- Not applicable for interior-only projects.

## 4 points or N/A

 Not applicable where the body of water is a retention pond or constructed wetland, or is a constructed feature that receives all stormwater runoff.



•	Springs,	or seeps;
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Ravines;

Arroyos; AND/OR

Canyons.

(Continued from 7.4.1.2)

Note: Waterways may be intermittently dry provided they define channeled flow of water when wet.

#### 7.4.2 Recommended Documentation

- Area rainfall charts;
- Civil AND/OR landscaping drawings indicating drainage;
- Percolation test results;
- Roof plans;
- Site plans, including all areas of hardscape;
- Soil boring reports;
- Storm water discharge plan.

## 7.5 Landscaping (21 points)

#### 7.5.1 Landscaping

**7.5.1.1** A landscape design is planned and installed as follows:

- 7.5.1.1.1: The plan is developed by a landscape architect, certified professional landscape designer, certified horticulturalist, or other qualified professional; AND
  - o The plan shows the natural light conditions of the site; AND
  - The plan shows structural limitations (e.g., shading, utilities, overhangs, lights) that would impact the location and growth of plants.
- 7.5.1.1.2: The plan identifies existing soil types, and the installed landscape incorporates appropriate soil preparation and drainage to support root development for vegetation planned for the site.

Where an irrigation system is installed, refer to Water Efficiency, Section 9.8, Irrigation.

Informational Reference(s):

- Agroforestry Note 38 Landscape planning for environmental benefits USDA Natural Resources Conservation Service, (2008)
- Local Cooperative Extension Research, Education, and Extension Service
- State and local university or college landscape reference guide

**7.5.1.2** The vegetation palette includes the following:

The vegetated area uses non-invasive, drought tolerant plants.

Required documentation:

Maximum = 6 points or N/A

- Three points are earned where the landscape plan is developed and shows natural light conditions and structural limitations.
- Three points are earned where the plan identifies existing soil types, and the installed landscape incorporates soil preparation and drainage as stated.
- Not applicable where there is no room for landscaping.

Maximum = 3 points or N/A

 Three points are earned if > 75% of the plants are drought tolerant and non-invasive.



Website or literature that indicates that the given plant(s) are drought-tolerant or require little to no supplemental water for the specific region.  Only applicable when the determination of plant invasiveness is guided by a list or lists that:  • cover the appropriate geographical region; AND  • are not limited to noxious weeds.  Informational Reference(s):  • College, state or local university, or agency landscape reference guide  • Federal and state noxious weed lists:  http://plants.usda.gov/java/noxComposite (last accessed 6/20/17)  • 2016 Invasive Species Compendium: www.cabi.org/isc (last accessed 7/14/17)  • USDA National Invasive Species Information Center:  http://www.invasivespeciesinfo.gov/plants/main.shtml (last accessed 6/20/17)  • WaterSense*'s "What to Plant":  https://www3.epa.gov/watersense/outdoor/what to plant.html	<ul> <li>Two points are earned if between ≥50% and ≤75% of the plants are drought tolerant and non-invasive;</li> <li>One point is earned if between ≥25% and &lt;50% of the plants are drought tolerant and non-invasive.</li> <li>No points are earned if less than 25% of the plants are drought tolerant and non-invasive.</li> <li>Not applicable where there is no room for landscaping.</li> </ul>
(last accessed 6/20/17)	
7.5.1.3 The vegetated area is covered with plants (new, retained, or salvaged plantings) that are native.	<ul> <li>Maximum = 4 points or N/A</li> <li>Four points are earned if &gt; 75% of plants are native.</li> <li>Three points are earned if between &gt; 50 and ≤75% of plants are native.</li> <li>Two points are earned if between &gt;32 and ≤50% of the plants are native.</li> <li>One point is earned if between ≥20 and ≤32% of plants are native.</li> <li>No points are earned if &lt; 20% of the plants are native.</li> <li>Not applicable where there is no room for landscaping.</li> </ul>
<b>7.5.1.4</b> The landscape design shows that plants with similar water requirements are grouped together on the site.	<ul> <li>Two points are earned if plants are grouped according to water requirements.</li> <li>Not applicable where all of the landscaping is a preserved natural</li> </ul>



	area or where there is no room for landscaping.
<b>7.5.1.5</b> The building project supports on-site agriculture accessible to building users or employees in any of the following ways:	Maximum = 6 points or N/A
<ul> <li>7.5.1.5.1: Rooftop garden(s), edible landscape(s), food forest, or community garden is installed on-site;</li> <li>7.5.1.5.2: 25% of vegetated area is dedicated to pollinator-friendly plantings or an apiary;</li> <li>7.5.1.5.3: Chicken coop, aquaponics farm, AND/OR greenhouse is installed on-site;</li> </ul>	<ul> <li>Two points are earned where there is an on-site rooftop garden, edible landscape, food forest, or community garden.</li> <li>Two points are earned where there is an apiary or pollinator garden on-site.</li> <li>Two points are earned where</li> </ul>
<ul> <li>Informational Reference(s):</li> <li>Pollinator Partnership, Ecoregional Planting Guides:         <ul> <li>http://www.pollinator.org/guides.htm (2015) (last accessed 6/20/17)</li> </ul> </li> <li>Xerces Society for Invertebrate Conservation, Pollinator-Friendly Plant Lists: http://www.xerces.org/pollinator-conservation/plant-lists/ (2015) (last accessed 6/20/17)</li> </ul>	there is an on-site chicken coop, aquaponics farm, AND/OR greenhouse.  Not applicable for interior-only projects.

#### 7.5.2 Recommended Documentation

- Landscape and irrigation plan;
- Local or regional plant list;
- Project drawings;
- Project specifications;
- Site plans.

# 7.6 Exterior Light Pollution (7 points)

Two paths are provided for assessing exterior *light pollution*:

• Path A: Lighting Design Performance: 7 points

OR

• Path B: Prescriptive Lighting Requirements: 7 points

Points cannot be combined between paths. Select one of the paths below.

7.6.1 Path A: Lighting Design Performance	
<b>7.6.1.1</b> An engineer or lighting professional creates a	7 points or N/A
lighting design that meets all the performance	
requirements of the IDA - IES Model Lighting Ordinance.	<ul> <li>Not applicable where there is no site</li> </ul>
	lighting.
Informational Reference(s):	
• IDA – IES Model Lighting Ordinance (MLO, 2011)	

OR

7.6.2 Path B: Prescriptive Lighting Requirements	
<b>7.6.2.1</b> Exterior lighting does not exceed prescribed values for the amount of light per unit of area.	1 point or N/A

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Informational Reference(s):  • IDA – IES Model Lighting Ordinance (MLO), Tables A and B, 2011	Not applicable where there is no exterior lighting.
<b>7.6.2.2</b> Exterior lighting trespass does not exceed prescribed Backlight, Uplight and Glare (BUG) ratings as per <i>IDA</i> – <i>IES Model Lighting Ordinance (MLO), Table C</i> for the following:	<ul><li>3 points or N/A</li><li>Not applicable where there is no exterior lighting.</li></ul>
<ul><li>Backlight trespass;</li><li>Uplight trespass; and</li><li>Glare.</li></ul>	
Informational Reference(s):  • IDA – IES Model Lighting Ordinance (MLO), Tables C, C1, C2, 2011	
<b>7.6.2.3</b> Parking lot lighting does not emit light above 90 degrees from the vertical axis.	1 point or N/A
	Not applicable where there is no parking lot lighting.

## 7.6.3 Recommended Documentation

- Cut-sheets and calculations;
- Electrical engineer's site lighting plan with illuminance computations spaced no more than 10 ft. (3.05 m) apart;
- Exterior lighting plans;
- Narrative describing which lighting zone the property falls under;
- Path taken for compliance to the MLO;
- Zoning ordinance requirements.

## 7.7 Wildland-Urban Interface Site Design (3 points)

d	dland-Urban Interface Site Design (3 points)		
	7.7.1 Wildland-Urban Interface Site Design		
	7.7.1 Wildland-Urban Interface Site Design 7.7.1.1 There is a determination by a fire protection engineer or certified fire marshal that the site wildland-urban interface hazard is moderate, high or extreme;  AND  The project achieves points for 7.2.1.1 or 7.2.1.7 or is within 0.25 mi (0.4 km) walking distance of developed residential land of at least 8 dwelling units per acre;  AND	<ul> <li>Not applicable where the authority having jurisdiction or legislative body has formally declared a wildland-urban interface area.</li> </ul>	



The site is designed to comply with the most recent International Wildland-Urban Interface Code;

AND

Excluding athletic fields and agriculture, greater than 50% of the vegetation on site achieves points for Section 7.5.1.2 for *drought* tolerant plants, and greater than 50% of the vegetation on site achieves points for Section 7.5.1.3 for native plants.

AND

A fire protection engineer or certified fire marshal has inspected the completed site within 90 days prior to project certification or re-certification and found it compliant with the International Wildland-Urban Interface Code.

Informational Reference(s):

 International Wildland-Urban Interface Code 2015 (Continued from 7.7.1.1)



## 8. ENERGY (260 points)

Three paths are provided for assessing energy performance.

• Path A: Performance - ANSI/ASHRAE/IES Standard 90.1-2010, Appendix G: 180 points

OR

Path B: Performance - Building Carbon Dioxide Equivalent (CO2e) Emissions: 180 points

OR

Path C: Prescriptive: 111 points

Points cannot be combined between paths. Select one of the paths below.

# 8.1 Path A: ANSI/ASHRAE/IES Standard 90.1-2010, Appendix G (180 points)

#### 8.1.1 Performance Path

**8.1.1.1** The building complies with minimum performance based requirements of ANSI/ASHRAE/IES Standard 90.1-2010 or the 2012 IECC;

AND

The building demonstrates an improvement over an ANSI/ASHRAE/IES Standard 90.1-2010 baseline using Appendix G through the use of a whole-building energy modeling simulation program showing energy cost savings.

 Energy cost calculations may include price components based on time of day and demand if these are available. Credit for demand-saving measures, cogeneration, and energy storage may be claimed by utilizing rate schedules that reflect the billing rates in effect for the local utility, rather than using EIA state average utility rates. Maximum = 180 points

- One hundred eighty points are earned where the project achieves a level of 45% improvement over the baseline.
  - Four points are earned for every 1% improvement up to 45% improvement over the baseline for a maximum of 180 points.
  - No points are earned where the building complies with the minimum performance based requirements of either ANSI/ASHRAE/IES Standard 90.1-2010 or the 2012 IECC.

## 8.1.2 Recommended Documentation

- The energy modeling report includes a narrative describing energy efficiency measures included in the project along with input and output reports sufficient to verify the modeling approach used to demonstrate the higher level of performance including key assumptions and methods used to determine the inputs. The report may include tabular summaries of:
  - Building envelope performance (permit document tabulation for the enclosure, such as COMcheck forms);
  - HVAC system capacities and efficiencies;
  - Lighting power densities and control methods;
  - Schedules for occupancy, equipment, and HVAC;
  - o Assumptions for plug and process loads; AND/OR
  - ENERGY STAR® Target Finder results or other benchmarking comparisons for the baseline and proposed models.
- Description of any variances between models for plug and process loads.



- Documentation of basis for utility rates used in whole-building energy model.
- People moving equipment will be modeled identically in the proposed and baseline buildings, and credit taken appropriately in Section 8.4 Non-Modeled Energy Efficiency Statement of energy cost savings rounded to one-tenth of a percent.

OR

# 8.2 Path B: Building Carbon Dioxide Equivalent (CO2e) Emissions - (180 points)

# 8.2.1 Percent Reduction in Carbon Dioxide Equivalent (CO2e) Emissions

**8.2.1.1** The building achieves more than a 50% reduction in *carbon dioxide equivalent (CO<sub>2</sub>e)* emissions over the baseline building for its geographical location. This reduction is calculated using the following formula:

Percent reduction in CO2e = 100 X (1 - PER/BER), where:

- The Baseline Equivalent Emission Rate (BER) is the baseline building's carbon dioxide equivalent ( $CO_2e$ ) emission rate.
- PER is the proposed building's carbon dioxide equivalent (CO2e) emission rate.
- PER is less than BER.

Assessment Guidance:

Baseline Equivalent Emission Rate (BER) Calculations

BER is calculated using the following formula:

BER = (baseline Energy Use Intensity (EUI)) X product of [(percentage of each fuel in the annual energy fuel mix for the planned building type and location) X (CO2e Emission Factor for each fuel)], where:

- The baseline building's site Energy Use Intensity (EUI) is determined using ENERGY STAR Target Finder.
- The baseline building's site EUI is 35% better than the Energy Performance Rating (Target Finder) score of 50.
- The annual energy fuel mix for the baseline building is determined from DOE-EIA and reported at the top of Target Finder's Results page.
- The CO2e emission factor for each fuel in the baseline building's annual energy fuel mix can be found in Table 8.1.1- A.

Proposed Equivalent Emission Rate (PER) Calculations

PER is calculated using the following formula:

PER = (proposed EUI) X product of [(percentage of each fuel in the annual energy fuel mix for the proposed building) X (*CO2e* Emission Factor for each fuel)], where:

Maximum = 180 points

 Four points are earned for each percent reduction in CO2e emissions above the baseline, to a maximum total of 180 points.



 The proposed building's Energy Use Intensity (EUI) is calculated using a computer-based simulation program that conforms to the requirements outlined in Section 506 of the 2009 International Energy Conservation Code or ANSI/ASHRAE/IES Standard 90.1-2010, Appendix G, Section G2.2.

(Continued from 8.2.1.1)

- Determine the Proposed Building's Equivalent Emission Rate (PER) by performing an EUI calculation for the proposed building using the energy performance requirements specified by Table G3.1 Modeling Requirements for Calculating Proposed and Baseline Building Performance in ANSI/ASHRAE/IES Standard 90.1-2010. Only the Proposed Building Performance column is used for modeling the PER.
- Use the annual energy fuel mix planned for the proposed building for this calculation.
- The CO2e emission factor for each fuel in the proposed building's annual energy fuel mix can be found in Table 8.2.1- A of this document.

# Table 8.2.1 - A: CO2e Emission Factors<sup>6</sup>

Fuel	CO2e Emission Factor	
uei	kg/kWh (lb./kWh)	
Biomass	0.026 (0.057) <sup>2</sup>	
Coal	0.379 (0.836) <sup>7</sup>	
Fuel oil (residual)	0.341 (0.751) <sup>7</sup>	
Fuel oil (distillate)	0.320 (0.706) <sup>7</sup>	
Gasoline	0.313 (0.689) <sup>7</sup>	
Grid-delivered electricity	0.630 (1.387) <sup>7</sup>	
Grid- displaced electricity <sup>3</sup>	-0.833 (-1.835) <sup>1</sup>	
LPG	0.272 (0.600) <sup>7</sup>	
Natural gas	0.219 (0.483) <sup>7</sup>	
Off-site renewable electricity <sup>4</sup>	-0.758 (-1.670) <sup>1</sup>	
Waste heat⁵	0.019 (0.042) <sup>2</sup>	
District chilled water	0.151 (0.332) <sup>7</sup>	
District steam	0.368 (0.812) <sup>7</sup>	
District hot water	$0.348 (0.767)^7$	



- <sup>1</sup> Deru, M., P. Torcellini. 2007. Source Energy and Emissions Factors for Energy Use in Buildings. NREL/TP-550-38617, June 2007. Golden, CO. National *Renewable Energy* Laboratory.
- <sup>2</sup> L2A Conservation of Fuel and Power in New Buildings other than Dwellings. April 2006. Office of the Deputy Prime Minister, United Kingdom.
- <sup>3</sup> Grid displaced electricity comprises all electricity generated at the building site by, for example, PV panels, wind-power, combined heat and power systems (CHP), etc. The associated *CO2e* emissions are subtracted from the total *CO2e* emissions for the building before determining the PER. *CO2e* emissions arising from fuels used by the building's power generation system (e.g., to power the CHP plant) is included in the building's *CO2e* emission calculations.
- <sup>4</sup> The associated *CO2e* emissions from off-site renewable electricity (e.g., using *renewable energy certificates* or "green power") are subtracted from the total *CO2e* emissions for the building before determining the PER. Contracts have a duration of at least three years. Only 25% of off-site renewable electricity can be credited to the proposed building's *CO2e* calculation.
- <sup>5</sup> This includes *waste heat* from industrial processes and power stations rated at more than 10MWe and with a power efficiency of greater than 35%.
- <sup>6</sup> Values include direct and indirect emissions.
- <sup>7</sup>ASHRAE/USGBC/IES Standard 189.1-2014 Standard for the Design of High-Performance Green Buildings

## 8.2.2 Recommended Documentation

- Energy simulation program's input and results;
- ENERGY STAR Target Finder results;
- Lighting design permit documents, such as COMcheck reports;
- PER, BER, and CO2e emission reduction calculations.

OR

#### 8.3 Path C: Prescriptive (111 points)

Compliance with the prescriptive requirements of this section earns points based on the minimum prescriptive requirements of referenced codes and standards and building characteristics or best practices that are related to energy efficiency.

#### 8.3.1 Building Envelope and Form (20 points)

## 8.3.1.1 Thermal Resistance and Transmittance

**8.3.1.1.1** All of the opaque and *fenestration* elements of the *building envelope* have a window-to-wall ratio less than or equal to 40% and comply with at least one of the following:

- The thermal transmittance (U-factor), thermal conductance (C-factor), F-factor, and SHGC are less than those in the 2015 IECC, Section C402, or ANSI/ASHRAE/IES Standard 90.1-2013, Section 5, by 10%, except for these items where the factors must meet the 2015 IECC or ANSI/ASHRAE/IES Standard 90.1-2013:
  - Opaque elements in Climate Zones 1 through 3

Maximum = 10 points

- Ten points are earned where there is a 10% decrease in *U-factor*, *C-factor*, *F-factor* and SHGC from prescriptive requirements of the 2015 IECC, section C402, or ANSI/ASHRAE/IES Standard 90.1-2013, section 5.
- Eight points are earned where there is a 5% decrease in *U-factor*, *C-factor*, *F-factor*, and SHGC from prescriptive requirements of the 2015 IECC, section C402, or ANSI/ASHRAE/IES Standard 90.1-2013, section 5.



- SHGC for north and south-oriented fenestration
- In cases where the R-value or SHGC are NR (no requirement).
- Demonstrate that the *U-factor*, *C-factor*, *F-factor*, and SHGC are less than those in the 2015 IECC, Section C402, or ANSI/ASHRAE/IES Standard 90.1-2013, Section 5, by 5%, except for these items where the factors must meet the 2015 IECC or ANSI/ASHRAE/IES Standard 90.1-2013:
  - o Opaque elements in *Climate Zones* 1-3
  - SHGC for north and south-oriented fenestration
  - In cases where the *R-value* or SHGC are NR (no requirement).
- The thermal resistance (R-value/RSI-value) or the thermal transmittance (U-factor), thermal conductance (C-factor), and F-factor; and for fenestration, the U-factor and SHGC meet or exceed the prescriptive requirements of the 2015 IECC, section C402, or ANSI/ASHRAE/IES Standard 90.1-2013, section 5.5.

Note: A project must choose either the IECC or ANSI/ASHRAE/IES Standard 90.1 for all factors.

Informational Reference(s):

- ANSI/ASHRAE/IES Standard 90.1-2013, Section
   5.5
- 2015 IECC, Section C402
- 2012 IECC, Section C402

Five points are earned where R-value/RSI-value or U-factor, C-factor, and F-factor; and fenestration, U-factor, and SHGC meet or exceed prescriptive requirements of the 2015 IECC, section C402, or ANSI/ASHRAE/IES Standard 90.1-2013, section 5.5.

#### 8.3.1.2 Orientation

**8.3.1.2.1** The building is oriented such that the ratio of the west *fenestration* to the total *fenestration* and the ratio of the east *fenestration* to the total *fenestration* is between ¼ and 1/6.

Maximum = 10 points

- Ten points are earned where the ratio is  $\leq 1/6$ .
- Six points are earned where the ratio ≤ 1/5 and >1/6.
- Two points are earned where the ratio is ≤ ¼ and >1/5.

# 8.3.1.3 Recommended Documentation

- Construction documents;
- Fenestration ratios for north/south and east/west orientations;
- List of SHGC values including calculations for overall performance;



- List of thermal resistance or *thermal transmittance* factors, thermal conductance factors, and *F-factors*:
- Manufacturer's specifications, cut sheets, and performance documentation;
- Site plans.

## 8.3.2 Lighting (41 points)

# 8.3.2.1 Interior Lighting Power

**8.3.2.1.1** The total interior lighting power density (LPD) of the building is less than the referenced standard. Base calculations for LPD on either the whole-building method or space-by-space method.

 ANSI/ASHRAE/IES Standard 90.1-2013 or 2015 IECC baseline

The control factors from Table 9.6.3 in 90.1-2013 are used to achieve or exceed LPD targets.

Account for high-end trim or Institutional tuning in all spaces where it is present by using a control factor of 0.15 for the purposes of scoring this item.

Informational Reference(s):

- ANSI/ASHRAE/IES Standard 90.1-2013
- 2015 IECC

# Maximum = 20 points

- Five points are earned where LPD complies with ANSI/ASHRAE/IES Standard 90.1-2013 or 2015 IECC.
- One additional point is earned for each 2% beyond the requirements of ANSI/ASHRAE/IES Standard 90.1-2013 or 2015 IECC up to an additional 15 points out of a maximum of 20 points for 8.3.2.1.1.

## 8.3.2.2 Interior Automatic Light Shutoff Controls

**8.3.2.2.1** All spaces have automatic controls that turn off non-twenty-four-hour lighting based on occupancy or time schedule. One or more of the following provides automatic control:

- Occupancy or vacancy sensors;
- Building control system based on timer or schedule, for example:
  - Time switch;
  - Automatic relays controlled by BAS;
  - o Embedded controls; or
- Other control signal.

Lighting control zones consist of up to 25,000 ft.<sup>2</sup> (2,322.6 m<sup>2</sup>) on a single floor.

## 2 points or N/A

 Not applicable where lighting control could endanger occupant safety in a space, patient care space, AND/OR dwelling units and guest rooms, or where local code prohibits such systems.

# 8.3.2.3 Lighting Level Control

**8.3.2.3.1** In all *regularly occupied spaces* that use at least 0.5 W/ft² (5.4 W/m²) of lighting power, more than 90% of light fixtures have lighting controls that can reduce the lighting load by at

Maximum = 3 points or N/A



least 50% from full lighting power using any of the following technologies:

- Dimming: Continuous dimming of the lamps or luminaires from 100% to at least 10% of full light output;
- Multi-level Lighting: Lighting with at least 5 control steps including ON and OFF; or
- Bi-level lighting: Dual switching of alternate rows or *luminaires*; Switching of individual lamps independently of adjacent lamps within a *luminaire*.
- Three points are earned where more than 90% of light fixtures have continuously dimmable light reduction controls.
- Two points are earned where more than 90% of the of light fixtures have light reduction controls based multi-level lighting;
- One point is earned where there is bi-level control
- Not applicable where spaces use less than 0.5 W/ft² (5.4W/m²).

**8.3.2.3.2** Occupants in private offices less than 250 ft² (23.23 m²) and in open office workstation areas can adjust their direct overhead lighting levels via continuous dimming or multi-level lighting. Providing bi-level overhead lighting in conjunction with separate *task lighting* is permitted for compliance.

## Maximum = 3 points

- Three points are earned where more than 90% of light fixtures have continuously dimmable personal lighting control.
- Two points are earned where more than 90% of the of light fixtures have multi-level light lighting.
- One point is earned\_where there is bi-level control of overhead lighting and separate task lights.

#### 8.3.2.4 Daylighting

**8.3.2.4.1** For buildings two stories or less above grade, a minimum of 50% of the total combined floor area is in a *daylight area*. For buildings three or more stories above grade, a minimum of 25% of the total combined floor area is in a *daylight area*. Control Lighting in the primary and secondary *daylight areas* with *daylight responsive dimming plus OFF*.

# 3 points or N/A

- Three points are earned for compliance, excluding spaces that are not regularly occupied, such as, but not limited to, mechanical spaces and storage areas.
- Not applicable where spaces would be functionally compromised by daylighting.

## Informational Reference(s):

ANSI/ASHRAE/IES Standard 90.1-2013, Section
 3, Definition of Daylight Area

**8.3.2.4.2** A minimum of 2% of the roof area consists of skylights that comply with the requirements of Sections 5 and 9 of ASHRAE Standard 90.1-2013. Base this percentage upon the horizontal projected area of the skylight and roof, without *overhangs* Earning this credit is contingent on compliance with the daylight control credit 8.3.2.5.

#### Maximum = 3 points or N/A

- Three points are earned where ≥5% of the roof consists of skylights.
- Two points are earned where >3% and <5% of the roof consists of skylights.
- One point is earned where ≥2% and ≤3% of the roof consists of skylights.



•	No points are earned where <2% of the roof
	consists of skylights.

• Not applicable where the building is located in *Climate Zones* 7 or 8.

# 8.3.2.5 Control for Daylit Zones

**8.3.2.5.1** Control lighting in *primary and secondary daylight zones* [use 90.1-2013 definitions for daylight zones] with automatic daylight responsive lighting controls that lower the power consumption of the lighting system when daylight is available.

# Maximum = 3 points

- Three points are earned where there is automatic continuous daylight dimming to OFF control of all the general lighting in both primary and secondary zones.
- Two points are earned where there is automatic daylighting switching to OFF control of the general lighting in primary zone and secondary daylight zones.
- Two points are earned where there is no *daylighting* if it would be detrimental to the intended use of more than 90% of the building area.

#### 8.3.2.6 Exterior *Luminaires* and Controls

**8.3.2.6.1** Exterior LPDs comply with or improve upon ANSI/ASHRAE/IES Standard 90.1-2013 Section 9.4.3 for exterior lighting power density.

Additional control requirements to earn LPD credit include:

- Deactivating lighting when sufficient daylight is available; and
- Shutting off façade and landscape lighting between midnight and business opening, or other similar hours approved by the AHJ.

**8.3.2.6.2** Garage and Parking Lot Lighting Control: Pole lighting in parking lots and garage *luminaires* are controlled such that at least 50% of the lighting power is automatically reduced during periods of no activity detected in the lighting zone.

## Maximum = 2 points or N/A

- Two points are earned where LPDs are 20% below ANSI/ASHRAE/IES Standard 90.1-2013.
- One point is\_earned where ANSI/ASHRAE/IES Standard 90.1-2013 is
- Not applicable where there are no exterior luminaries.

Maximum = 2 points or N/A

- Two points are earned where all garage and parking lot general lights are controlled to more than one lighting level.
- One point is earned where 50% of the garage and parking lot general lighting is controlled to more than one lighting level.
- Not applicable where there are no garage or parking lot general lighting fixtures.

#### 8.3.2.7 Recommended Documentation

- Effective aperture calculations for all office and classroom areas;
- Electrical exterior/site lighting plans;
- Lighting plans with controls information or separate lighting controls plan;
- List of lamps specified on the project;
- LPD calculations and results (COMcheck is one acceptable calculation method);



- Manufacturer's product cut-sheets;
- Specifications for time switch/photo sensor.

# 8.3.3 HVAC Systems and Controls (37 points)

**8.3.3.1.1** A central Building Automation System (BAS) encompasses all systems that affect building energy performance, lighting, and thermal comfort including all of the functionality listed below:

- A series of direct digital controllers (DDC) interconnected by a local area network and accessible by a web browser;
- Open communication protocols (e.g., BACnet) to allow interoperability between building systems and control vendors;
- Energy management and monitoring software that provides:
  - Start/stop control for HVAC equipment;
  - control of economizer cycles and heat recovery equipment; and
  - o control of minimum outdoor ventilation air;
- Log of trending, scheduling, set-point adjustments, event information, alarm information, confirmation of operators, and execution of global commands; and
- Monitoring of fire safety systems, security systems, and elevator control systems to prompt emergency operating modes of HVAC and lighting systems.

**8.3.3.1.2** The BAS has the capability to accept and collate data generated by any and all *metering* equipment as required by Section 8.5 *Metering*, Monitoring, and Measurement of the Energy assessment area of this Standard.

## 1 point or N/A

• Not applicable where buildings are under 20,000 ft.<sup>2</sup> (1,858.06 m<sup>2</sup>).

#### 1 point or N/A

 Not applicable where buildings are under 20,000 ft.<sup>2</sup> (1,858.06 m<sup>2</sup>).

## 8.3.3.2 Cooling Equipment

**8.3.3.2.1** The cooling equipment base efficiency meets ANSI/ASHRAE/IES Standard 90.1-2013 efficiency requirements with respect to COP, EER, IEER, and SEER or the building does not use mechanical cooling.

90.1-2013 Table	Equipment
Table 6.8.1-1	Unitary A/C and condensing units
Table 6.8.1-2	Unitary and applied heat pumps
Table 6.8.1-3	Water-chilling packages
Table 6.8.1-4	PTAC, PTHP, single-package vertical
	A/C and heat pumps, room air-
	conditioners, and room A/C heat
	pumps

Maximum = 5 points or N/A

- Five points are earned where performance is 10% higher than the requirements of ANSI/ASHRAE/IES Standard 90.1-2013.
- Three points are earned where performance is 5% higher than the requirements of ANSI/ASHRAE/IES Standard 90.1-2013.
- One point is\_earned where performance is equivalent to the



Table 6.8.1-9	Variable refrigerant flow A/C (multisplit) systems
Table 6.8.1-10	Variable refrigerant flow air-to-air and applied heat pumps
Table 6.8.1-11	Computer room A/C and condensing units

A weighted average improvement over efficiency is provided by the design engineer based on the capacity for projects with multiple applicable types of equipment. Air-conditioning units constituting less than 1% of the total capacity may be omitted from the calculation.

Cooling systems that utilize hydronic heat rejection also include measures to minimize fan power in order to earn efficiency credits under this section. Any of the following measures are used in cooling towers to reduce fan energy consumption:

- Two-speed fans;
- Variable speed fans; AND/OR
- Measures that allow operation at reduced fan power during part-load operation.

Heat rejection equipment complies with minimum efficiency levels in ANSI/ASHRAE/IES Standard 90.1-2013, Table 6.8.1-7.

- requirements of ANSI/ASHRAE/IES Standard 90.1-2013.
- Not Applicable where the building does not use mechanical cooling.

# 8.3.3.3 Heating Equipment

**8.3.3.1** The heating equipment base efficiency meets ANSI/ASHRAE/IES Standard 90.1-2013 efficiency requirements with respect to AFUE,  $E_c$ ,  $E_t$ , HSPF, or  $COP_H$  as appropriate to the specific equipment, or the building does not have a heating system.

90.1-2013	Equipment
Table	
Table 6.8.1-2	Unitary and applied heat
	pumps (heating mode)
Table 6.8.1-4	PTHP, single-package vertical
	heat pumps, and room A/C
	heat pumps (heating mode)
Table 6.8.1-5	Warm-air furnaces and unit
	heaters
Table 6.8.1-6	Gas and oil-fired boilers
Table 6.8.1-10	Variable refrigerant flow air-
	to-air and applied heat pumps

Maximum = 5 points or N/A

- Five points are earned where performance is 10% higher than the requirements of ANSI/ASHRAE/IES Standard 90.1-2013.
- Three points are earned where performance is 5% higher than the requirements of ANSI/ASHRAE/IES Standard 90.1-2013.
- One point is\_earned where performance is equivalent to the requirements of ANSI/ASHRAE/IES Standard 90.1-2013.
- No points are earned where there is electric resistance heat.
- Not Applicable where the building does not use heating systems.



The design engineer provides a weighted average improvement over efficiency based on the capacity for projects with multiple applicable types of equipment. Heating units constituting less than 1% of the total capacity may be omitted from the calculation.

Steam systems return condensate to the boiler feedwater system or recover heat from the condensate before sending it to the drain in order to claim equipment efficiency points.

(Continued from 8.3.3.3.1)

#### 8.3.3.4 Domestic Hot Water Heaters

**8.3.3.4.1** All domestic hot water heaters meet the efficiency requirements of *ANSI/ASHRAE/IES STANDARD 90.1-2013,* Table 7.8, or domestic hot water heaters are not provided.

## Maximum = 1 point

- One point is earned where performance is 10% better than the requirements of ANSI/ASHRAE/IES Standard 90.1-2013.
- No points are earned where there is electric resistance heat

# 8.3.3.5 Energy Recovery

**8.3.3.5.1** The HVAC design complies with Section 6.5.6 of the *ANSI/ASHRAE/IES Standard 90.1-2013*.

6 points or N/A

 Not applicable where projects meet the exemptions of Section 6.5.6.

## 8.3.3.6 Simultaneous Heating and Cooling

**8.3.3.6.1** The HVAC design minimizes or eliminates simultaneous heating and cooling through one of the following strategies:

 HVAC design complies with Section 6.5.2 of the ANSI/ASHRAE/IES Standard 90.1-2013.

OR

 HVAC design incorporates a configuration/strategy that eliminates reheat and re-cool by using thermal and ventilation compartmentalization, with heating, cooling, and ventilation provided independently for each zone, e.g., fan coil systems, distributed heat pumps, single-zone systems. Maximum = 6 points or N/A

- Six points are earned where HVAC design uses ventilation compartmentalization.
- Four points are earned where HVAC design complies with Section 6.5.2.
- Not applicable for projects that meet the exemptions of Section 6.5.2.

## Informational Reference(s):

ANSI/ASHRAE/IES Standard 90.1-2013

#### 8.3.3.7 Economizers



<b>8.3.3.7.1</b> The project complies with Section 6.5.1 of the ANSI/ASHRAE/IES Standard 90.1-2013.	3 points or N/A
Informational Reference(s):  • ANSI/ASHRAE/IES Standard 90.1-2013, Section 6.5.1	Not applicable where projects meet the exemptions of Section 6.5.1.

8.3.3.8 Air-Handling Equipment and Ventilation Co	8.3.3.8 Air-Handling Equipment and Ventilation Control	
<b>8.3.3.8.1</b> The project uses equal or less fan power than the requirements of ANSI/ASHRAE/IES	Maximum = 6 points or N/A	
Standard 90.1-2013 Table 6.5.3.1-1 including all exceptions and modifiers.	Three points are earned where project complies with ANSI/ASHRAE/IES 90.1-2013 Table 6.5.3.1-1.	
Informational Reference(s):  • ANSI/ASHRAE/IES Standard 90.1-2013, Table 6.5.3.1-1	<ul> <li>One additional point is earned for each 10% less than the allowance according to Table 6.5.3.1-1, up to a maximum of 6 points.</li> <li>Not applicable where there are no fan systems.</li> </ul>	
<b>8.3.3.8.2</b> Occupancy AND/OR CO <sub>2</sub> sensors are installed to control ventilation rates in <i>regularly occupied spaces</i> that may experience frequent variation in the number of occupants. CO <sub>2</sub> sensors maintain calibration within 2% for one year after initial installation.	Not applicable where spaces meeting this criterion represent less than 40% of the total design ventilation volume of the building.	

# 8.3.3.9 Recommended Documentation

- Basis of Design document;
- Construction documents;
- Description of condensate recovery system;
- Equipment specifications, control schedules, and diagrams;
- HVAC drawings;
- Manufacturer's specifications, cut sheets, and performance documentation;
- Mechanical design drawing, details, and specifications;
- Project specifications.

## 8.3.4 Energy Simulation Aided Design & Integrative Process (13 points)

8.3.4.1 Energy Simulation Aided Design	
<b>8.3.4.1.1</b> Before finalizing the building footprint,	8 points
perform an energy simulation on a simplified	
geometric representation of the building to	
determine strategies to save lighting and HVAC	
energy. This simulation includes massing,	
orientation, window-to-wall ratio, and building	
envelope strategies.	

8.3.4.2 Integrative Process	
<b>8.3.4.2.1</b> Before issuing construction documents, a	5 points
simulation is used to inform design decisions	



regarding incremental equipment efficiency of building systems for the envelope, lighting, and	(Continued from 8.3.4.2.1)
HVAC.	

## 8.3.4.3. Recommended Documentation

• The findings, as well as the name and contact information for the individual responsible for the energy modeling, are provided.

# Complete 8.4, 8.5, and 8.6 regardless of Path chosen above.

# 8.4 Non-Modeled Energy Efficiency Impacts (15 Points)

8.4.1 Vertical, Horizontal, and Inclined Transport Systems – Efficiency Measures		
<ul> <li>8.4.1.1 The building elevators use regenerative braking AND/OR machine-roomless (MRL) elevators for all passenger elevators and any regularly utilized elevators.</li> <li>8.4.1.2 Enhance the energy efficiency of elevator systems through the use of:</li> <li>TWIN elevators (stacked cabins on one operating elevator in one shaft);</li> </ul>	<ul> <li>2 points or N/A</li> <li>Two points are earned where there are regenerative drive systems elevators AND/OR machine-roomless (MRL) elevators.</li> <li>Not applicable where there are no elevators.</li> <li>1 point</li> <li>One point is earned where any of the prescribed strategies are used.</li> <li>One point is earned where there are no escalators or</li> </ul>	
<ul> <li>Elevators with a destination dispatch system (grouping people traveling to the same floor); AND/OR</li> <li>Elevators with a zero-power sleep mode.</li> </ul>	elevators.	
<b>8.4.1.3</b> Equip escalators and moving walkways with the efficiency measures to reduce energy consumption.	<ul> <li>One point is earned where escalators and moving walkways have the capability to slow down or stop when detectors indicate no traffic or for the use of motor efficiency controllers.</li> <li>Not applicable where there are no escalators or moving walkways.</li> </ul>	
<ul> <li>8.4.1.4 One or more of the following energy efficient equipment systems related to the movement of people is in use:</li> <li>Reclaim of Machine Room Waste Heat;</li> <li>Linear Induction Motor (LIM);</li> <li>AC Synchronous Guide Rail hoisting motors with integral braking and controls; AND/OR</li> <li>innovative energy efficient peopletransport equipment or system (Requires statement of system</li> </ul>	<ul> <li>1 point or N/A</li> <li>One point is earned where any of the listed items are used.</li> <li>One point is earned where innovative energy efficient people-transport equipment or system is used.</li> <li>Not applicable where the building does not contain any systems capable of using this equipment.</li> </ul>	



description and benefits document for submittal).	(Continued from 8.4.1.1)
<ul> <li>Informational Reference(s):</li> <li>ENERGY STAR Qualified Product Lists, 2014</li> <li>Federal Energy Management Program's(FEMP) Energy-Efficient Product Procurement, 2012</li> </ul>	

## 8.4.1.2 Recommended Documentation

- Construction documents;
- Descriptive explanation and technical definition document on the innovative solution used within the project;
- Drawings and specifications of vertical transport equipment;
- Manufacturer's specifications, cut sheets, and performance documentation.

8.4.2 Load Shedding	
<b>8.4.2.1</b> Install lighting systems that are capable of load shedding. Loading shedding may be initiated automatically or manually.	<ul> <li>Maximum = 3 points</li> <li>Three points are earned where lighting system can reduce power by ≥30% from peak levels.</li> <li>Two points are earned where lighting system can reduce power by ≥15% and &lt; 30% from peak levels.</li> </ul>
<b>8.4.2.2</b> HVAC equipment controls that are capable of load shedding are installed. Loading shedding may be initiated automatically or manually. Load shedding program initiates setback of space temperatures, heating and cooling system hydronic temperatures, air system static pressure setpoints, or cycling of heating and cooling equipment.	2 points

8.4.3 Plug Load and Process Energy Management	
<ul> <li>8.4.3.1 The project documents include an inventory of appliances and equipment organized by location. The inventory includes:</li> <li>nameplate power use;</li> <li>typical power use; and</li> <li>an expected schedule of use.</li> </ul>	<ul> <li>Two points are earned where there is a complete inventory of expected plug load equipment appliances, and hard-wired process equipment.</li> <li>One point is earned where there is a complete inventory of hard-wired process equipment only.</li> <li>One point is earned where there is a complete inventory of plug load equipment and appliances only.</li> </ul>
<b>8.4.3.2</b> Establish a policy that requires all new equipment purchases be based	1 point or N/A
on energy efficient criteria, such as	



ENERGY STAR or other equivalent energy efficiency standards.	Not applicable if no equipment is subject to ENERGY STAR label criteria.			
<b>8.4.3.3</b> The project is furnished with receptacles that automatically control	Maximum =2 points			
the availability of power based on occupancy sensors AND/OR timed schedules in accordance with ANSI/ASHRAE/IES Standard 90.1-2010.	<ul> <li>Two points are earned where energy-saving power strips are installed on ≥75% to 100% of private offices, open offices and computer classrooms, including receptacles installed in modular partitions.</li> <li>One point is earned where energy-saving power strips are installed on ≥50% and &lt; 75% of private offices, open offices and computer classrooms, including receptacles installed in modular partitions.</li> </ul>			

# 8.5 Metering, Monitoring, and Measurement (25 points)

8.	5.1 Metering
8.	<b>.5.1.1</b> Install <i>Metering</i> or ensure a mandatory design
re	equirement exists for metering (at the building level) for
tŀ	ne following:
•	Electricity (N/A where metering does not exist for
	electricity):

- Heating fuels (N/A where metering does not exist for heating fuels);
- Steam (N/A where *metering* does not exist for Steam);
- Other (e.g., chilled or hot water for campus/district systems) (N/A where metering does not exist for any other systems).

# **8.5.1.2** Install *sub-metering* or energy monitoring equipment in the building, or require a mandatory tenant improvement that calls for sub-metering or energy monitoring equipment to be installed for the following systems:

- Lighting and lighting controls by floor or by zones with floor areas no greater than 20,000 ft<sup>2</sup> (1860 m<sup>2</sup>);
- Plug loads by floor or by zones no greater than 20,000ft<sup>2</sup> (1860 m<sup>2</sup>);
- Major electric HVAC equipment (e.g., chillers, cooling towers, AHU fans, pumps) 5 HP or greater;
- Chilled water generation;
- *On-site renewable energy* power generation;
- Heating water or steam generation; AND/OR
- Specialty or process electrical equipment.

# Maximum = 5 points or N/A

- One point is earned for each 20% increment of the building's site energy that is metered through any combination of building-level energy meters up to a maximum of 5 points.
- Not applicable only where specified for each criterion.

## Maximum = 5 points or N/A

- One point each for *sub-metering* five or more of the listed systems in a MURB at the building level to a maximum of 5 points.
- Two points each are earned when heating, cooling, and electricity are sub-metered at the individual unit level in a MURB to a maximum of 5 points.
- One point is earned for each listed system where sub-metering is installed to a maximum of 5 points.
- Not applicable for buildings less than 20,000 ft<sup>2</sup> (1860 m<sup>2</sup>).



# 8.5.2 Monitoring and Reporting

**8.5.2.1** A Resource Management Plan addresses all energy consuming areas of a building or project and includes the following monitoring protocols (i.e., hourly, daily, monthly, seasonal, by floor, etc.):

- Electricity;
- Heating fuels;
- Steam; and
- Other (e.g., chilled or hot water for campus/district systems) Note: This may reflect new technology that uses other energy sources as long as they are measurable.

# Informational Reference(s):

 International Performance Measurement and Verification Protocol; DOE/EE-0157; December 1997

**8.5.2.2** Create an action plan for evaluating the results of documentation defined by the Resource Management Plan and gathered by *metering* equipment (based upon Section 4.5 D, of the International Performance Measurement & Verification Protocol (IPMVP): Concepts and Practices for Determining Energy savings in New Construction, Volume III, Part I, January 2006).

The action plan has a process for implementing changes identified as a result of the analysis of the monitoring of energy use. The action plan addresses a minimum of two of the following systems:

- Lighting and lighting controls by floor or by zones;
- Plug loads by floor or by zones;
- Major electric HVAC equipment (e.g., chillers, cooling towers, AHU fans, pumps) 5 HP or greater;
- Chilled water generation;
- On-site renewable energy power generation;
- Heating water or steam generation; AND/OR
- Specialty or process electrical equipment.

# Maximum = 2 points

- One point is earned where there is documentation of the plan that provides guidance for monitoring installed systems based upon Section 4.5 of the International Performance Measurement & Verification Protocol (IPMVP) Concepts and Practices for Determining Energy savings in New Construction, Volume III, Part I, January 2006.
- One point is earned where there is a definition of a constant feedback loop process in the plan for defining improvements in the efficiency of energy usage, based upon review and analysis of the gathered building level *meter* monitoring documentation.
- One point is earned where the gathered data is provided for review by occupants and visitors with up-to-date or real-time information on space energy consumption.

## Maximum = 3 points

- One point is earned where there are provisions in the plan that mandate the creation of improvement goals, identified based upon the automated data collection of monitored meter usage information for two or more of the listed systems.
- Two points are earned where there is definition of a process for implementing improvements in energy usage to reach the stated goals, based upon review and analysis of the gathered documentation for two or more of the listed systems.



## Informational Reference(s):

 International Performance Measurement and Verification Protocol; DOE/EE-0157; December 1997

(Continued from 8.5.2.2)

#### 8.5.3 Verification

**8.5.3.1** Provide verification of the measurement of energy use and efficiency in accordance with Section 4.5 Option D – Whole Building Calibrated Simulation, of the International Performance Measurement & Verification Protocol (IPMVP): Concepts and Practices for Determining Energy savings in New Construction, Volume III, Part I. January 2006.

Savings are determined at the whole-building level by measuring energy use at main *meters* or *sub-meters* or using whole-building simulation calibrated to measured energy use data.

## Informational Reference(s):

- International Performance Measurement and Verification Protocol; DOE/EE-0157; December 1997
- **8.5.3.2** Install a fault detection and diagnostic system (FDD) on HVAC and lighting systems with the ability to detect the following:
- Economizer operation;
- Simultaneous heating and cooling;
- Photocell malfunction; and
- Additional HVAC and lighting setpoints.

# 9 points

 Nine points are earned where verification documentation that the energy data gathered, analysis performed, and computation of energy efficiency is consistent with the objectives of the design intent of the project is provided.

#### 1 point or N/A

 Not applicable for buildings without a Building Automation System (BAS).

# 8.5.4 Recommended Documentation

- Cut sheets for *meters* and *meter* reading equipment;
- Description of the monthly monitoring and verification reports that will be sent to building management and the process for constant feedback evaluation for improvement;
- Verification protocol report;
- Mechanical and electrical plans that clearly show what utilities are *metered*, what major end-uses are *sub-metered*;
- Resource Management Plan in the Operations and Maintenance Manual for both building level and *sub-metering* applications.

# 8.6 Renewable Sources of Energy (40 points)

8.6.1 On-Site Renewable Energy	
<b>8.6.1.1</b> Conduct a study to determine the technical	5 points
feasibility and life cycle cost effectiveness of on-site	
renewable energy. The study considers an on-site	



renewable energy system that provides at least 2% of the total building annual energy cost.	(Continued from 8.6.1.1)
<ul> <li>Informational Reference(s):</li> <li>Guide to Integrating Renewable Energy in Federal Construction</li> <li>National Institute of Standards and Technology (NIST) Building Life Cycle Cost (BLCC) Program:         <ul> <li>https://www.nist.gov/publications/blcc-nist-building-life-cycle-cost-program-version-50 (last accessed 6/30/17)</li> </ul> </li> </ul>	
<b>8.6.1.2</b> Use the recommendations of a Feasibility Study, or other owner's project requirements to	Maximum = 25 points or N/A
implement <i>on-site renewable energy</i> system(s).	<ul> <li>One point is earned for each percent of project energy produced by <i>on-site</i> renewable energy systems to a maximum of 25 points.</li> <li>Not applicable where Feasibility Study was completed, and implementation was found to be not life cycle cost effective.</li> </ul>

# 8.6.2 Off-Site Renewable Energy Credits

**8.6.2.1** The building owner commits to signing a contract to purchase *Renewable Energy Certificates* (*RECs*), either certified *Green Power* (*US Dept. of Energy*) listed *renewable energy* credit products or other certified *RECs* or *carbon offsets*, with a minimum three-year commitment.

Renewable energy supplied as part of a utility provider portfolio may be considered towards earning this credit for systems utilizing 10% or greater of power from appropriate sources.

Buildings using the prescriptive path and that don't otherwise have an energy model may base the percentage of *renewable energy* on median EUI from CBECS for the building type.

# Maximum = 10 points

Points are earned where renewable energy supplies a percentage of the building's energy:

- Ten points are earned for 100%.
- Nine points are earned for 90%.
- Eight points are earned for 80%.
- Seven points are earned for 70%.
- Six points are earned for 60%.
- Five points are earned for 50%.
- Four points are earned for 40%.
- Three points are earned for 30%.
- Two points are earned for 20%.
- One point is earned for 10%.
- No points are earned for less than 10%.

## 8.6.3 Recommended Documentation

- Construction documents
- Descriptive documentation of the utility provider's renewable energy sources used to provide consumable energy at the project;
- Executed agreements on "green" power or REC contracts;
- Manufacturer's specifications, cut sheets, and performance documentation;
- On-site renewable energy feasibility studies;
- Study and financial evaluation for implementation.



# 9. WATER EFFICIENCY (190 points)

# 9.1 Indoor Domestic Plumbing (56 points)

Where installed in the project and as permitted by local codes, plumbing fixtures and fittings are certified and listed as being compliant with the requirements of the U.S. EPA's *WaterSense* Program where *WaterSense* specifications exist.

Four paths are provided for assessing Indoor Domestic Plumbing. If no path is achieved 75 total points are deducted from total earned points in the Water Efficiency Assessment Area:

- Path A: ANSI/ASHRAE/USGBC/IES Standard 189.1-2014, Section 6.3.2.1: 54 points OR
- Path B: 2015 International Green Construction Code (IgCC), Table 702.1: 54 points OR
- Path C: 2015 IAPMO Green Plumbing & Mechanical Code Supplement Section 402: 54 points
   OR
- Path D: Major Renovations: 45 points. Not an eligible path for New Construction.

Points cannot be combined between paths. Select one of the paths below.

the second secon						
9.1.1 Path A: ANSI/ASHRAE/USGBC/IES Standard 189.1-2014						
<b>9.1.1.1</b> Plumbing fixtures and fittings comply with ANSI/ASHRAE/USGBC/IES Standard 189.1-2014,	54 points or N/A					
Section 6.3.2.1.	<ul> <li>Not applicable where no fixtures or fittings exist.</li> <li>Not applicable where Path B, C or D is followed.</li> </ul>					

OR

9.1.2 Path B: 2015 International Green Construction Code (IgCC)						
<b>9.1.2.1</b> Plumbing fixtures and fittings comply with the 2015 International Green Construction Code	54 points or N/A					
(IgCC), Table 702.1.	<ul> <li>Not applicable where no fixtures or fittings exist.</li> <li>Not applicable where Path A, C or D is followed.</li> </ul>					

OR

9.1.3 Path C: 2015 IAPMO Green Plumbing & Mechanical Code Supplement					
<b>9.1.3.1</b> Plumbing fixtures and fittings comply with	54 points or N/A				
2015 IAPMO Green Plumbing & Mechanical Code					
Supplement, Section 402.	Not applicable where no fixtures or fittings				
	exist.				
	Not applicable where Path A, B or D is				
	followed.				

OR

9.1.4 Path D: Major Renovations	
<b>9.1.4.1</b> New construction is not eligible for Path D.	Maximum = 45 points or N/A
Points are earned when plumbing fixtures and	
fittings installed in the project meet or exceed	

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requirements for maximum water consumption as listed below and are certified as being compliant with the requirements of the U.S. EPA's WaterSense Program where WaterSense specifications exist.

- Toilets (Maximum flush volume 1.28 gal. (4.8 L) per flush);
- Urinals (Maximum flush volume 0.5 gal. (1.9 L) per flush);
- Showerheads (Maximum flow rate 2.0 gal. (7.6 L) per minute);
- Residential *lavatory faucets* (Maximum flow rate 1.5 gal. (5.7 L) per minute);
- Residential kitchen faucets (Maximum flow rate 2.2 gal. (8.3 L) per minute); and
- Non-residential lavatory faucets (Maximum flow rate 0.5 gal. (1.9 L) per minute).
- Pre-rinse spray valves (Maximum flow rate
   1.28 gal. (4.8 L) per minute)

- One point is earned where at least 80% of each fixture type meets credit requirements; and
- Four points are earned where 90% of each fixture type meets credit requirements; and
- Forty-five points are earned where at least 98% of each fixture type meets credit requirements.
- Seventy-five total points are deducted if less than 80% of each fixture and fitting type meets credit requirements as listed in Path D. (Note: Points are deducted from the Water Assessment Area)
- Not applicable where no fixtures or fittings exist.
- Not applicable where Path A, B or C is followed.

# Complete regardless of the path chosen above.

9.1.5 Residential Indoor Appliances					
<b>9.1.5.1</b> Residential <i>clothes washers</i> are ENERGY	1 point or N/A				
STAR labeled and possess a maximum water factor					
(WF) of 5.4 gal/ft. <sup>3</sup> (720 L/m <sup>3</sup> ) per full cycle.	Not applicable where there are no <i>clothes</i>				
	washers.				
<b>9.1.5.2</b> Residential dishwashers are ENERGY STAR	1 point or N/A				
labeled and possess a maximum water use of 3.8					
gal/ft. <sup>3</sup> (510 L/m <sup>3</sup> ) per cycle.	Not applicable where there are no				
	dishwashers.				

## 9.1.6 Recommended Documentation

- ENERGY STAR labeling;
- Manufacturer has published fixture flush and flow rates;
- Manufacturer's published water use rates;
- WaterSense labeling.

# 9.2 Cooling Towers (24 points)

# 9.2.1 Cooling Towers

**9.2.1.1** Cooling towers minimize the amount of *make-up water* required by achieving one of the following:

 A minimum of 5 cycles of concentration for make-up water having less than or equal to 200 ppm (200 mg/L) total hardness as calcium Maximum = 13 points or N/A

- Five points are earned where cooling towers achieve the respective threshold cycles of concentration.
- Four points are earned when either;

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<ul> <li>6 cycles are achieved where the tower target performance metric is defined in 9.2.1.1 as 5; OR</li> <li>where 4.5 cycles are achieved where the target performance metric is defined in 9.2.1.1 as 3.5 and these cycles of concentration are sustained while maintaining the defined threshold water quality parameters in 9.2.1.1.</li> <li>Four points are earned where at least 25% of a cooling tower's annual makeup water is from safe and approved alternative non-potable sources.</li> <li>Not applicable where there are no wet-cooling towers.</li> </ul>			
<ul> <li>2 point or N/A</li> <li>Not applicable where there are no evaporative towers.</li> </ul>			
<ul> <li>Seven points are earned where ≥75% and &lt;100% of annual evaporative cooling demands are replaced by non-evaporative cooling.</li> <li>Four points are earned where &gt;50% and &lt;75% of annual evaporative cooling demands are replaced by non-evaporative cooling.</li> <li>Two points are earned where ≥20% and &lt;50% of annual evaporative cooling demands are replaced by non-evaporative cooling.</li> <li>No points are earned where &lt;20% of annual evaporative cooling.</li> <li>No points are earned where &lt;20% of annual evaporative cooling demands are replaced by non-evaporative cooling.</li> <li>Not applicable where evaporative cooling is not required.</li> </ul>			
<ul> <li>2 points or N/A</li> <li>Two points are earned where drift eliminators achieve the specified efficiency for either counterflow or crossflow systems.</li> <li>Not applicable where there are no wet-cooling towers.</li> </ul>			

# 9.2.2 Recommended Documentation

• Construction documents;



- Manufacturer's specifications, cut sheets, and performance documentation for cooling equipment, blowdown *meter*, *drift eliminators*, conductivity controllers and wet/dry cooling towers;
- Plumbing plans.

## 9.3 Boilers and Hot Water Systems (10 points)

#### 9.3.1 Boilers and Water Heaters

**9.3.1.1** Boilers AND/OR water heaters have the following features:

- **9.3.1.1.1:** Boiler systems with over 50 BHP have condensate return systems;
- 9.3.1.1.2: Non steam boilers have conductivity controllers; AND/OR
- **9.3.1.1.3:** Steam boilers have conductivity *meters*.

Maximum = 3 points or N/A

- Two points are earned where boiler systems with over 50 BHP have condensate return systems.
  - Not applicable where there will be no steam boilers or where steam boilers are less than 200 BHP.
- One point is earned where boilers have conductivity controllers and meters.
  - Not applicable where there are no boilers

## 9.3.2 Domestic Hot Water Systems

9.3.2.1 Hot Water Volume: Conserve energy and water

by designing efficient hot water delivery piping systems to one of the following:

- A maximum of 48 oz. from a water heater AND/OR a maximum of 24oz from a recirculation or similar hot water line;
   OR
- A maximum of 64 oz. from a water heater AND/OR a maximum of 24oz from a recirculation or similar hot water line;
- A maximum of 96 oz. from a water heater AND/OR a maximum of 36oz from a recirculation or similar hot water line.

Reduce hot water piping volume to all *lavatory* sinks, kitchen sinks, and showers.

# Table E202.1 INTERNATL VOLUME OF VARIOUS WATER DISTRIBUTION TUBING

Ounces of water per foot of tube									
Size	Copper	Copper	Copper	CPVC	CPVC	CPVC	PE-	Composite	PEX
Nominal	Type	Type L	Type K	CTS	SCH	SCH	RT	ASTM F	CTS
Inch	М			SDR	40	80	SDR	1281	SDR
				11			9		9
3/8	1.06	0.97	0.84	N/A	1.17	-	0.64	0.63	0.64
1/2	1.69	1.55	1.45	1.25	1.89	1.46	1.18	1.31	1.18
3/4	3.43	3.22	2.90	2.67	3.38	2.74	2.35	3.39	2.35
1	5.81	5.49	5.17	4.43	5.53	4.57	3.91	5.56	3.91
1 1/4	8.70	8.36	8.09	6.61	9.66	8.24	5.81	8.49	5.81
1 ½	12.18	11.83	11.45	9.22	13.20	11.38	8.09	13.88	8.09
2	21.08	20.58	20.04	15.79	21.88	19.11	13.86	21.48	13.86

Maximum = 4 points

- Four points are earned where there is a maximum of 48 oz. from a water heater AND/OR a maximum of 24 oz. from a recirculation or similar hot water line.
- where there is a maximum of 64 oz. from a water heater AND/OR a maximum of

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	24 oz. from
	a
	recirculation
	or similar
	hot water
	line.
	<ul> <li>Two points</li> </ul>
	are earned
	where there
	is a
	maximum of
	96 oz. from
	a water
	heater
	AND/OR a
	maximum of
	36 oz. from
	a
	recirculation
	or similar
	hot water
	line.
<b>9.3.2.2</b> Reduce hot water waste to <i>lavatory</i> sinks, kitchen sinks, and showers by use of hot	Maximum = 3
water recirculating systems that use occupant sensors, occupant controls, and	points
thermocouples to reduce waiting times and water purged down the drain.	points
thermocoupies to reduce waiting times and water purged down the drain.	Three points
Note: Continuously operating recirculation systems and recirculation systems on timers are	are earned
not eligible for this credit.	where >90%
not eligible for this credit.	of the hot
	water
	fixtures
	listed are
	served by a
	hot water
	demand
	system.
	Two points
	are earned
	where ≥75
	and ≤90% of
	the hot
	water
	fixtures
	listed are
	served by a
	hot water
	demand
	system



<ul> <li>No points</li> </ul>
are earned
where <75%
of the hot
water
fixtures
listed are
served by a
hot water
demand
system.

# 9.3.3 Recommended Documentation

- Certificate from professional engineer for steam system;
- Construction documents and piping layout;
- Manufacturer's specifications and instructions, cut sheets, and performance documentation for boilers, water heating systems, meter, controllers, appliances and steam system plans.

# 9.4 Wa

<u>ater</u>	iter Intensive Applications (21 points)		
9.4	9.4.1 Commercial Food Service Equipment		
9.4	1.1.1 Food services avoid water intensive	Maximum = 2 points or N/A	
eq	uipment as follows:		
9.4	9.4.1.1.1: The project does not include once- through water-cooled equipment; AND 9.4.1.1.2: The project does not include water- fed food waste disposers. 3.1.2 The following appliances and fittings meet	<ul> <li>One point is earned where food services avoid water intensive equipment per each listed item up to a maximum of 2 points.</li> <li>Not applicable where there are no commercial food service facilities.</li> <li>Maximum = 5 points or N/A</li> </ul>	
the	e prescribed limits for water usage:	One point is earned where each listed	
•	9.4.1.2.1: Combination ovens consume 1.5 gal per pan/hr. (39 L/hr.) or less in the steamer mode. N/A where there are no combination ovens; 9.4.1.2.2: Pre-rinse spray valves comply with the requirements of the U.S. EPA's WaterSense Program and consume 1.28 gal/min (4.8 L/min) or less; 9.4.1.2.3: Boilerless/connectionless food steamers consume 2 gal/hr./compartment (7.5 L/hr.) or less.  N/A where there are no food steamers; 9.4.1.2.4: Dishwashers comply with ENERGY STAR requirements and consume 1.6 gal/rack (6.1 L/rack) or less. Rackless flight-type dishwashers consume 160 gal/hr. (605.7 L/hr.)	<ul> <li>appliance or fitting meets the specified water usage limits up to a maximum of 5 points.</li> <li>Not applicable where the listed appliance or fitting is not present.</li> </ul>	



	<ul> <li>N/A where there are no dishwashers; and</li> </ul>	
•	9.4.1.2.5: Ice Makers comply with ENERGY	
	STAR requirements where such requirements	(Continued from 9.4.1.2)
	exist.	
	<ul> <li>N/A where there are no ice makers.</li> </ul>	

9.4.2 Laboratory and Medical Equipment		
<b>9.4.2.1</b> Equip <i>Steam sterilizers</i> with the following:	Maximum = 1 point or N/A	
<ul> <li>9.4.2.1.1: Mechanical vacuum systems; and</li> <li>9.4.2.1.2: Water tempering devices that only allow water to flow when the discharge of condensate or hot water from the sterilizer exceeds 140°F (60°C).</li> </ul>	<ul> <li>One-half (1/2) point is earned where steam sterilizers are equipped per each listed item up to a maximum of 1 point.</li> <li>Not applicable where there are no steam sterilizers.</li> </ul>	
<b>9.4.2.2</b> Specify <i>Dry vacuum systems</i> for all	1 point or N/A	
medical/dental purposes.	Not applicable where there are no	
	medical/dental systems.	

9.4.3 Laundry Equipment		
<ul> <li>9.4.3.1 Coin- or card-operated laundromat machines meet the prescribed water factor (WF) performance as follows:</li> <li>Laundromat clothes washers, single-load have a WF of 4.0 or less and comply with ENERGY STAR requirements; AND/OR</li> <li>Clothes washers, Multi-load have a WF of 8.0</li> </ul>	<ul> <li>2 points or N/A</li> <li>Two points are earned where all machines comply with the specified water factor.</li> <li>Not applicable where there are no coin- and card-operated machines.</li> </ul>	
or less.  9.4.3.2 Laundry equipment in industrial laundry	2 points or N/A	
facilities include the following features and	2 points of N/A	
systems:	Not applicable where there is no industrial laundry or where volumes do not exceed 350	
<ul> <li>Clothes washers, Tunnel clothes washers can be programmed to use a specific amount of water depending on the soil level of the material to be washed;</li> </ul>	lbs. (160 kg) per hour.	
<ul> <li>Maximum water consumption of washers is 1.0 gal/lb. (8 L/kg); AND</li> <li>Washers have a water recycling system.</li> </ul>		
9.4.3.3 In an on-premise/institutional laundry,	2 points or N/A	
clothes washers and washer-extractors have a maximum WF of 8.0.	A Not applicable where there are no sain and	
IIIaxiiiiuiii WF UI 8.U.	<ul> <li>Not applicable where there are no coin- and card-operated machines.</li> </ul>	



9.4.4. Water Features and Pools	
<b>9.4.4.1</b> Water features re-circulate water for reuse within the system and have a leak/water loss detection system.	<ul> <li>1 points or N/A</li> <li>Not applicable where there are no water features.</li> </ul>
<b>9.4.4.2</b> Water features use alternate water sources of non-potable water for make-up water.	<ul> <li>Not applicable where there are no water features.</li> <li>Not applicable where prohibited by the authority having jurisdiction.</li> </ul>
9.4.4.3 Pools and spas or water features have an evaporation reduction/mitigation feature (e.g., Pool covers, storage of feature water in underground tanks, controls to curtail use during high loss periods, etc.).	<ul> <li>Not applicable where there are no pools, spas, or water features.</li> </ul>
<b>9.4.4.4</b> Equip Pools and spas with <i>splash out</i> troughs to recover water.	<ul> <li>Not applicable where there are no pools or spas.</li> </ul>
<b>9.4.4.5</b> Pools and spa backwash water is treated and recovered for appropriate reclamation, recycling, AND/OR irrigation.	Not applicable where there are no pools or spas.
<b>9.4.4.6</b> Use-regenerative sorptive media (not conventional filtration or standard sand-based filtration) or cartridge filtration for pools and spas.	Not applicable where there are no pools or spas.

#### 9.4.5 Recommended Documentation

- Construction documents;
- Description of alternate water sources to be used;
- Manufacturer's specifications, cut sheets, and performance documentation for *pre-rinse spray valves*, ice machines, food steamers, dishwashers, and *combination ovens*;
- Manufacturer's specifications, cut sheets and performance documentation for steam sterilizers, laboratory or medical equipment using non-potable water for once through cooling, water recycling units, and wet scrubbers;
- Manufacturer's specifications, cut sheets, and performance documentation for all special water features and for all meters;
- Plumbing plans.

## 9.5 Water Treatment (4 points)

9.5.1 Water Treatment for End Uses	
<b>9.5.1.1</b> Equip filtration systems with pressure drop	1 point or N/A
gauges that allow backwash to be based on	
pressure drop and not on timers.	Not applicable where there is no water
	treatment system.

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<b>9.5.1.2</b> Provide reverse osmosis that achieves one of the following:	Maximum = 2 points or N/A
<ul> <li>Rejects less than 70% of feed-water volume for a system that produces less than 100 gal. (380 L) per day;</li> <li>OR</li> <li>Rejects less than 60% of feed-water volume for a system that produces more than 100 gal. (380 L) per day.</li> </ul>	<ul> <li>Two points are earned where reverse osmosis rejects less than 60% of feed-water volume.</li> <li>One point is earned where reverse osmosis rejects less than 70% of feed-water volume.</li> <li>Not applicable where there is no water treatment system.</li> </ul>
<b>9.5.1.3</b> Water softeners are demand-initiated, equipped with recharge controls based on volume of water treated or hardness and not on clock timers.	<ul><li>1 point or N/A</li><li>Not applicable where there is no water treatment system.</li></ul>

# 9.5.2 Recommended Documentation

- Construction documents;
- Manufacturer's specifications, cut sheets, and performance documentation for filtration systems, pressure drop gauges, reverse osmosis systems, water softeners, and recharge controls.

# 9.6 Alternate Water Sources (28 points)

9.6.1 Alternate Water Sources for Indoor Uses			
<b>9.6.1.1</b> Use non-potable water for indoor	Maximum = 10 points or N/A		
purposes.			
	Points are earned based on the percentage of		
Informational Resource(s):	indoor water demands met with non-potable		
EPA's Guidelines for Water Reuse	water:		
	Ten points are earned for >75%.		
	<ul> <li>Eight points are earned for &gt;50 and ≤75%.</li> </ul>		
	• Six points are earned for ≥25% and ≤ 50%.		
	• Three points are earned for ≥15% and ≤ 24%.		
	<ul> <li>No points are earned for &lt;15%.</li> </ul>		
	Not applicable where the authority having		
	jurisdiction prohibits the use of alternate		
	water_sources for indoor applications.		
<b>9.6.1.2</b> One of the following systems is at least	2 points		
80% pre-plumbed (pipes and valves) during			
construction:			
Graywater;			
Reclaimed water;			
Recycled water;			
Stormwater; AND/OR			
Rainwater.			
Pre-plumbed systems are marked or otherwise			
identified as such.			



9.6.2 Alternate	Water	Sources 1	for (	Outd	oor	Uses

**9.6.2.1** Where applicable, use *alternate water* source(s) to replace *potable water* for one or more of the following outdoor purposes:

- **9.6.2.1.1:** Cooling Towers;
- **9.6.2.1.2:** Irrigation;
- **9.6.2.1.3**: Water features;
- 9.6.2.1.4: Wash Down/Surface Washing; AND/OR
- **9.6.2.1.5**: Dust Control.

Maximum = 15 points or N/A

 Fifteen points are earned where alternate water source(s) are used for Cooling Towers;

OR

- Fifteen points are earned where *alternate* water source(s) are used for Irrigation.
  - Not applicable where the vegetative landscape is less than 25% of the site.

OR

- Five points are earned where *alternate water* source(s) are used for Water features.
- Five points are earned where alternate water source(s) are used for Wash Down/Surface Washing.
- Five points are earned where *alternate water* source(s) are used for Dust Control.
- Not applicable where there is no irrigation or other outdoor demand.

#### 9.6.3 Graywater Treatment

**9.6.3.1** *Graywater* treatment systems are NSF 350 listed where present.

1 point or N/A

 Not applicable where there are no Graywater treatment systems.

#### 9.6.4 Recommended Documentation

- Construction documents;
- Description of alternate water sources and implementation for non-potable water applications;
- Designer's drawings, specifications, and performance documentation including estimated yield and
  calculations to demonstrate the percentage of water from non-potable sources and alternate water
  sources;
- Manufacturer's specifications, cut sheets, and performance documentation.

# 9.7 Metering (20 points)

9.7.1 <i>Meter</i> ing	
<b>9.7.1.1</b> Install <i>Sub-metering</i> for all water-intensive applications such as commercial	2 points or N/A
kitchens, commercial laundries, laboratories, pools, spas, etc.	<ul> <li>Not applicable where there are no water intensive applications.</li> </ul>
<b>9.7.1.2</b> Install <i>metering</i> or <i>sub-metering</i> for water that is used for pressurized irrigation.	4 points or N/A

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	Not applicable where there is no irrigation.
<b>9.7.1.3</b> Link all water <i>meters</i> and <i>sub-meters</i> to a <i>Meter</i> Data Management System to store and report water consumption data.	2 points
9.7.1.4 Equip chilled or hot water loops or cooling tower make up water supply pipes with meters.  9.7.1.5. Use tenant Metering or Sub-metering in multi-unit developments.	<ul> <li>Not applicable where there are no chilled or hot water loops.</li> <li>Maximum = 10 points or N/A</li> <li>Ten points are earned when at least 90% of the units in the development are sub-metered and allow for tenants to view their consumption and be billed based upon it.</li> <li>Seven points are earned when at least 75% of the units in the development are sub-metered and allow for tenants to view their consumption and be billed based upon it.</li> <li>Five points are earned when at least 50% of the units in the development are sub-metered and allow for tenants to view their consumption and be billed based upon it.</li> <li>Two points are earned when at least 25% of the units in the development are sub-metered and allow for tenants to view their consumption and be billed based upon it.</li> <li>Not applicable where there is no multi-unit development.</li> </ul>

## 9.7.2 Recommended Documentation

- Building plans showing *sub-meters*;
- Construction documents;
- Manufacturer's specifications, cut sheets, and performance documentation for the *Meter* Data System and *meters*;
- Plan for billing of tenants;
- Plumbing design drawings;
- Sub-meter specifications;
- Water Efficiency Measurement and Verification Plan including monthly reports.

# 9.8 Irrigation (27 points)

9.8.1 Irrigation		
<b>9.8.1.1</b> No irrigation system is installed.	Maximum = 16 points or N/A	
OR	Sixteen points are earned only where there is no irrigation system.	

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Use the EPA WaterSense Water Budget Tool to determine landscape water allowance (LWA) and the landscape irrigation design and installation aligns with the allowance.  Exclusion: The area of the landscape used to grow food for human consumption is not included in the calculations.  Informational reference(s):  ASABE/ICC 802-2014 ANSI Landscape Irrigation Sprinkler and Emitter Standard.  EPA WaterSense Water Budget Tool (V 1.02)  2014 Landscape Irrigation Best Management Practices	<ul> <li>Six points are earned when there is a 30% reduction in water demand compared to the baseline as determined by the EPA WaterSense Water Budget Tool.</li> <li>One point is earned for each additional 5% reduction in water demand above 30% to a maximum of an additional 9 points.</li> <li>Not Applicable where there is no landscaping or the landscaping has no vegetation.</li> </ul>
9.8.1.2: An irrigation plan is developed by a	Maximum = 4 points
certified/licensed irrigation designer for the	
approved landscape plan that shows calculations	Four points are earned where there is an  initiation plan.
for landscape water requirements compared to the LWA.	irrigation plan
9.8.1.3 The irrigation system includes the	Maximum = 5 points or N/A
following:	5 points 5. 17/1
<ul> <li>9.8.1.3.1: WaterSense or Smart Water Application Technology (SWAT), smart controllers, soil moisture sensors, AND/OR automatic rain shutoff devices;</li> <li>9.8.1.3.2: Pressure regulation for each zone to maintain proper operating pressures for landscape irrigation sprinklers or drip components;</li> <li>9.8.1.3.3: Drip irrigation on all planting beds where mature plant height is 10 in. (25.4 cm) or greater AND/OR in any planted area with a dimension less than 5 ft. (1.5 m) in any direction;</li> <li>9.8.1.3.4: Flow sensing incorporated in the control system to suspend irrigation in any zone where flows exceed expectation; AND/OR</li> <li>9.8.1.3.5: Landscape irrigation sprinklers and drip emitters that comply with ASABE/ICC 802-2014 ANSI Landscape Irrigation Sprinkler and Emitter Standard.</li> </ul>	<ul> <li>One point is earned for each of the listed features included in the irrigation system up to a maximum of 5 points.</li> <li>Not applicable where no irrigation system is installed.</li> </ul>
<b>9.8.1.4:</b> Sprinkler system is inspected for proper installation of all components specified on the	Maximum = 2 points or N/A
motandion of an components specified on the	



irrigation plan and to assure that there is no runoff or overspray onto impervious surfaces.

- Two points are earned where there is a sprinkler system inspection.
- Not applicable where no irrigation system is installed.

#### 9.8.2 Recommended Documentation

- Construction documents;
- Landscape architect/designer approved irrigation plan;
- Manufacturer's specifications, cut sheets, and performance documentation.



# 10. MATERIALS (150 points)

# 10.1 Whole Building Life Cycle Assessment (30 points)

# 10.1.1 Whole Building Life Cycle Assessment

**10.1.1.1** The project team evaluates a minimum of two different building designs using ASTM E2921-13 and the following assessment protocol to select the building with the lower environmental impact.

Assessment protocol:

The life cycle assessment reports the following life cycle impact indicators:

- Global warming potential (GWP)/climate change;
- Acidification potential;
- Eutrophication potential;
- Ozone depletion potential (ODP); and
- Smog potential.

The proposed final design of the building with the lower anticipated environmental impact achieves the following performance targets compared to the reference design:

- A minimum 5% reduction each, for at least three impact indicators, one of which is global warming potential; and
- No other impact indicator exceeds the reference design by more than 5%.

Operating energy consumption and MEP systems can be included. A registered design professional verifies structural material quantities, with the exception of *existing buildings*.

Informational Reference(s):

- ASTM E2921-13 Standard Practice for Minimum Criteria for Comparing Whole Building Life Cycle Assessments for Use with Building Codes and Rating Systems
- The Athena Impact Estimator for Buildings: <a href="http://calculatelca.com/software/impact-estimator/">http://calculatelca.com/software/impact-estimator/</a> (last accessed 6/20/17)
- GaBi Software Building LCA: <a href="http://www.gabi-software.com/america/solutions/building-lca/">http://www.gabi-software.com/america/solutions/building-lca/</a> (last accessed 6/20/17)
- SimaPro Sustainability Life Cycle Assessment Carbon Footprinting: <a href="http://www.simapro.co.uk/">http://www.simapro.co.uk/</a> (last accessed 6/20/17)
- Tally™: <a href="http://choosetally.com/">http://choosetally.com/</a> (last accessed 6/20/17)

Maximum = 30 points

Points are earned where the following percentage reduction is demonstrated by adding at least three impact indicators:

- Thirty points are earned for a total 25% or greater reduction.
- Twenty-eight points are earned for a total 24% reduction.
- Twenty-six points are earned for a total 23% reduction.
- Twenty-four points are earned for a total 22% reduction.
- Twenty-two points are earned for a total 21% reduction.
- Twenty points are earned for a total 20% reduction.
- Eighteen points are earned for a total 19% reduction.
- Sixteen points are earned for a total 18% reduction.
- Fourteen points are earned for a total 17% reduction.
- Twelve points are earned for a total 16% reduction.
- Ten points are earned for a total 15% reduction.



# 10.2 Product Life Cycle (29 Points)

#### 10.2.1 Product Life Cycle

**10.2.1.1** Product Manufacturers provide one or more of the following for a minimum of twenty products that at a minimum evaluate the *cradle-to-gate product life cycle*:

- Third party verified Type III Environmental Product Declarations (EPD) according to ISO 21930: 2007 or ISO 14025: 2006, either product specific or industry average;
- Third party Multiple Attribute Product Certification; AND/OR
- Third party verified product life cycle assessment based upon ISO 14040: 2006 and ISO 14044: 2006.

## Informational Reference(s):

- Multi-attribute Standard: products compared use the same MAS. Examples include the following:
  - NSF/ANSI 140-2015 Sustainability Assessment for Carpet
  - NSF/ANSI 332-2015 Sustainability Assessment for Resilient Flooring
  - NSF/ANSI 336-2011 Sustainability
     Assessment for Commercial Furnishings
     Fabric
  - NSF/ANSI 342-2014 Sustainability Assessment for Wallcovering Products
  - NSF/ANSI 347-2012 Sustainability
     Assessment for Single Ply Roof Membranes
  - ANSI/NSC 373-2014 Sustainability
     Assessment for Natural Dimension Stone
  - ANSI/BIFMA e3-2014: Business and Institutional Furniture Sustainability Standard (BIFMA e3) and Level® Sustainability Certification Program for Furniture
  - Tile Council of North America's Green Squared Certification (ANSI A138.1-2011)
  - UL 100: Sustainability of Gypsum Boards and Panels (2012)
  - UL 102: Sustainability of Swinging Door Leafs (2009)

#### Maximum = 19 points

Points are earned where products include one of the listed third party verifications/certifications:

- Nineteen points are earned for 30 or more products.
- Fourteen points are earned for 29 products.
- Thirteen points are earned for 28 products.
- Twelve points are earned for 27 products.
- Eleven points are earned for 26 products.
- Ten points are earned for 25 products.
- Nine points are earned for 24 products.
- Eight points are earned for 23 products.
- Seven points are earned for 22 products.
- Six points are earned for 21 products.
- Five points are earned for 20 products.
- No points are earned for fewer than 20 products.



**10.2.1.2** A minimum of five products include one or more of the following verifications that evaluate the products through end of life (*cradle-to-grave product life cycle*):

 Third party verified Type III Environmental Product Declarations (EPD) according to ISO 21930: 2007 or ISO 14025: 2006;

#### AND/OR

 Third party verified product life cycle assessment based upon ISO 14040: 2006 and ISO 14044: 2006.

Compliance with 10.2.1.2 can be used for 10.2.1.1

# Informational Reference(s):

- Multi-attribute Standards (MAS): products compared use the same MAS. Examples include the following:
  - NSF/ANSI 140-2015 Sustainability Assessment for Carpet
  - NSF/ANSI 332-2015 Sustainability Assessment for Resilient Flooring
  - NSF/ANSI 336-2011 Sustainability
     Assessment for Commercial Furnishings
     Fabric
  - NSF/ANSI 342-2014 Sustainability Assessment for Wallcovering Products
  - NSF/ANSI 347-2012 Sustainability
     Assessment for Single Ply Roof Membranes
  - ANSI/NSC 373-2014 Sustainability
     Assessment for Natural Dimension Stone
  - ANSI/BIFMA e3-2014: Business and Institutional Furniture Sustainability Standard (BIFMA e3) and Level Sustainability Certification Program for Furniture
  - Tile Council of North America's Green Squared Certification (ANSI A138.1-2011)
  - UL 100: Sustainability of Gypsum Boards and Panels (2012)
  - UL 102: Sustainability of Swinging Door Leafs (2009)

Maximum = 10 points

Points are earned where products are evaluated through end of life:

- Ten points are earned for 10 or more products.
- Nine points are earned for 9 products.
- Eight points are earned for 8 products.
- Seven points are earned for 7 products.
- Six points are earned for 6 products.
- Five points are earned for 5 products.
- No points are earned for fewer than 5 products.

# 10.3 Product Risk Assessment (19 points)

10.3.1 Screening-Level Product Risk Assessment	
<b>10.3.1.1</b> Select at least one <i>formulated product</i> or <i>article</i> that has a	Maximum = 19 points
completed first, second, or third party screening-level product risk	



assessment in accordance with the chemical characteristics identified in NSF/GCI/ANSI 355: Greener Chemicals and Processes Information Standard, based on the product's intended use, concentration of each chemical constituent within the product, and completion of a peer reviewed exposure model in accordance with 10.3.2; including, as a minimum, the following technically supported and applicable exposure scenario factors for either interior or exterior product categorized products: frequency, duration, amount utilized, ventilation rate, wind speed, and room/space size, or unlimited for unconfined spaces.

Points are earned for discrete products with different functional uses and not variations of the same product, unless the manufacturers show substantial difference between the chemical constituents or components. Where a product has both interior and exterior exposure, the screening-level *product risk assessment* is required for the interior exposure only.

Product Screening-Level *Product Risk Assessment* Reporting: The product manufacturer provides a screening-level *product risk assessment* report that includes the following elements:

- Certification that their full product formulation underwent the screening-level product risk assessment, including each constituent chemical identified by applicable Chemical Abstract Service Number (CAS number) and the percentage (actual, estimated, or range %) of each constituent chemical in the formulation (de minimus of 0.1% for carcinogens and 1% for other hazardous ingredients); and
- Each screening-level product risk assessment for human health, safety and ecological impacts, is required based upon the NSF/GCI/ANSI-355 Chemical Characteristics, and the results are reported in the generic classification of Green (least risk), Yellow (second least risk), Orange (second most risk), and Red (most risk).

Note: Human health and safety risk and ecological screening-level *product risk assessments* may be completed separately by different entities.

#### Informational Reference(s):

 screening-level product risk assessment tools referencing NSF/GCI/ANSI 355: Greener Chemicals and Processes Information Standard AND/OR processes allowed by regulatory authorities, e.g. REACH, EPA, Health Canada or other sources (2011) Points are earned where products undergo a screening-level *product risk assessment:* 

- Nineteen points are earned for 15 products or more.
- Fourteen points are earned for 14 products.
- Thirteen points are earned for 13 products.
- Twelve points are earned for 12 products.
- Eleven points are earned for 11 products.
- Ten points are earned for 10 products.
- Nine points are earned for 9 products.
- Eight points are earned for 8 products.
- Seven points are earned for 7 products.
- Six points are earned for 6 products.
- Five points are earned for 5 products.
- Four points are earned for 4 products.
- Three points are earned for 3 products.
- Two points are earned for 2 products.
- One point is earned for 1 product.



#### 10.3.2 Recommended Documentation

- Product Screening-Level *Product Risk Assessment* Report includes:
  - Description of how the screening-level product risk assessment system/tool calculated the overall product risk, including both human health and safety, and ecological risks;
  - Applicable routes of exposure (i.e., inhalation, dermal, oral) and exposure scenario factors used in the calculation;
  - Underlying assumptions and other relevant information needed for an independent validation of the assessment process;
  - Description of how the product risks were converted into the Green, Yellow, Orange and Red classifications; and
  - The product screening-level *product risk assessment* report additionally includes documentation of the worst case constituent chemical acute and chronic exposure concentration and/or dosage and *Risk Characterization Ratio (RCR)* for the applicable product routes of exposure (i.e., inhalation, dermal, oral) utilizing the constituent chemical percentages in the *product formulation* and at least the additional exposure factors listed above. (Note: the worst case constituent chemical may be a different constituent chemical for each applicable product route of exposure, based upon their NSF/GCI/ANSI-355 chemical characteristic impacts.) In the public report proprietary *product formulation*, ingredient and/or percentage need not be revealed. Instead formulation constituents may be grouped and identified by functional role, hazard grouping, or other descriptors for the product.

#### 10.4 Sustainable Materials Attributes (10 points)

#### 10.4.1 Product Sustainable Materials Attributes

**10.4.1.1** Points are earned based on adding percentages of materials, by material cost, that carry the *pre-consumer recycled content*, *post-consumer recycled content*, *biobased content* or *third party sustainable forestry certification content* attribute:

Product Sustainable Attribute Material =

Pre-consumer recycled content %

+

Post-consumer recycled content %

+

Biobased content %

+

Third Party Sustainable Forestry Certification content %

Use the formula below to determine the percentages by cost of the products that carry the listed attributes. Only the portion of materials that has the identified attribute should be included. For example, if a product has 40% *pre-consumer recycled content*, only 40% of the value of that product is included.

Maximum = 10 points

Points are earned where the Product Sustainable Attribute Material is between 11% and 29% or greater:

- Ten points are earned for ≥28%.
- Nine points are earned for ≥26% and < 28%.</li>
- Eight points are earned for ≥24% and <26%.
- Seven points are earned for ≥22% and <24%.</li>
- Six points are earned for ≥20% and < 22%.</li>
- Five points are earned where for ≥18% and
   <20-</li>
- Four points are earned where for ≥16% and
- Three points are earned for ≥14% and
   <16%.</li>
- Two points are earned for ≥12% and <14%.</li>
- One point is earned for ≥11% and <12%.</li>
- No points are earned for <11%.</li>



Sustainable Attribute % = Sum for all materials: (Portion of the Material with the Attribute x materials cost)/(Total\_Material Value)

Products that are claimed for credit under Third Party Sustainable Forestry Certification are not also included as biobased content.

Biobased content percentage may be calculated by weight or in accordance with ASTM D6866-16 Standard Test Methods for Determining the Biobased Content of Solid, Liquid, and Gaseous Samples Using Radiocarbon Analysis.

The following forest certification systems are recognized:

- Forest Stewardship Council (FSC): https://us.fsc.org/en-us (last accessed 8/30/17)
- Sustainable Forestry Initiative, Inc. (SFI): <a href="http://www.sfiprogram.org/">http://www.sfiprogram.org/</a> (last accessed 8/30/17)
- American Tree Farm System (ATFS): <a href="https://www.treefarmsystem.org/">https://www.treefarmsystem.org/</a> (last access 8/30/17)
- Canadian Standards Association Sustainable Forestry Management (CSA): <a href="http://www.csasfmforests.ca/">http://www.csasfmforests.ca/</a> (last accessed 8/30/17)
- Programme for the Endorsement of Forest Certification (PEFC): <a href="https://www.pefc.org/">https://www.pefc.org/</a> (last accessed 8/30/17)

(Continued from 10.4.1.1)

# 10.4.2 Recommended Documentation

• Manufacturer's product data sheets or a statement from manufacturer(s) certifying claims or third-party certification from an organization that has the program in its ISO 17065 scope of accreditation.

#### 10.5 Reuse of Existing Structures and Materials (30 points)

# 10.5.1 Structural Systems and Non-Structural/Interior Elements

**10.5.1.1** Structural systems (e.g. exterior walls, interior bearing walls, roof systems, floor systems) from an *existing building* on the site are retained and incorporated in the new design.

Assessment Guidance:

Percentage =  $100 \times (A \div B)$ , where:

Maximum = 12 points

Points are earned where a percentage of the existing *structural systems* is reused:

- Twelve points are earned for ≥95% reuse.
- Eleven points are earned for ≥90% and <95% reuse.
- Ten points are earned for ≥85% and <90% reuse.



A = Total square footage of *reused* existing *structural systems* 

B = Total square footage of *structural systems* in the project

Wall Area is measured in the vertical plane and other *structural systems* are measured in the horizontal plane.

- Nine points are earned for ≥80% and <85% reuse.
- Eight points are earned for ≥75% and <80% reuse.
- Seven points are earned for ≥70% and <75%</li>
- Six points are earned for ≥65% and <70% reuse.
- Five points are earned for ≥60% and <65% reuse.
- Four points are earned for ≥50% and <60% reuse.
- Three points are earned for ≥40% and <50% reuse.
- No points are earned for <40% reuse.

**10.5.1.2** *Non-structural* interior systems and *finishes* (e.g. ceiling, interior partitions, demountable walls, flooring, doors) from an *existing building* on the site are retained and incorporated in the new design.

Assessment Guidance:

Areas are calculated as the projected area of the element (e.g. if an interior partition is reused, the area is calculated as length x height of the wall).

Percentage =  $100 \times (A \div B)$ , where:

A = Total area of reused existing interior systems and *finishes* 

B = Total area of interior systems and *finishes* in the new design

Maximum = 10 points

Points are earned where a percentage of existing *non-structural*/interior systems and finished is reused:

- Ten points are earned for ≥95% reuse.
- Nine points are earned for ≥85% and <95% reuse.
- Eight points are earned for ≥75% and <85% reuse.
- Seven points are earned for ≥65% and <75% reuse.
- Six points are earned for ≥55% and <65%-reuse.
- Five points are earned for ≥45% and <55% reuse.
- Four points are earned for ≥35% and <45% reuse.
- Three points are earned for ≥25% and <35% reuse.</li>
- Two points are earned for ≥15% and <25% reuse.</li>
- One point is earned for ≥10% and <15% reuse.
- No points are earned for <10% reuse.</li>

# 10.5.2 Material Reuse from Off-Site

**10.5.2.1** The project incorporates reused, refurbished AND/OR off-site *salvaged materials* in place of new materials (except *furnishings*).

Assessment Guidance:

Percentages are calculated as the percentage of the total materials cost.

Maximum = 4 points

Points are earned where a percentage of materials is reused, refurbished, <u>AND/OR</u> salvaged from offsite:

- Four points are earned for ≥20% of materials.
- Three points are earned for ≥10% and <20% of materials.



Percentage = 100 x (A ÷ B), where:  A = Total value of reused materials  B = Total value of materials  The value of the reused, refurbished, or salvaged material is the greater of the cost of the reused, refurbished or salvaged material or the cost of new comparable material which is being avoided.	<ul> <li>Two points are earned for ≥5% and &lt;10% of materials.</li> <li>One point is earned for ≥2% and &lt;5% of materials.</li> <li>No points are earned for &lt;2% of materials.</li> </ul>
<b>10.5.2.2</b> <i>Furnishings</i> (including systems furniture) are reused, salvaged AND/OR refurbished for	Maximum = 4 points or N/A
reuse within the project.	Points are earned where a percentage of existing furnishings is reused:
Assessment Guidance: Percentages are calculated as the percentage of the total <i>furnishings</i> cost.  Percentage = 100 x (A ÷ B), where:	<ul> <li>Four points are earned for ≥30% of existing furnishings.</li> <li>Three points are earned for ≥20% and &lt;30% of existing furnishings.</li> <li>Two points are earned for ≥15% and &lt;20% of</li> </ul>
A = Total value of reused furnishings B = Total value of furnishings	<ul> <li>existing furnishings.</li> <li>One point is earned for ≥10% and &lt;15% of existing furnishings.</li> <li>No points are earned for &lt;10% of existing furnishings.</li> </ul>
	<ul> <li>Not applicable where there are no existing furnishings or if it is not feasible to reuse existing furnishings.</li> </ul>

#### 10.5.3 Recommended Documentation

- Calculation for area of existing major structural systems reused;
- Calculation for percentage of furniture reused;
- Calculation for percentage of off-site material reused onsite;
- Calculations for surface area of existing non-structural elements reused.;
- Construction documents;
- Manufacturer's specifications, cut sheets, and performance documentation (if applicable).

# 10.6 Waste (22 points)

vaste (22 points)	
10.6.1 Construction Waste	
<b>10.6.1.1</b> A preconstruction waste management plan is created prior to any construction or demolition activities. This plan describes the project team's strategy for reducing construction waste and diverting materials from landfilling via reuse or recycling.	2 points
<ul> <li>The preconstruction waste management plan will include:</li> <li>The strategies planned for construction waste reduction, salvaging, recycling, returning to supplier/manufacturer, or other methods for diverting waste from landfill;</li> </ul>	



<ul> <li>The facility, hauler, or service provider that will handle each material being diverted;</li> <li>Whether construction and demolition materials will be separated on-site or commingled;</li> <li>The name and contact information for the person(s) responsible for developing and implementing the waste management plan;</li> <li>Reporting and record keeping provisions;</li> <li>Target construction waste rate per 10.6.1.3 below; and</li> <li>Target waste diversion rate.</li> </ul>	(Continued from 10.6.1.1)
<ul> <li>10.6.1.2 A final waste management summary report is completed after construction documenting the results of the implementation of the preconstruction waste management plan, including: <ul> <li>The weight or volume of the total quantity of construction and demolition waste;</li> <li>The calculated construction waste per unit area for the project (see 10.6.1.3 below);</li> <li>The weight or volume of the major categories of materials that were reused or recycled;</li> <li>The reuse/recycling rate for each major category of waste material;</li> <li>The overall reuse/recycling rate for the project;</li> <li>A description of whether materials are managed through source separation or comingling;</li> <li>Copies of receipts and invoices used to track the progress of the waste management effort;</li> <li>A statement that describes if a waste recycling facility was used whether it was certified by a government or non-government organization;</li> <li>The organization and contact information of the author of the waste management summary report and the name and contact information of the person(s) at the off-site recycling facility (or facilities) responsible for data collection and reporting.</li> </ul> </li> </ul>	1 point
10.6.1.3 Minimize construction waste, per unit area of new building floor area, generated in new portions of buildings.  Construction waste includes that which is hauled from the site, whether diverted, landfilled, incinerated, or otherwise disposed of.  Soil and land-clearing debris are not included in the calculations.	<ul> <li>Eight points are earned where waste is less than or equal to 1.2 lbs./ft² (5.9 kgf/m²)of the new building floor area.</li> <li>Five points are earned where waste is 1.2 lbs./ft² (5.9 kgf/m²) to 2.0 lbs./ft² (9.8 kgf/m²) of the new building floor area.</li> <li>Three points are earned where waste is 2.0 lbs./ft² (9.8 kgf/m²) to 2.5 lbs./ft²</li> </ul>



**10.6.1.4** Construction waste, including building demolition waste and packaging, is diverted from the landfill through recycling, *reuse*, repurposing, or composting.

The amount of construction waste that is used for waste-toenergy combustion is multiplied by 0.5 when counted as waste diverted from landfill. Waste-to-energy facilities have a combustion efficiency rate of 60% or more. Soil and land-clearing debris and materials used as alternative daily cover at landfills are not included in these calculations.

Calculations may be performed based on weight or volume, but the same basis is used throughout this credit. Where calculations are performed to convert waste from weight to volume or volume to weight, the source of these conversions is stated.

(12.2 kgf/m<sup>2</sup>) of the new building floor area.

Maximum = 5 points

Points are earned where a percentage of the total amount of construction waste is diverted from landfill:

- Four points are earned for 75% or greater.
- Three points are earned for ≥50% and <75%.</li>
- Two points are earned for ≥25% and <50%
- One additional point is earned for facilities that have verified their annual average recycling rate from an independent third party organization.
- No points are earned for less than 25%.

#### 10.6.2 Post Occupancy Solid Waste Recycling

**10.6.2.1** The building design addresses recycling for solid waste using one or more of the following:

- **10.6.2.1.1:** Capacity: Provide recycling collection capacity as follows:
  - Multi-family: Minimum of 0.0625 CY per resident; or
  - Office and Retail: Minimum of 0.035 CY per full time employee; or
  - Schools: Minimum of 0.010 CY per student;
- 10.6.2.1.2: Interior Storage Requirements:
   Building design addresses interior storage of
   recyclables in accordance with one or more of the
   following space layouts, as required to meet
   minimum capacity for scheduled collection and
   any security or safety needs:
  - In-cabinet or under-counter/work station collection bins;
  - A minimum of one collection bin centrally located on each floor;
  - A separate and secure collection area for a single material stream; AND/OR
  - Recycling chutes that empty into dedicated recycling collection bin;
- 10.6.2.1.3: Exterior Storage Requirements:
   Building design addresses exterior recycling

Maximum = 2 points

- Two points are earned where two or more of the listed items are used to address recycling for solid waste.
- One point is earned where one of the listed items is used to address recycling for solid waste.



storage by providing adequate, accessible enclosures for recycling collection containers in size and number that meet minimum capacity requirements and the following:

- Permanent, durable enclosures are sized to accommodate collection bins required for minimum recycling capacity;
- Enclosures are screened on three sides; and
- Enclosures are designed to accommodate minimum clearances for collection equipment.

(Continued from 10.6.2.1)

# 10.6.3 Supply Chain Waste Minimization

**10.6.3.1** Products used in the construction of the building are selected from manufacturers who have minimized or diverted waste during the production/manufacturing of the products. This criterion applies gate-to-gate – to all material inputs and outputs to a facility (or facilities) that produce/manufacture finished products. The diversion rate is calculated for the entire facility (or facilities) in which the products were produced/assembled/manufactured, and not just for an individual product line.

#### Assessment Guidance:

The diversion rate is expressed as a percentage and calculated as follows:  $Diversion \ Rate =$ 

$$\left(1 - \left[\frac{\textit{Mass Landfilled} + \textit{Mass Incinerated without Energy Recovery}}{\textit{Mass Discarded Material}}\right]\right) x 100$$

Waste materials generated from the recycling of an external waste stream as an incoming material should not be considered a "discarded material" and should not appear in either the denominator or numerator.

The Diversion Rate is multiplied by 1.5 for products that have been produced or manufactured in a facility that meets the following standards and certification programs:

- Business or facilities that have achieved Zero Waste certification from the US Zero Waste Business Council; or
- Have followed and certified to UL2799

The following methods are accepted as valid diversion from landfill:

- Recycling;
- Returning to supplier;
- Reuse in same process;
- Reuse in different process;
- Processing and selling to third party;

Maximum = 4 points

- Four points are earned where ≥50%, by cost, of building products used come from facilities that divert over 80% of their waste.
- Three points are earned where ≥40% and <50%, by cost, of building products used come from facilities that divert over 80% of their waste.</li>
- Two points are earned where
   ≥30% and <40%,
   by cost, of building products
   used come from facilities that divert over 80% of their waste.</li>
- One point is earned where ≥20% and <30%, by cost, of building products

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- Commercial composting; AND/OR
- Waste-to-energy: the manufacturer removes, to the maximum practical extent, recyclable materials from the waste stream using common or frontend recycling methods before material is sent to the waste-to-energy operation. Final by-products of waste-to-energy processes are disposed of properly and, if sent to landfill, are included in the total mass discarded value. Facilities are compliant with applicable government emissions regulations and facility permits. The waste-to-energy process used is one of the following:
- used come from facilities that divert over 80% of their waste.

- Bio-diesel or other biofuels;
- Anaerobic digestion with energy recovery; or
- Combustion with energy recovery where:
  - Combustion makes up less than 10% of the total waste by mass diverted; and
  - Combustion does not generate bottom ash or fly ash defined as hazardous by US CFR 261.24 (TCLP) or equivalent test for the jurisdiction of the incineration plant.

## Informational Reference(s):

- UL 2799, 2012
- Zero Waste Principles of the Zero Waste International Alliance (ZWIA), 2015

#### **10.6.4 Recommended Documentation**

- Construction documents;
- Manufacturer's specifications;
- Preconstruction waste management plan;
- Verification to ZWIA or UL 2799;
- Waste management report.

#### 10.7 Resource Conservation (10 points)

#### 10.7.1 Off-Site Fabrication for Construction Optimization

**10.7.1.1** The project incorporates building elements that are produced by one or both of the following methods, alone or in combination:

- Modular Construction
- Prefabrication.

Maximum = 4 points

Points are earned where a percentage of the building construction by cost, not including site work, is *Modular Construction* AND/OR *Prefabrication*:

- Four points maximum are earned for a minimum of 20%.
- Three points are earned for a minimum of 15%.
- Two points are earned for a minimum of 10%.
- One point is earned for a minimum of 5%.
- No points are earned for less than 5%.



# 10.7.2 Design for Deconstruction (DfD)

**10.7.2.1** The project teams document the application of design for *deconstruction* (DFD) principles in the design of the building and provides the Owner with a *Deconstruction* Plan that addresses both partial *deconstruction* (for *renovations*) and total deconstruction (for end of life removal) of the building to maximize the *reuse* and recycling of building components and materials.

6 points

# Informational Reference(s):

- CSA Z783-12 Deconstruction of Buildings and Their Related Parts
- Design for Disassembly in the Built Environment, Brad Guy, Hamer Center, Penn State University (2008)
- Design for *Deconstruction*: Principles of Design to Facilitate *Reuse* and Recycling, W. Addis, B. Happold, and J. Shouten, Construction Industry Research & Information Association (2004)
- Design for *Deconstruction*, M. Pulaski, C. Hewitt, M/ Horman, and B. Guy, Modern Steel, (June 2004)

#### 10.7.3 Recommended Documentation

- Construction documents;
- Deconstruction Plan;
- Design specifications.



# 11. INDOOR ENVIRONMENT (150 points)

# 11.1 Air Ventilation and Quality (35 points)

11.1.1 Ventilation Air Quantity			
<b>11.1.1.1</b> The quantity of ventilation for the building is compliant with one of the following:	9 points		
<ul> <li>ANSI/ASHRAE Standard 62.1-2013; Ventilation for Acceptable Indoor Air Quality;</li> <li>The ICC International Mechanical Code (ICC IMC 2015);</li> <li>IAPMO UMC (2015): Uniform Mechanical Code;</li> <li>ANSI/ASHRAE/ASHE Standard 170-2013, Ventilation of Health Care Facilities; OR</li> <li>Local codes or standards (if more stringent).</li> </ul>			
Informational Reference(s):  • ANSI/ASHRAE Standard 62.1-2013  • ANSI/ASHRAE/ASHE Standard 170-2013  • ICC 2015 International Mechanical Code: section 605  • IAPMO 2015Uniform Mechanical Code: Section 402			

# 11.1.2. Air Change Effectiveness

**11.1.2.1.** The following strategies are implemented for ventilation systems when used:

9 points

- For mechanical ventilation systems, the zone air distribution effectiveness E<sub>z</sub> value is greater than or equal to 0.9 in all *regularly occupied spaces*, excluding circulation and transitional spaces.
- Natural ventilation systems are designed in accordance with Section 6.4 of ANSI/ASHRAE Standard 62.1- 2013, or are designed using professionally accepted sophisticated analytical methods such as computational fluid dynamics.

The E<sub>z</sub> value is determined using Table 11.1.2.1.

Table 11.1.2.1: Air Distribution Effectiveness

Air Distribution Configuration	Ez
Ceiling supply of cool air	1.0
Ceiling supply of warm air and floor return	1.0
Ceiling supply of warm air 15F or more above space	0.8
temperature and ceiling return	
Ceiling supply of warm air less than 15F above space	1.0
temperature and ceiling return provided that the 150	
fpm supply air jet reaches to within 4.5 ft. (1.37 m) of	
the floor level. <i>Note: For lower velocity supply air, E</i> <sub>z</sub> =	
0.8	
Floor supply of cool air and ceiling return provided	1.0



hat the 150 fpm supply jet reaches 4.5 ft. (1.37 m) or nore above the floor. <i>Note: Most underfloor air</i>		
,		(Continued from
distribution systems comply with this provision.	1.2	11.1.2.1)
Floor supply of cool air and ceiling return, provided	1.2	11.1.2.1)
ow-velocity displacement ventilation achieves		
unidirectional flow and thermal stratification.		
Floor supply of warm air and floor return.	1.0	
Floor supply of warm air and ceiling return.	0.7	
Makeup supply drawn in on the opposite side of the	0.8	
room from the exhaust AND/OR return.		
Makeup supply drawn in near to the exhaust AND/OR	0.5	
return location.		
1. "Cool air" is air cooler than space		
temperature.		
2. "Warm air" is air warmer than the space		
temperature.		
3. "Ceiling" includes any point above the		
breathing zone.		
4. "Floor" includes any point below the		
breathing zone.		
5. As an alternative to using the above values, E <sub>z</sub>		
may be regarded as equal to air change		
effectiveness determined in accordance with		
ANSI/ASHRAE 129-1997 (RA 02), Measuring		
Air Change Effectiveness for all air distribution		
configurations except unidirectional flow.		

11.1.3 Air	Handling	Equipment
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# **11.1.3.1** Air handling equipment is equipped with filtration as follows:

ANSI/ASHRAE Standard 62.1-2013, Section 6.2, Section 6.4.

- Air handling equipment that provides ventilation air (e.g. central mixed air equipment, make-up air equipment, ventilation equipment for "compartmental" systems such as fan coils or unitary heat pumps): minimum MERV 13; and
- Terminal equipment that circulates room or zone air (e.g. fan coils, unitary heat pumps): minimum MERV 8.

# 6 points or N/A

 Not applicable where non-ducted circulating unitary equipment serves only a single zone (e.g. unit heaters, force-flows).

# **11.1.3.2** Interior liners that could harbor microbial growth AND/OR erode in the air stream are not utilized in any outdoor air, return air, and supply air ductwork, or any fan, coil, terminal, or other devices exposed to the airstream.

#### 5 points or N/A

 Not applicable where a building does not have ductwork with radiant systems and operable windows.

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11.1.4 CO <sub>2</sub> Sensing and Ventilation Control Equipment		
<b>11.1.4.1</b> Densely occupied rooms (25 or more people per 1,000 ft.² (92.9 m²)) with <i>variable</i>	6 points or N/A	
occupancy (e.g. meeting rooms, assembly areas) have CO <sub>2</sub> sensing and ventilation control equipment.	Not applicable where there are no densely occupied spaces with <i>variable occupancy</i> .	

#### 11.1.5 Recommended Documentation

- Air-handling equipment submittals;
- Balancing reports for the ventilation systems;
- Construction documents and specifications;
- Design drawings;
- Engineering drawings and specifications for ductwork;
- Filter submittals;
- HVAC drawings and specifications;
- List of regularly occupied zones and associated air distribution system;
- Local ventilation codes or standards;
- Occupant density calculation;
- Specifications for ventilation systems, CO<sub>2</sub> sensing and ventilation control equipment;
- Ventilation air quality design data;
- Ventilation schedule and tables.

# 11.2 Source Control and Measurement of Indoor Pollutants (35 points)

#### 11.2.1 Volatile Organic Compounds

**11.2.1.1** Adhesives and sealants (not including carpet adhesives) that are applied on site within, or part of, the *building envelope's* continuous plane of air tightness\_comply with VOC content limits for 90% of products by volume AND/OR VOC emissions criteria for 70% of products by volume.

#### Table 11.2.1.1: Adhesives and Sealants VOCs

Product Area	Product Sub-area	VOC
		Content
		Limit <sup>1</sup> 1
		point
Adhesives –	Indoor Carpet	50 g/L
Architectural	Carpet Pad	50 g/L
Applications	Outdoor Carpet	150 g/L
	Wood Flooring	100 g/L
	Rubber Flooring	60 g/L
	Subfloor	50 g/L
	Ceramic Tile	65 g/L
	VCT / Asphalt Tile	50 g/L
	Dry Wall and Panel	50 g/L
	Cove Base	50 g/L
	Multipurpose Construction	70 g/L

Maximum = 3 points

- Two points are earned where 70% of products by volume comply with VOC emissions criteria.
- One point is earned where 90% of products by volume comply with VOC content limits.

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	Structural Glazing	100 g/L
	Single Ply Roof Membrane	250 g/L
Adhesives –	Metal to Metal	30 g/L
Substrates	Plastic Foams	50 g/L
	Porous Material (except	50 g/L
	wood)	
	Wood	30 g/L
	Fiberglass	80 g/L
Adhesives – Specialty	PVC Welding	510 g/L
	CPVC Welding	490 g/L
	ABS Welding	325 g/L
	Plastic Cement Welding	250 g/L
	Adhesive Primer for Plastic	550 g/L
	Contact Adhesive	80 g/L
	Special Purpose Contact Adhesive	250 g/L
Sealants	Architectural	250 g/L
	Non-membrane Roof	300 g/L
	Single Ply Roof Membrane	450 g/L
Sealant Primers	Architectural	
	Non porous	250 g/L
	Porous	775 g/L

(Continued from Table 11.2.1.1 Adhesives and Sealants VOCS)

1. The VOC content must conform to the VOC limits in the South Coast Air Quality Management District (SCAQMD) Rule 1168 (January 7, 2005). VOC limits are expressed as grams of VOC per liter of adhesive or sealant less water and less exempt compounds, with no exception for chloroform, ethylene dichloride, methylene chloride, perchloroethylene, and trichloroethylene according to SCAQMD Rule 1168. For low-solid adhesives or sealants the VOC limit is expressed in grams per liter of material. SCAQMD Rule 1168.

#### Assessment Guidance:

Provide documentation indicating compliance with the VOC content requirements. Such documentation includes manufacturer declarations or a certification by a third party testing organization including, but not limited to, one of the following:

- UL EcoLogo UL Environment
  - UL 2762 Sustainability for Adhesives, 2011
  - Green Seal GS-36 Adhesives for Commercial Use (July 12, 2013)

VOC emissions results are determined by either the California Department of Public Health's Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers, Version 1.1, February 2010; or

UL 2821 GREENGUARD Certification Program Method for Measuring and



Evaluating Chemical Emissions from Building Materials, Finishes and Furnishings, 2013.

Provide documentation indicating compliance with the VOC emission requirements as stated in the Standard Private Office Scenario in CDPH Standard Method V1.1 Tables 4.4 and 4.5 and Method V1.1, Table 4.1 does not exceed the maximum allowable concentrations or a certification by a certification body accredited to ISO/IEC 17065:2012 and with relevant certification program in the scope of its accreditation. Certification

- UL GREENGUARD Gold- UL Environment
  - UL 2818 GREENGUARD Certification Program for Chemical Emissions for Building Materials, Finishes and Furnishings, 2013
- FloorScore<sup>®</sup> Standard for flooring adhesives, 2015

programs include but are limited to, one of the following:

- Indoor Advantage Gold <sup>™</sup> –SCS Global Services
  - SCS EC10.2 -2007, Environmental Certification Program— Indoor Air Quality Performance, May, 2007

Informational Reference(s):

- South Coast Air Quality Management District Rule 11 68 (January 7, 2005).
- UL 2821 GREENGUARD Certification Program Method for Measuring and Evaluating Chemical Emissions from Building Materials, Finishes and Furnishings, 2013 (<a href="http://www.comm-2000.com/ProductDetail.aspx?UniqueKey=27254">http://www.comm-2000.com/ProductDetail.aspx?UniqueKey=27254</a>). (last accessed 7/14/17)
- UL 2762 Sustainability for Adhesives, 2011 (<a href="http://www.comm-2000.com/ProductDetail.aspx?UniqueKey=23406">http://www.comm-2000.com/ProductDetail.aspx?UniqueKey=23406</a>) (last accessed 7/14/17)

**11.2.1.2** Paints and coatings applied on site within, or are a part of, the building's continuous plane of air tightness comply with prescribed limits of VOC content limits for 90% of products by volume AND/OR VOC emissions criteria) for 70% of products by volume.

Table 11.2.1.2: Paint and Coatings VOCs

Table 11.2.1.2. I allie alla Coatiliga VOC3		
Product Area	Product Category	VOC
		Content <sup>1</sup>
		1 point
Architectural	Flat Coatings	50 g/L
surfaces	Nonflat Coatings	100 g/L
	Nonflat – High Gloss Coatings	150 g/L
	Basement Specialty Coatings	400g/L
	Concrete/Masonry Sealers	100g/L
	Concrete Curing Compounds	350 g/L
	Dry Fog Coatings	150 g/L
	Faux Finishing Coating	350 g/L
	Fire resistive Coatings	350 g/L

(Continued from Table 11.2.1.1 Adhesives and Sealants VOCS)

Maximum = 3 points

- Two Points are earned where 70% of products by volume comply with VOC emissions criteria.
- One point is earned where 90% of products by volume comply with VOC content limits.

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Floor Coatings	100g/L	
Graphic Arts (sign) Coatings	500 g/L	
High-temperature Coatings	420 g/L	
Industrial	250 g/L	
Maintenance Coatings	120 g/L	
Low Solids Coatings	100 g/L	
Mastic Texture Coatings	500 g/L	
Metallic Pigmented Coatings	250 g/L	
Multi-color Coatings	420 g/L	
Pretreatment Wash Primers	100g/L	
Primers, Sealers, and	350 g/L	
Undercoaters		
Reactive Penetrating Sealers	250 g/L	
Recycled Coatings	250 g/L	
Rust Preventative Coatings	730 g/L	
Shellacs: Clear Opaque	550 g/L	
Specialty Primers, Sealers,	100g/L	
Undercoaters		
Stains	250 g/L	
Stone Consolidants	450 g/L	
Swimming Pool Coatings	340 g/L	
Tub and Tile Refinish Coatings	420 g/L	
Waterproofing Membranes	250 g/L	
Wood Coatings	275 g/L	
Wood Preservatives	350 g/L	
Zinc-rich Primers	340 g/L	
conforms to the California Air Posaurses Poard		

11.2.1.2 Paint and Coatings VOCS)

(Continued from Table

 The VOC content conforms to the California Air Resources Board Suggested Control Measure for Architectural Coatings, February 1, 2008 (CARB 2007 SCM) VOC limits. VOC limits are expressed as grams of VOC per liter less water and less exempt compounds, with no exception for methylene chloride and perchloroethylene.

#### Assessment Guidance:

Provide documentation indicating compliance with the VOC content requirements. Such documentation includes manufacturer declarations or a certification by a third party testing organization including, but not limited to, one of the following:

- UL EcoLogo
   UL 2760 Sustainability for Surface Coatings: Recycled Water-borne,
   2011
  - UL 2768 Standard for Sustainability for Architectural Surface Coatings, 2011 Green Seal
    - Environmental Standard for Paints and Coatings, GS-11 (July 12, 2013)

VOC emissions results are determined by the California Department of



Public Health's Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers, Version 1.1, February 2010; or

UL 2821 GREENGUARD Certification Program Method for Measuring and Evaluating Chemical Emissions from Building Materials, Finishes and Furnishings, 2013.

(Continued from Table 11.2.1.2 Paint and Coatings VOCS)

Provide documentation indicating compliance with the VOC emission requirements as stated in the Standard Private Office Scenario in CDPH Standard Method V1.1 Tables 4.4 and 4.5 and are compared to the maximum allowable concentrations in CDPH Standard Method V1.1, Table 4.1 does not exceed the maximum allowable concentrations or a certification by a certification body accredited to ISO/IEC 17065:2012 and with relevant certification program in the scope of its accreditation. Certification programs include but not limited to, one of the following:

- UL GREENGUARD Gold- UL Environment
  - UL 2818 GREENGUARD Certification Program for Chemical Emissions for Building Materials, Finishes and Furnishings, 2013
- Indoor Advantage Gold <sup>™</sup> –SCS Global Services.

#### Informational Reference(s):

- California Air Resources Board Suggested Control Measure for Architectural Coatings (February 1, 2008).
- UL 2760 Sustainability for Surface Coatings: Recycled Water-borne, 2011 (http://www.comm-2000.com/ProductDetail.aspx?UniqueKey=23429) (last accessed 7/14/17)
- UL 2768 Standard for Sustainability for Architectural Surface Coatings, 2011 (<a href="http://www.comm-2000.com/ProductDetail.aspx?UniqueKey=23608">http://www.comm-2000.com/ProductDetail.aspx?UniqueKey=23608</a>) (last accessed 7/14/17)
- UL 2821 GREENGUARD Certification Program Method for Measuring and Evaluating Chemical Emissions from Building Materials, Finishes and Furnishings, 2013 (http://www.comm-2000.com/ProductDetail.aspx?UniqueKey=27254) (last accessed 7/14/17)

**11.2.1.3** Interior products will comply with prescribed limits of product emissions AND/OR be certified.

"Certified" means compliance with any of the certifications listed in Table 11.2.1.3: Interior Product VOC Emission.

Table 11.2.1.3: Interior Product VOC Emissions

Product Area	VOC Emissions Criteria <sup>1</sup>
11.2.1.3.1: Floors / Floor Coverings	To determine acceptability of
(including carpeting, resilient, other	the emission results, VOC
non-carpet flooring, and	building concentrations are

Maximum: 7 points

Points are earned when 90% by area of products in the following categories comply with VOC emissions criteria, up to a maximum of 7 points:

Three points are



# padding/cushion)1

**11.2.1.3.2:** Acoustical and Thermal Insulation

**11.2.1.3.3:** Ceiling Systems (including acoustical ceiling and gypsum board)

**11.2.1.3.4:** Wall Systems (including wall coverings, gypsum board, and window shading devices)<sup>1</sup>

estimated for the Standard Private Office Scenario in CDPH Standard Method V1.1 Tables 4.4 and 4.5 and are compared to the maximum allowable concentrations in CDPH Standard Method V1.1, Table 4.1. Modeled concentrations do not exceed the maximum allowable concentrations. Additionally. floors/floor coverings, ceiling systems and wall systems categories made with nonstructural composite wood and composite wood cores (particleboard, MDF, and hardwood plywood) are compliant with the California Air Resources Board Airborne **Toxic Control Measure** (CARB/ATCM( to control formaldehyde emissions from composite wood (Sections 93120-93120.12, Title 17, California Code of Regulations).

<sup>1</sup>Concrete, concrete masonry, clay brick, stone, glass and glass block masonry used in floors and wall systems without additional coating/sealers are deemed to comply without testing.

VOC emissions are determined by a third-party laboratory that is accredited to ISO/IEC 17025 with the specified test method listed in the scope of its accreditation. VOC emissions results are determined by California Department of Public Health (CDPH) "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers," V 1.1, 2010, Standard Private Office Scenario. Alternatively, VOC emission results are determined by UL 2821 "GREENGUARD Certification Program Method for Measuring and Evaluating Chemical Emissions from Building Materials, Finishes and Furnishings," March 2013, Table 2 Office Model and Section 34.1 Allowable Limits for GREENGUARD Certification Gold.

#### Assessment Guidance:

Provide documentation indicating compliance with the VOC emission

- earned where floors/floor coverings comply with VOC emissions criteria.
- Three Points are earned where ceiling systems comply with VOC emissions criteria.
- One point is earned where acoustical and thermal insulation comply with VOC emissions criteria.
- One point is earned where wall systems comply with VOC emissions criteria.



requirements or a certification by a third party testing organization including, but not limited to, one of the following:

- FloorScore (Resilient Flooring) Resilient Floor Covering Institute
  - SCS EC10.2 -2007, Environmental Certification Program— Indoor Air Quality Performance. May, 2007
- GREENGUARD Gold UL Environment
  - UL 2818, "GREENGUARD Certification Program for Chemical Emissions for Building Materials, Finishes and Furnishings," March 2013.
- Indoor Advantage Gold <sup>™</sup> –SCS Global Services
  - SCS EC10.2 -2007, "Environmental Certification Program— Indoor Air Quality Performance", May, 2007.
  - CRI Green Label Plus Carpet-and Rug Institute: CRI Green Label Plus Carpet Program Test Criteria: http://www.carpetrug.org/carpet-cushion-and-adhesive.html (last accessed 7/14/17)

For products containing composite wood, provide copies of product labels, chain-of-custody records, or documentation demonstrating compliance with the CARB/ATCM formaldehyde regulation.

**11.2.1.4** Furniture, casework, cabinets, workstations, and seating all comply with prescribed limits of VOC emissions AND/OR are\_certified.

Note: certified means compliance with any of the certifications listed per Table 11.2.1.4: Furniture and *Furnishings* VOC Emissions.

Table 11.2.1.4: Furniture and Furnishings VOC Emissions

Table 11.2.1.4. Fulfillate and Fulfillings VOC Littlessions		
Product Area	VOC Emissions Criteria <sup>1</sup>	
Furniture and Furnishings	To determine acceptability of the	
(including case work,	emission results, VOC product emission	
cabinetry, work stations,	concentrations are estimated per testing	
and seating)	procedures from ANSI/BIFMA e3-2014,	
	7.6.1, 7.6.2, and 7.6.3. The maximum	
	allowable concentrations are not	
	exceeded per each sections	
	requirements.	

VOC emissions are determined by a third-party laboratory that is accredited to ISO/IEC 17025:2005 with the specified test method listed in the scope of its accreditation. VOC emissions results are determined by ANSI/BIFMA M7.1-2011(R2016) Standard Test Method for Determining VOC Emissions From Office Furniture Systems, Components and Seating. Alternatively, VOC emission results may be determined by UL 2821 "GREENGUARD Certification Program Method for Measuring and Evaluating Chemical Emissions from Building Materials, Finishes and Furnishings," 2013 Table 2 Office Model and Section 34.1 Allowable Limits for GREENGUARD Gold Certification.

(Continued from Table 11.2.1.3 Interior Product VOC Emissions)

Maximum = 3 points

- One point is earned when 100% by cost of installed furniture products comply with ANSI/BIFMA e3 Section 7.6.1.
- One point is earned when 90% by cost of installed furniture products comply with ANSI/BIFMA e3 Section 7.6.2.
- One point is earned when 70% by cost of installed furniture products comply with ANSI/BIFMA e3 Section 7.6.3.

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Assessment Guidance:

Provide documentation indicating compliance with the VOC emission requirements or a certification by a third party testing organization including, but not limited to, one of the following:

- GREENGUARD Gold UL Environment 7.6.1, 7.6.2, and 7.6.3
  - UL 2818, GREENGUARD Certification Program for Chemical Emissions for Building Materials, Finishes and Furnishings, 2013.
- MAS Certified Green Furniture per ANSI/BIFMA M7.1-2011(R2016)
- SCS Indoor Advantage per ANSI/BIFMA M7.1-2011(R2016)

(Continued from Table 11.2.1. Furniture and Furnishings VOC Emissions)

# 11.2.2 Pre-Occupancy Indoor Air Quality Testing

Two paths are available for assessing 11.2.2.

- Path A: Indoor Air Quality (IAQ) Pre-Occupancy Testing: 6 points
   OR
- Path B: Total Volatile Organic Compounds (TVOC): 3 points

Points cannot be combined between paths. Select one of the paths below.

# 11.2.2.1 Path A: Indoor Air Quality (IAQ) Pre-Occupancy Testing

**11.2.2.1.1** To determine that the indoor air quality is acceptable upon *Substantial Completion* but prior to occupancy, the buildings indoor environments are tested using the U.S. EPA's Compendium of Methods for the Determination of Toxic Organic Pollutants in Ambient Air, TO-1, TO-11, TO-17, and ASTM D 5197-09e1 Standard Test Method for Determination of Formaldehyde and Other Carbonyl Compounds in Air (Active Sampler Methodology). The testing takes place after construction ends and prior to occupancy.

The test protocols are in accordance with the following:

- The VOC and Particulate Matter sampling and averaging times and measurement methods achieve the detection limits of the contaminant levels listed in Table 11.2.2.1.1 below;
- HVAC systems are operated at the minimum design outdoor air ventilation rate during testing;
- Air sampling and monitoring are at a height of 3-6 ft. (91-183 cm) from the floor and at least 3 ft. (0.9 m) away from walls and ventilation supply;

6 points



- The test protocols are documented to show that appropriate sampling methods and times were used; and
- The number of sampling locations are as follows for each portion of the building served by a separate ventilation system:
  - At Least one per contiguous floor; and
  - O At Least one per 10,000 ft.<sup>2</sup> (929 m<sup>2</sup>) of floor area.

The sampling points include areas presumed to have the greatest source strength with the least ventilation.

Table 11.2.2.1.1: Maximum level of contaminants:

Contaminant	Maximum Concentration
	μg/m3 (Unless Otherwise Noted)
Acetaldehyde	140¹
Acrylonitrile	5 <sup>1</sup>
Benzene	60¹
1,3-Butadiene	20 <sup>1</sup>
t-Butyl methyl ether (Methyl-t-butyl ether)	80000¹
Carbon disulfide	800¹
Caprolactam	100¹
Carbon tetrachloride	40 <sup>1</sup>
Chlorobenzene	1000¹
Chloroform	300¹
1,4-Dichlorobenzene	800 <sup>1</sup>
Dichloromethane (Methylene chloride)	400 <sup>1</sup>
1,4-Dioxane	3000¹
Ethylbenzene	2000¹
Ethylene glycol	400¹
Formaldehyde	33 <sup>2</sup>
2-Ethylhexanoic acid	25 <sup>2</sup>
n-Hexane	7000¹
1-Methyl-2-pyrrolidinone	160 <sup>2</sup>
Naphthalene	91
Nonanal	13 <sup>2</sup>
Octanal	7.22
Phenol	200¹
4-Phenylcyclohexene (4-PCH)	2.5 <sup>2</sup>
2-Propanol (Isopropanol)	7000 <sup>1</sup>
Styrene	900¹
Tetrachloroethene	
(Tetrachloroethylene,	35 <sup>1</sup>

(Continued from 11.2.2.1.1)

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Perchloroethylene)	
	2221
Toluene	300 <sup>1</sup>
1,1,1-Trichloroethane (Methyl	
chloroform)	1000¹
Trichloroethene (Trichloroethylene)	600 <sup>1</sup>
Xylene isomers	700¹
Particulate (PM <sub>2.5</sub> )	35 (24-hr)
Particulates (PM <sub>10</sub> )	150 (24-hr)
1 4	

(Continued from 11.2.2.1.1 Maximum level of contaminants)

<sup>1</sup>Chronic RELS developed by the California Office of Environmental **Health Hazard Assessment** 

<sup>2</sup>ANSI/ASHRAE/USGBC/IES Standard 189.1-2014 Table 10.3.1.4

For any area that fails to meet the requirements, the individual chemical(s) in the highest concentrations that are leading to failure are to be reviewed

and the problem remedied.

3 points

Three points are earned where the test is conducted. There is no pass/fail criteria for conducting this test.

#### 11.2.2.2 Path B: Total Volatile Organic Compounds (TVOC)

11.2.2.2.1 Upon Substantial Completion, but prior to occupancy, conduct a TVOC indoor air sampling and laboratory analysis of collected samples as follows:

Sampling for TVOCs is conducted over a minimum four-hour period. All measurements are completed prior to occupancy, but during normal occupied hours, with the building ventilation starting at the normal daily start time and operated at the minimum outside air flow rate for the occupied mode throughout the duration of the testing.

Samples are taken using one of the following collection media:

- Thermal desorption tubes.
- Canisters.

OR

Laboratory analysis is conducted in accordance with the following:

- VOC Range (Carbon): C6 C16.
- Reference Compound: Toluene.

All interior *finishes* are in place at the time of testing. Non-fixed *furnishings*, such as workstations and partitions are encouraged, but not required to be installed at the time of testing;

Samples are collected for each portion of the building served by a separate air handling system. In each area served by a single air handler, samples are collected for each 25,000 ft. <sup>2</sup> (2,323 m<sup>2</sup>) of floor space, or each contiguous floor space, whichever is larger. Samples include areas presumed to have the least ventilation, and the strongest presumed source strength. Samples are collected at 3-6 ft. (91-183 cm) above the finished floor.

#### 11.2.3 Carbon Monoxide Monitoring



<b>11.2.3.1</b> Carbon monoxide monitoring devices and alarms are installed in enclosed areas where there are sources of combustion (i.e. stoves, ovens, grills, clothes dryers, furnaces, boilers, water heaters, heaters and fireplaces).	<ul> <li>Not applicable where there are no areas with combustion sources.</li> </ul>
<ul> <li>Informational References:</li> <li>NFPA 720 2015, CSA 6.19, UL 2034 or similar standard for detector</li> </ul>	

11.2.4 Legionellosis Mitigation in the Building Water Systems	
11.2.4.1 The building water systems conform with ASHRAE 188-2015,	3 points
Legionellosis: Risk Management for Building Water Systems.	
Informational Reference(s):	
ANSI/ASHRAE Standard 188-2015, Legionellosis: Risk Management for	
Building Water Systems	

11.2.5 Pest and Contamination Control	
<b>11.2.5.1</b> The following <i>integrated pest management</i> strategies are used:	1 point
Outdoor air inlets have insect screens of 18x14 mesh for plenum systems	
feeding multiple air handlers;	
Structural and mechanical openings are fitted with permanent	
protection (e.g. screens, sealants, etc.);	
Advertising signs and other assemblies affixed to the building façade are	
designed and constructed in a way that reduces bird habitation, and	
penetrations in the façade are sealed to prevent entry; and	
Mullions and ledges are less than 1 in. (2.5 cm) deep to discourage bird	
roosting.	
11.2.5.2 The building has a sealed storage area for food/kitchen solid waste	1 point
and recycling.	

11.2.6 Other Indoor Pollutants (Tobacco, Radon)	
<b>11.2.6.1</b> An occupancy policy prohibits smoking. Signage is posted at every building entrance prohibiting smoking and the use of electronic cigarettes within 25 ft. (7.6 m) of the building.	1 point
Smoking is defined as the inhalation of smoke of burning tobacco, use of electronic-cigarettes or other substances encased in items such as (but not limited to) cigarettes, pipes, and cigars for recreational or medical use.	
<b>11.2.6.2</b> The following measures are taken to address radon:	Maximum = 3 points or N/A
<ul> <li>11.2.6.2.1: A site-specified assessment of radon potential is conducted; AND</li> <li>11.2.6.2.2: Radon prevention and mitigation measures are implemented as indicated by the assessment.</li> </ul>	<ul> <li>Two points are earned where radon potential is assessed.</li> <li>One point is earned where</li> </ul>



#### Informational Reference(s)

 U.S. EPA document Radon Prevention in the Design and Construction of Schools and Other Large Buildings (EPA 625-R-92-016, June 1994). prevention and mitigation measures are implemented as indicated by the radon potential assessment

 Not applicable if no measures are indicated.

# **11.2.6.3** Spaces housing *specialized activities* that generate hazardous pollutants are:

- provided with separate ventilation AND/OR exhaust systems capable of maintaining the space at a negative pressure of at least 5.0 Pascals (0.02 in. water gauge) on average relative to adjacent spaces (with doors closed) to prevent the spread of air-borne contaminants to other spaces;
- physically isolated by doors and deck-to-deck partitions or hard lid ceilings.

# 2 points or N/A

 Not applicable where there are no spaces housing specialized activities.

# 11.3 Lighting Design and Systems (35 points)

# 11.3.1 Daylighting and Views

**11.3.1.1** Regularly occupied floor area achieves a minimum *daylight factor (DF)* of at least 2 (excluding all direct sunlight penetration).

#### Assessment Guidance:

Estimate the DF for a daylit space that has vertical windows using the following formula:

#### $DF = 0.1 \times PG$ , where:

DF = daylight factor

PG = percentage of glass to floor area (area of the windows/floor area)

#### Informational Reference(s):

- International Commission on Illumination: <u>www.cie.co.at</u> (last accessed 3/16/18)
- RADIANCE software (for evaluation) Validated Lighting Simulation Tool: <u>www.radiance-online.org</u> (last accessed 3/16/18)
- Whole Building Design Guide (WBDG):
   Sustainability of Building Envelope, 2016:
   www.wbdg.org/resources/sustainability-building-envelope
   (last accessed 3/16/18)
- ASHRAE Advanced Energy Design Guides: https://www.ashrae.org/technical-resources/aedgs (last accessed 3/16/18)
- Architectural Lighting Magazine –Benefits of Natural Light:

# Maximum = 5 points

- Five points are earned where ≥75% of the floor area achieves a DF of 3 or more.
- Four points are earned where ≥50 and
   <75% of the floor area achieves a DF of 3 or more.</li>
- Three points are earned where ≥25 and <50% of the floor area achieves a DF or 3 or more.
- Two points are earned where ≥75% of the floor area achieves a DF of 2 to <3.</li>
- One point is earned where ≥50 and <75% of the floor area achieves a DF of 2 to <3.</li>

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	T
http://www.archlighting.com/technology/the-	
<u>benefits-of-natural-light</u> o (last accessed 3/16/18)	(Continued from 11.3.1.1)
<ul><li>Daylighting – <a href="https://energy.gov">https://energy.gov</a>:</li></ul>	
https://www.energy.gov/search/site/daylighti	
ng (last accessed 7/14/17 and 3/16/18,	
respectively)	
New Building Institute –Advanced Buildings®	
Daylighting pattern guide:	
http://patternguide.advancedbuildings.net/patter	
ns (last accessed 6/20/17)	
11.3.1.2 Regularly occupied task areas are designed to	Maximum = 3 points
have <i>clear views</i> to the exterior or atria within 25 ft.	Waxiindiii 5 points
(7.6 m) from a window.	<ul> <li>Three points are earned where ≥90% of</li> </ul>
	occupied space has <i>clear views</i> .
	Two points are earned where ≥60% and
	<9% of occupied space has <i>clear views</i> .
	One point is earned where ≥40% and
	<60% of occupied space has <i>clear views</i> .
	No points are earned where <40% of
	occupied space has <i>clear views</i> .
<b>11.3.1.3</b> Southern, western, and eastern exposures	Maximum = 2 points
have the following shading devices:	
	<ul> <li>Two points are earned where there are</li> </ul>
• 11.3.1.3.1: Active automated shading devices (e.g.	active automated shading devices for the
automated widow shades or electrochromic	specified exposures.
glazing) that automatically adjust based on sky	One point is earned where there are
conditions for all listed exposures; OR	passive shading devices for the specified
• 11.3.1.3.2: Passive shading devices (e.g. manual	exposures.
window shades or permanent projections such as	No points are earned if there are no
overhangs).	shading devices.
<b>11.3.1.4</b> Daylit areas (with a <i>Daylight Factor</i> of at least	Maximum = 2 points
2) use photo-sensors to maintain consistent lighting	Triasmont 2 points
levels throughout the day using both daylighting and	Two points are earned where >75% of
electric lighting.	daylit areas use photo-sensors.
Ciccure agricing.	
	1
	≤75% of daylit areas use photo-sensors.
	No points are earned if <50% of daylit
	areas use photo sensors.

# 11.3.2 Lighting Design Quantity

**11.3.2.1** *Regularly occupied spaces* meet the Recommended Illuminance for the Locations/Tasks in Table 11.3.2.1-A and Table 11.3.2.1-B.

"Recommended vertical and horizontal illuminance targets" are found in the IES Lighting Handbook, 10<sup>th</sup> Edition, Table 22.2 and

Maximum = 5 points

 Five points are earned where >90% of occupied floor area meets the IES Illuminance recommendations.

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Applications Sections 21-37 OR Table 11.3.2.1-A: IES Illuminance Categories and Table 11.3.2.1-B: IES Task/Location Categories.
Lighting levels may be increased or decreased by 10% (max.) based on Occupant Age, Visual Performance Requirements, or other weighting factors as detailed in the IES Lighting Handbook, 10<sup>th</sup> Edition Table 4.1, the following weighting factors:

Table 11.3.2.1-A: IES Illuminance Categories

Illuminance Category	Description	Recommended Illuminance (lux/footcandles)
A	Public Spaces	30/3
В	Simple orientation for short visits	50 / 5
С	Working spaces where simple visual tasks are performed	100 / 10
D	Performance of visual tasks of high contrast and large size	300 / 30
E	Performance of visual tasks of high contrast and small size or visual tasks of low contrast and large size	500 / 50
F	Performance of visual tasks of low contrast and small size	1,000 / 100
G	Performance of visual tasks near threshold	3,000-10,000 / 300- 1,000

#### Table 11.3.2.1-B: IES Location/Task Categories

Interior Location/Task	Horizontal	Vertical
Interior Location, rask	Category	Category
Auditoriums – Assembly	С	-
CAD drafting stations	С	Α
Conference Rooms –	D	B
Meeting	D	В
Conference Rooms – Video	F	D
Conference		<u> </u>
Hospital patient rooms –	B	A
general	, b	^
Hospital nursing stations –	D	B
general		D D

- Four points are earned where ≥70% and < 90% of occupied floor area meets the IES Illuminance recommendations
- Two points are earned where ≥50% and <70% of occupied floor area meets the IES Illuminance recommendations.
- No points are earned where <50% of occupied floor area meets the IES Illuminance recommendations.

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compliant *luminaires*.

Hospital lobby  Hospital anesthetizing	l <b>n</b>	•	
Hospital anesthetizing	В	Α	(Continued from Table 44.2.2.4
locations	E	С	(Continued from Table 11.3.2.1-B: IES Location/Task Categories)
Hospital general critical care	В	A	
Hotel guest rooms – general	С	-	
Hotel lobby general lighting	С	-	
Library reading stacks	D	-	
Museum exhibit cases	D	В	
Open Office – Intensive VDT	D	В	
Open Office – Intermittent VDT	E	В	
Office lobby	С	Α	
Office copy room	С	Α	
Stairways and corridors	В	-	
Toilets and washrooms	В	Α	
<b>11.3.2.2</b> Luminance ratios do n for tasks:	ot exceed the foll	owing as per IESNA	2 points or N/A  Not applicable where
	djacent surround remote (non-adja and darkest surfa of <i>luminaires</i> who	ings; acent) surfaces; and ace in the field of ere there is indirect	Not applicable where spaces are designed such that source/task eye geometry do not require IESNA Standard VDT compliant luminaires.
<ul> <li>3:1 between the task and a</li> <li>10:1 between the task and</li> <li>20:1 between the brightest view; or 8:1 between rows lighting and where ceiling I cd/m2).</li> </ul>	djacent surround remote (non-adja and darkest surfa of <i>luminaires</i> who uminance exceed	ings; acent) surfaces; and ace in the field of ere there is indirect s 124.1 fL (425	Not applicable where spaces are designed such that source/task eye geometry do not require IESNA Standard VDT compliant luminaires.
<ul> <li>for tasks:</li> <li>3:1 between the task and a</li> <li>10:1 between the task and</li> <li>20:1 between the brightest view; or 8:1 between rows lighting and where ceiling l</li> </ul>	djacent surround remote (non-adjate and darkest surfate of luminaires who uminance exceed e does not exceed	ings; acent) surfaces; and ace in the field of ere there is indirect s 124.1 fL (425	<ul> <li>Not applicable where spaces are designed such that source/task eye geometry do not require IESNA Standard VDT compliant luminaires.</li> </ul>

11.3.3 Lighting Design Quality	
<b>11.3.3.1</b> Regularly occupied spaces use electric light sources	1 point
with a minimum Color Rendering Index (CRI) of 80.	



<b>11.3.3.2</b> Regularly occupied spaces use electric light sources with a Correlated Color Temperature (CCT) between 2700°K and 4500°K.	<ul> <li>1 point or N/A</li> <li>Not applicable to specialty retail, medical, or exterior environments.</li> </ul>
<b>11.3.3.3</b> Regularly occupied spaces use no more than 50% direct only general lighting. Direct only general lighting limits Direct Glare to no more than 50° above horizontal; Direct Glare includes direct view of the light source and luminances over 2,335 fL (8,000 cd/m²).	2 points
<b>11.3.3.4</b> Individual control of primary workspace lighting is provided for at least 90% of occupants.	Maximum = 2 points
"Control" may either be dimming from 100% to at least 10% or stepped dimming with at least three (3) steps: 100%, 50% and 0%.	<ul> <li>Two points are earned for continuous dimming of at least 10% to 100%.</li> <li>One point is earned for stepped dimming or switching with at least three steps (100%, 50%, 0%).</li> </ul>

11.3.4 Lighting Sustainability	
<b>11.3.4.1</b> A minimum of 75% of electric light sources have a	2 points
Lumen Maintenance factor of 35,000 hours to L70 or greater	
(the output of the lights have lost no more than 30% of their	
initial output at 35,000 hours). 35,000 hours is based on at	
least 1 hour of operation per start.	
<b>11.3.4.2</b> All <i>luminaires</i> are RoHS compliant with EU Directive	2 points
2011/65/EU of the European Parliament. RoHS specifies	
maximum levels for the following six restricted materials:	
• Lead (Pb): < 1000 ppm;	
<ul> <li>Mercury (Hg): &lt; 100 ppm;</li> </ul>	
Cadmium (Cd): < 100 ppm;	
<ul> <li>Hexavalent Chromium: (Cr VI) &lt; 1000 ppm;</li> </ul>	
<ul> <li>Polybrominated Biphenyls (PBB): &lt; 1000 ppm; and</li> </ul>	
<ul> <li>Polybrominated Diphenyl Ethers (PBDE): &lt; 1000 ppm.</li> </ul>	
Certification is provided by the <i>luminaire</i> manufacturer.	
11.3.4.3 A maintenance and operations plan is documented	1 point
and supplied to the building owners, management, and	
maintenance. The maintenance plan includes the following:	
Reflected ceiling plan;	
Lighting fixture schedule ( <i>luminaire</i> catalog numbers,	
manufacturer, lamp, wattage, beam spread, color	
temperature, and color rendering index);	
<ul> <li>Initial measured footcandle levels in each space;</li> </ul>	
Cleaning instructions and cleaning schedule; and	

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 Lighting sequence of operations for each space (e.g. what the lights are supposed to do when someone enters the room, what are the light levels they can choose, what controls are in each space).

(Continued from 11.3.4.3)

#### 11.3.5 Recommended Documentation

- Construction documents;
- Lighting plans;
- Maintenance, cleaning, and recycling plan;
- Manufacturer's specifications, cut sheets, and performance documentation;
- Percentages and calculations for occupied areas with daylight illumination levels;
- Percentage and calculations for views to building exterior or atria;
- Percentages and calculations for primary occupied spaces with IESNA recommended task lighting levels;
- Specifications for solar shading devices and luminaries.

#### 11.4 Thermal Comfort (25 points)

#### 11.4.1 Thermal Control Zones

**11.4.1.1** One or more of the listed thermal zoning strategies is used for continuously occupied areas:

## Includes offices and conference rooms, among others. For open areas, thermal control zones are designed to be between 500 ft<sup>2</sup> (46.5 m<sup>2</sup>) and 1000 ft<sup>2</sup> (92.9 m<sup>2</sup>) for

11.4.1.1.1: Office Occupancies/Areas:

500 ft<sup>2</sup> (46.5 m<sup>2</sup>) and 1000 ft<sup>2</sup> (92.9 m<sup>2</sup>) for open areas. For single rooms, thermal control zones are designed to be between 750 ft<sup>2</sup> (69.7 m<sup>2</sup>) and 1200 ft<sup>2</sup> (111.5 m<sup>2</sup>) Circulation and support areas are excluded.

#### • 11.4.1.1.2: Educational

**Occupancies/Areas:** Includes classrooms, teaching labs, etc. Classrooms AND/OR teaching labs are designed thermal control zones under 1500 ft. <sup>2</sup> (139.4 m<sup>2</sup>).

## • 11.4.1.1.3: Healthcare Occupancies/Areas: Includes patient wards, diagnostic and treatment areas. Thermal control zones are designed to be between 500 ft.<sup>2</sup> (46.5m<sup>2</sup>) and 1000 ft. <sup>2</sup> (92.9m<sup>2</sup>).

## • 11.4.1.1.4: Open-Area Mercantile and Assembly Occupancies/Areas: Includes retail, food service, convention halls, etc. For spaces exceeding 464.5 m<sup>2</sup> (5000 ft. <sup>2</sup>) thermal control zones are designed to be less than 2500 ft. <sup>2</sup> (232.3 m<sup>2</sup>). For spaces

Maximum = 14 points or N/A

#### Office Occupancies/Areas

- Fourteen points are earned where thermal control zones are designed to be less than 500 ft.<sup>2</sup> (46.5 m<sup>2</sup>) for open areas or 750 ft<sup>2</sup> (69.7 m<sup>2</sup>) for a single room.
- Ten points are earned where thermal control zones are designed to be less than 1000 ft² (92.9 m²) for open areas or 1200 ft² (111.5 m²) for single rooms.
- Not applicable where there are no office occupancies/areas.

#### • Educational Occupancies/Areas:

- Fourteen points are earned where classrooms AND/OR teaching labs are designed thermal control zones under 1500 ft.<sup>2</sup> (139.4 m<sup>2</sup>).
- Not applicable where there are no educational occupancies/areas.

#### Healthcare Occupancies/Areas:

- Fourteen points are earned where thermal control zones are designed to be less than 500 ft.<sup>2</sup> (46.5 m<sup>2</sup>).
- Ten points are earned where thermal control zones are designed to be less than 1000 ft.<sup>2</sup> (92.9 m<sup>2</sup>).
- Not applicable where there are no healthcare occupancies/areas.

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between 2500 ft. $^2$  (232.3 m $^2$ ) and 5000 ft. $^2$  (464.5 m $^2$ ) thermal control zones are designed to be less than 1500 ft. $^2$  (139.4 m $^2$ ).

For multiple occupancy types, score each occupancy area and prorate score by floor area (rounding upward to nearest integer to the maximum available total points).

#### Open-Area Mercantile and Assembly Occupancies/Areas:

- Fourteen points are earned where thermal control zones in spaces between 2500 ft.<sup>2</sup> (232.3 m<sup>2</sup>) and 5000 ft.<sup>2</sup> (464.5 m<sup>2</sup>) are designed to be less than 1500 ft.<sup>2</sup> (139.4 m<sup>2</sup>).
- Ten points are earned where thermal control zones in spaces exceeding 5000 ft. <sup>2</sup> (464.5 m<sup>2</sup>) are designed to be less than 2500 ft.<sup>2</sup> (232.3 m<sup>2</sup>).
- Not applicable where there are no openarea mercantile and assembly occupancies/areas.
- Not applicable for other occupancies/areas.

#### 11.4.2 Thermal Comfort Design

**11.4.2.1** The HVAC systems and building are designed to provide a thermal environment in conformance with ANSI/ASHRAE Standard 55-2013, Thermal Environmental Conditions for Human Occupancy. Exceedance hours for regularly occupied spaces do not exceed 300 hours per year.

#### 9 points or N/A

 Not applicable where the occupancy is outside the purview of 55-2013.

#### 11.4.3 Recommended Documentation

- Basis of Design document AND/OR specifications;
- Construction documents;
- Documentation demonstrating compliance with ANSI/ASHRAE Standard 55- 2013, including relevant worksheets and report;
- Manufacturer's specifications, cut sheets, and performance documentation;
- Project specifications;
- Zone plan.

#### 11.5 Acoustic Comfort (20 points)

#### 11.5.1 Airborne Noise Isolation

**11.5.1.1** The building design includes at least one of the following acoustic design strategies:

- 11.5.1.1.1: Toilets are located remotely from acoustically separated areas. N/A if there are no toilets;
- 11.5.1.1.2: Acoustically separated areas are located away from noise producing areas such as dance studios, music rooms, cafeterias, indoor swimming pools, mechanical rooms, and gymnasia;
- **11.5.1.1.3:** Entry doors to rooms opposite each other on the same corridor are

Maximum = 4 points

- Four points are earned where four or more of the listed strategies are employed.
- Three points are earned where three of the listed strategies are employed.
- Two points are earned where two of the listed strategies are employed.
- One point is earned where one of the listed strategies is employed.



#### staggered;

- 11.5.1.1.4: Through-wall penetrations comply with Annex B of ANSI/ASA S12.60-2010/Part 1;
- 11.5.1.1.5: Walls separating acoustically separated areas from other areas are constructed full height to underside of the next floor above or the roof deck;
- 11.5.1.1.6 Walls separating acoustically separated areas from other areas have all joints between wall panels and penetrations acoustically sealed. Fire-stopping and firerated assemblies comply with this requirement; and
- 11.5.1.1.7: Areas with high floor impact activities (e.g. dance studios, shops, gymnasia, etc.) are not located above acoustically separated areas.

#### Informational Reference(s):

- ANSI S12.60-2002
- ASHRAE Handbook HVAC Applications (Chapter 47)
- ASTM E1374-06

**11.5.1.2** Design complies with minimum Sound Transmission Class (STC) ratings of floor/ceiling assemblies, walls and doors between acoustically separated areas (e.g. learning spaces), and adjacent spaces as follows and as applicable:

- **11.5.1.2.1:** STC-45 where the adjacent space is a corridor, stair, office, or conference room;
- 11.5.1.2.2: STC-50 where the adjacent space is a quiet area, speech clinic, health clinic, classroom, or an exterior wall; or
- 11.5.1.2.3: Floor Ceiling Assemblies: Designed to meet a minimum STC 50 rating. (Stacked non-critical spaces and spaces connected by an open stairway are exempt).

(Continued from 11.5.1.1)

Maximum = 2 points.

- Two points are earned where two or more of the listed measures is employed.
- One point is earned where one of the listed measures is employed.

#### 11.5.2 Sound Masking System

**11.5.2.1** The building design incorporates a sound masking system with an overall level specified to an A-weighted decibel (dBA) value within the following spaces and ranges:

3 points

Offices:

Open: 45-48dBA
 Enclosed: 35-45dBA



0	Meeting/Conterence: 30-45dBA	
0	Circulation: 45-48dBA	(Continued from 11.5.2.1)
Не	ealthcare:	
0	Patient room: 40-48dBA	

Waiting area: 45-48dBACorridor and public spaces: 45-48dBA

o Circulation: 45-48dBA

#### Other:

 All other areas where speech privacy, concentration, or sleep/relaxation is required: 35-48-dBA

Private offices and exam/treatment room: 35-45dBA

- The measured overall level is within 0.5dBA of that specified.
- The measured spectrum conforms to the National Research Council's COPE Optimum Masking frequency range and 1/3 octave band levels, or the project acoustician's specified 1/3 octave band levels, within +/-2.0dB.

Informational Refence(s)

- National Research Council's COPE
- ASTM E1374-06, Open Office Guide
- FGI Guidelines, 2014
- Facilities Guideline Institute, "Sound & Vibration", 2010
- GSA, Facilities Standards, P100, 2014
- GSA, Sound Matters, 2012

11.5.3 Structure Borne Noise Isolation	
11.5.3.1 The Impact Insulation Class (IIC) design of all floor-ceiling	1 point
assemblies has a minimum rating of IIC-50.	
Informational Reference(s):	
• ASTM E989-2012	

# 11.5.4 Reverberation Time or Ceiling Noise Reduction Coefficient (NRC) 11.5.4.1 Either the maximum reverberation time of the room (RT) or the minimum Noise Reduction Coefficient (NRC) rating of the ceiling complies with the following values: 11.5.4.1.1: Offices: Offices (enclosed): RT 0.6 seconds or NRC 0.75 or NRC 0.70 if floor is carpeted 100%. Offices (open): RT 0.4 seconds or NRC 0.90. 11.5.4.1.2: Schools: School classrooms: RT 0.6 seconds or NRC 0.80 or NRC 0.70 if floor is carpeted 100%.



Presentation and meeting spaces: RT 0.5 seconds or NRC
 0.80 or NRC 0.70 if floor is carpeted 100%.

(Continued from 11.5.4.1)

#### • 11.5.4.1.3: Healthcare:

- o Patient/resident care areas: RT 0.5 seconds or NRC 0.90.
- Medication safety zones: RT 0.5 seconds or NRC 0.90 or NRC 0.80 if floor is carpeted 100%.
- Exam/treatment rooms: RT 0.5 seconds or NRC 0.90 or NRC 0.80 if floor is carpeted 100%.
- Activity/waiting areas: RT 1.5 seconds or NRC 0.75 or 0.70 if floor is carpeted 100%.

#### • 11.5.4.1.4: Other:

 All other spaces where speech intelligibility, concentration, privacy or sleep/relaxation is required: RT 1.0 seconds or NRC 0.80.

<sup>1</sup> If the average ceiling height exceeds 12 ft. (3.7 m), the reverberation time compliance path is used; the NRC compliance path is not permitted. If wall or floor absorption is present, the reverberation time compliance path may permit ceilings with lower NRC values.

#### 11.5.5 Mechanical Noise

**11.5.5.1** Design complies with background sound levels associated with mechanical systems in accordance with ANSI/ASA S12.2 Standard "Criteria for Evaluating Room Noise" and as follows:

- Airborne sound power levels from HVAC unit do not exceed the Room Criteria detailed in ASHRAE Systems Application Handbook 2014.
   Chapter 8, Table 1 for listed spaces when HVAC units are in operation; use 2014 FGI Guidelines for Design and Construction of Health Care Facilities; and
- Spaces are designed such that room background noise using the Room Criteria (RC) ratings complies with ASHRAE Systems Application Handbook-2014, Chapter 48, Table1; use 2014 FGI Guidelines for Healthcare Spaces.

Table 11.5.5.1: ASHRAE Application Design Guidelines<sup>1</sup>

Room Types	RC (N)	Room Types	RC (N)
Residence, Apartments, 25 to 35 Condominiums		Performing Art Spaces	
Hotels/Motels		Drama theaters, concert and recital halls	25

2 points or N/A

 Not applicable for MURBs.

Designers should also be sure to consult the additional guidance of the footnotes for this table listed in the ASHRAE Applications Handbook, 2007 version, Chapter 47, Table 42.



Individual rooms or suites	25 to 35	Music teaching studios	25
Meeting/banquet rooms	25 to 35	Music practice rooms	30 to 35
Corridors/lobbies	35 to 45	Laboratories (with fume hoods)	
Service/support areas	35 to 45	Testing/research, minimal speech communication	45 to 55
Office Buildings		Research, extensive telephone use, speech communication	40 to 50
Executive and private offices	25 to 35	Group teaching	35 to 45
Conference Rooms	25 to 35	Church, mosque, Synagogue	
Teleconference rooms	≤ 25	General assembly with critical music programs	25 to 35
Open plan offices	≤ 40	Schools	
Open plan w/ sound masking	≤ 35	Classrooms	25 to 30
Corridors and lobbies	40 to 45	Large lecture rooms	25 to 30
Long Term Care Hospitals and Outpatient Facilities <sup>1</sup>	See Footnote 1	Large lecture rooms with speech amplification	≤ 25
		Libraries	30 to 40
		Indoor Stadiums, Gymnasiums	
		Gymnasiums and natatoriums	40 to 50
		Large seating- capacity spaces with speech amplification	45 to 55
Courtrooms			
Unamplified speech	25 to 35		
Amplified speech	30 to 40		
<sup>1</sup> For Long Term Care Hospitals and Outpatient Facilities, reference:			

Guidelines for Design and Construction of Hospitals and Outpatient Facilities, (2014): Chapter 1.2-5.1 Acoustic Design and Tables 1.2-3

(Continued from Table 11.5.5.1: ASHRAE Application Design Guidelines)

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through 1.2-8 including Errata posted on

https://www.fgiguidelines.org/ (last access 6/30/17) and *Guidelines* for Design and Construction of Residential Health, Care, and Support Facilities, (2014): Chapter 2.5-8 Acoustic Design Systems and Tables 2.5-3 through 2.5-8 including Errata posted on

https://www.fgiguidelines.org/ (last accessed 6/30/17)

(Continued from Table 11.5.5.1: ASHRAE Application Design Guidelines)

#### Informational Reference(s):

- ASA/ INCE/ NCAC Interim Sound and Vibration Design Guidelines for Hospital and Healthcare Facilities
- ASHRAE Systems Application Handbook 2007, Chapter 47
- ASTM E989-2012
- CHPS –Collaborative for High Performance Schools
- HUD Guide to Airborne, Impact and Structure Borne Noise
- WBDG: Federal Green Construction Guide for Specifiers: 01 57 19.12 (01353) Noise and Acoustic Management
- WBDG: DG 1110-3-122 Design Guide for Interiors, 1997

11.5.6 Airborne HVAC Noise		
11.5.6.1 Sound attenuators and/or silencers, or ducts are designed in a	1 point	
"Z" configuration where significant cross talk paths exist between two		
habitable spaces.		
Informational Reference(s):		
• ASTM E1332- 10a		
• ASTM E90- 09		
• ASTM E1686- 10e1		
• ASTM E413- 10		
• ASTM E966- 10e1		
• ASTM E1374-2006(2011)		
• ASTM E336- 14		
• ANSI S12-2- 2008		
• ANSI S12.60-2010/Part 1		
ASA/ INCE/ NCAC Interim Sound and Vibration Design Guidelines		
for Hospital and Healthcare Facilities		
11.5.6.2 HVAC grills and diffusers are selected that comply with	1 point	
ANSI/ASA S12.60-2010/Part 1.		
Informational Reference(s):		
• ASTM E1332- 10a		
• ASTM E90- 09		
• ASTM E1686- 10e1		
• ASTM E413- 10		
• ASTM E966- 10e1		
• ASTM E1374-2006(2011)		
• ASTM E336- 14		
• ANSI S12-2- 2008		



•	ANSI S12.60-2010/Part 1	
•	ASA/ INCE/ NCAC Interim Sound and Vibration Design Guidelines	(Continued from 11.5.6.2)
	for Hospital and Healthcare Facilities	

11.5.7 Structure Borne HVAC Noise	
11.5.7.1 Fans and other powered HVAC equipment are acoustically	1 point
separated from the structure using vibration isolators.	
<b>11.5.7.2</b> Ducts are supported on resilient mounts to isolate them from	1 point
the structural systems, and ducts are isolated using resilient material	
where they pass through walls.	

11.5.8 Piping Noise	
<b>11.5.8.1</b> With the exception of sprinklers and hydronic systems there is	1 point
no piping running directly above or adjacent to quiet areas and	
learning spaces.	

11.5.9 Electrical System Noise	
11.5.9.1 Low-noise ballasts are installed in quiet areas and all other	1 point
areas where speech intelligibility is important.	
11.5.9.2 Noise from light fixtures and other electrical fixtures does not	1 point
exceed values indicated in ANSI/ASA S12.60-2010/Part 1.	

#### 11.5.10 Recommended Documentation

- Acoustic mitigation measures for mechanical equipment and plumbing systems;
- Construction documents;
- Description of acoustic design strategies and all design targets;
- FIIC value for flooring assemblies;
- Manufacturer's specifications, cut sheets, and performance documentation;
- Sound level measurements taken at the property line;
- Specification which includes Annex E of ANSI S12.60 2010;
- Test Report indicating compliance with ANSI S12.60 2010.

Note that the Foreword, Appendix, Informational References and Recommended Documentation are informative only and do not contain mandatory requirements necessary for conformance to this Standard. As such, they may contain material that has not been subjected to public review or a consensus process.

Reference documents cited within the Standard are mandatory unless they are clearly identified as being Informational References. Referenced documents are only to be applied within the context for which they are cited.

#### 12. REFERENCES AND GUIDELINES

#### **American National Standards Institute (ANSI)**

ANSI S12, 2002

ANSI S12-2-2008



#### Association of Pedestrian and Bicycle Professionals (APBP)

The Association of Pedestrian and Bicycle Professionals, Bicycle Parking Guidelines, 2<sup>nd</sup> Edition (2010)

#### American Society of Acoustics (ASA)

ANSI/ASA S12.60-2010/Part 1 American National Standard Acoustical Performance Criteria, Design Requirements, and Guidelines for Schools, Part 1: Permanent Schools

#### **American Society of Civil Engineers (ASCE)**

ASCE/SEI 24-14 "Flood Resistant Design and Construction (2014)

#### American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE)

ANSI/ASHRAE/IES Standard 90.1-2010 Energy Standard for Buildings Except Low-Rise Residential Buildings

ANSI/ASHRAE/IES Standard 90.1-2013 Energy Standard for Buildings Except Low-Rise Residential Buildings

ANSI/ASHRAE/USGBC/IES Standard 189.1-2014,

**ASHRAE 160-2009** 

ASHRAE Guideline 0-2013, The Commissioning Process

ASHRAE 188-2015, Legionellosis: Risk Management for Building Water Systems

The ASHRAE Handbook – HVAC Applications (Chapter 47)

ASHRAE Advance Engineering Design Guides

ASHRAE Systems Application Handbook, 2014

ANSI/ASHRAE/IES Standard 202-2013 Commissioning Process for Buildings and Systems

ANSI/ASHRAE Standard 55-2013 Thermal Environmental Conditions for Human Occupancy

ANSI/ASHRAE Standard 62.1-2013, Ventilation for Acceptable Indoor Air Quality

ANSI/ASHRAE 129-1997 (RA 02), Measuring Air Change Effectiveness

ANSI/ASHRAE/ASHE Standard 170-2013 Ventilation of Health Care Facilities

#### American Society of Irrigation Consultants/The Irrigation Association

Landscape Irrigation Best Management Practices, 2014

### Acoustical Society of America (ASA)/ Institute of Noise Control Engineering (INCE)/ National Council of Acoustical Consultants (NCAC)

ASA/ INCE/ NCAC Interim Sound and Vibration Design Guidelines for Hospital and Healthcare Facilities

#### American Society of Agricultural and Biological Engineers (ASABE)



ASABE/ICC 802-2014 ANSI Landscape Irrigation Sprinkler and Emitter Standard

#### ASTM International (ASTM)

ASTM D 5197-09e1 Standard Test Method for Determination of Formaldehyde and Other Carbonyl Compounds in Air (Active Sampler Methodology)

ASTM D6866-16, Standard Test Methods for Determining the Biobased Content of Solid, Liquid, and Gaseous Samples Using Radiocarbon Analysis

ASTM E1980-11, Standard Practice for Calculating Solar Reflectance Index of Horizontal and Low-Sloped Opaque Surfaces

ASTM E2843-15, Standard Specification for Demonstrating That a Building is in Walkable Proximity to Neighborhood Assets

ASTM E2844-15, Standard Specification for Demonstrating that a Building's Location Provides Access to Public Transit

ASTM E2921-13 Standard Practice for Minimum Criteria for Comparing Whole Building Life Cycle Assessments for Use with Building Codes and Rating Systems

ASTM E989-06 Standard Classification for Determination of Impact Insulation Class (IIC)

ASTM E966-10e1 Standard Guide for Field Measurement of Airborne Sound Insulation of Building Facades and Facade Elements

ASTM E90-09 Standard Test Method for Laboratory Measurement of Airborne Sound Transmission Loss of Building Partitions and Elements

ASTM E413-10 Classification for Rating Sound Insulation

ASTM E336-14 Standard Test Method for Measurement of Airborn Sound Attenuation between Rooms in Buildings

ASTM E1374-06(2011) Standard Guide for Open Office Acoustics and Applicable ASTM Standards

ASTM E1332-10a Standard Classification for Determination of Outdoor-Indoor Transmission Class

ASTM E1686-10e1 Standard Guide for Selection of Environmental Noise Measurements and Criteria

#### Business and Institutional Furniture Manufacturer's Association (BIFMA)

ANSI/BIFMA e3-2014 Business and Institutional Furniture Sustainability Standard and Tools

ANSI/BIFMA M7.1-2011(R2016) Standard Test Method for Determining VOC Emissions From Office Furniture Systems, Components and Seating

#### CAB International (CABI)

CABI, 2016, Invasive Species Compendium



#### **Canadian Standards Association (CSA)**

CSA S4789-95 (R2007): Guideline on Durability in Buildings

CSA 6.19-01 (R2011): Residential Carbon Monoxide Alarming Devices

#### Carpet and Rug Institute, Inc. (CRI)

CRI Green Label Plus Carpet Program Test

#### Code of Federal Regulations (C.F.R)

Executive Order 13728: Vol. 81, Wildland-Urban Interface Federal Risk Mitigation, 2016

#### **Collaborative for High Performance Schools (CHPS)**

Collaborative for High Performance Schools

#### **Facility Guidelines Institute (FGI)**

Guidelines for Design and Construction of Hospital and Outpatient Facilities, 2014

Guidelines for Design and Construction of Residential Health, Care, and Support Facilities, 2014

Guidelines for Design and Construction of Health Care Facilities, 2014

#### Federal Emergency Management Agency (FEMA)

FEMA Technical Bulletin 2, Flood Damage-Resistant Materials Requirements (2008)

#### **Green Seal®**

GS-36 Adhesives for Commercial Use (July 12, 2013)

Environmental Standard for Paints and Coatings, GS-11 (July 12, 2013)

#### Illumination Engineering Society of North America (IES)

IDA - IES Model Lighting Ordinance (MLO), 2011

The IES Lighting Handbook: Informational Reference & Application, 2011

#### International Association of Plumbing and Mechanical Officials (IAMPO)

IAPMO 2015Green Plumbing & Mechanical Code Supplement

IAPMO 2015 Uniform Mechanical Code

#### International Code Council (ICC)

ICC 2012 International Building Code

ICC IECC 2012 International Energy Conservation Code

ICC IECC 2015 International Energy Conservation Code

ICC 2015 International Mechanical Code



ICC 2015 International Wildland-Urban Interface Code

International Green Construction Code (IgCC), 2015

#### **International Organization for Standardization (ISO)**

ISO 14025, Environmental labels and declarations – Type III environmental declarations – principles and procedures, 2006

ISO 14040, Environmental management – Life cycle assessment—Principles and framework, 2006

ISO 14044, Environmental management – Life cycle assessment – Requirements and guidelines, 2006

ISO 15686 (series), Buildings and Constructed Assets: Service Life Planning, 2014

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